

TENTATIVE AGENDA
OTTUMWA CITY COUNCIL

REGULAR MEETING NO. 3
Council Chambers, City Hall

January 19, 2021
5:30 O'Clock P.M.

In order to protect the health and safety of our citizens and staff and mitigate the spread of COVID-19, we are following the Proclamation of a State Public Health Disaster Emergency issued at 12:00 P.M. on Tuesday, March 17, 2020, which has been extended through February 6, 2021. Effective 12:01 a.m. on December 17, 2020, and continuing until 11:59 p.m. on February 6, 2021: mass gatherings or events of more than 10 people in attendance may be held but only if the gathering complies with all other relevant provisions in the Proclamation with the following requirements: social distancing: the gathering organizer must ensure at least six feet of physical distance between each group or individual attending alone and implement reasonable measures under the circumstances of each gathering to ensure social distancing of gathering participants, increased hygiene practices, and other public health measures to reduce the risk of transmission of COVID-19 consistent with guidance issued by the IDPH.

PLEDGE OF ALLEGIANCE

A. ROLL CALL: Council Member Dalbey, Roe, Stevens, Meyers, Berg and Mayor Lazio.

B. CONSENT AGENDA:

1. Minutes from Regular Meeting No. 1 on January 5, 2021 as presented.
2. Acknowledge December financial statement and payment of bills as submitted by the Finance Department.
3. Approve the appointment of Bruce Hopkins to the position of Operator at WPCF effective February 1, 2021.
4. Approve the appointment of Shelby Smith to the full-time position of Communication Specialist for the Ottumwa Police Department effective January 20, 2021.
5. Beer and/or liquor applications for: Iowa Liquor & Tobacco, 1021 E. Main St.; Fareway Stores, Inc., 1325 Albia Rd.; Dollar General Store #7179, 721 N. Quincy Ave.; Dollar General Store #2898, 921 E. Main St.; Recovery Room, 1805 W. Second St.; The Keg, with outdoor service area, 622 Church St; Hy-Vee Wine & Spirits, 2453 N. Court; Hy-Vee Gas #1, 1027 N. Quincy Ave.; Hy-Vee Gas #2, 2457 N. Court St. all applications pending final inspections.

C. APPROVAL OF AGENDA

D. ADMINISTRATORS REPORT TO COUNCIL AND CITIZENS:

1. Code of Conduct
2. Regional Office

All items on this agenda are subject to discussion and/or action.

E. IDENTIFICATION OF CITIZENS DESIRING TO COMMENT ON AGENDA ITEMS:

(When called upon by the Mayor, step to the microphone; state their name, address and agenda item to be addressed. The Mayor will invite you to address the Council when that topic is being discussed. Remarks will be limited to **three minutes or less**. The City Clerk shall keep the time and notify the Mayor when the allotted time limit has been reached. Comments are to be directly germane to the agenda item being discussed; if not directly germane as determined by the Mayor will be ruled out of order.)

F. DEPARTMENTAL RECOMMENDATIONS/REPORTS:

1. Approve the purchase of a new Flygt 3153 Pump for Barton's Branch.

RECOMMENDATION: Approve the purchase of a new Flygt 3153 pump from Electric Pump in Des Moines, Iowa for the quoted price of \$14,069.

G. PUBLIC HEARING:

1. This is the time, place and date set for a public hearing approving the plans, specifications, form of contract and estimated cost for the Johnson Avenue Reconstruction Project.
 - A. Open the public hearing.
 - B. Close the public hearing.
 - C. Resolution No. 18-2021, approving the plans, specifications, form of contract and estimated cost for the Johnson Avenue Reconstruction Project.

RECOMMENDATION: Pass and adopt Resolution No. 18-2021.

H. RESOLUTIONS:

1. Resolution No. 12-2021, fixing an amount for abating a nuisance against certain lots in the City of Ottumwa, totaling \$13,462.97.

RECOMMENDATION: Pass and adopt Resolution No. 12-2021.

2. Resolution No. 14-2021, directing the acceptance of a proposal to Purchase \$9,010,000* General Obligation Capital Loan Notes, Series 2021A; and approving the Form and Authorizing Execution of a Note Purchase Agreement.

RECOMMENDATION: Pass and adopt Resolution No. 14-2021.

3. Resolution No. 15-2021, directing the acceptance of a proposal to Purchase \$1,400,000* Taxable General Obligation Refunding Capital Loan Notes, Series 2021B; and approving the Form and Authorizing Execution of a Note Purchase Agreement.

RECOMMENDATION: Pass and adopt Resolution No. 15-2021.

4. Resolution No. 16-2021, Authorizing the redemption of Outstanding General Obligation Bonds, Taxable Series 2011A, dated October 19, 2011.

RECOMMENDATION: Pass and adopt Resolution No. 16-2021.

5. Resolution No. 17-2021, authorizing the Planning Department to pursue an Iowa's Rural Heritage Revitalization Grant and authorizing the Mayor to sign the application for 131 East Main Street.

RECOMMENDATION: Pass and adopt Resolution No. 17-2021.

6. Resolution No. 19-2021, approving the Amendment No. 1 to the Professional Services Agreement for Engineering Services between JEO Consulting Group and the City of Ottumwa for the Green Street Storm Sewer Improvements Project.

RECOMMENDATION: Pass and adopt Resolution No. 19-2021.

7. Resolution No. 20-2021, setting the time and days for regular and special council meetings.

RECOMMENDATION: Pass and adopt Resolution No. 20-2021.

I. ORDINANCES:

J. PUBLIC FORUM:

The Mayor will request comments from the public on topics of city business or operations other than those listed on this agenda. Comments shall not be personalized and limited to three minutes or less. Comments not directly applicable to operations, inappropriate, or an improper utilization of meeting time, as determined by the Mayor, will be ruled out of order. When called upon by the Mayor, step to the microphone; give your name, address and topic on which to address the Council. The Council is not likely to take any action on your comments due to requirements of the Open Meetings Law. Pertinent questions, comments or suggestions may be referred to the appropriate department, city administrator or legal counsel for response, if relevant.

K. PETITIONS AND COMMUNICATIONS

ADJOURN

***** It is the goal of the City of Ottumwa that all City Council public meetings are accessible to people with disabilities. If you need assistance in participating in City Council meetings due to a disability as defined under the ADA, please call the City Clerk's Office at (641) 683-0621 at least one (1) business day prior to the scheduled meeting to request an accommodation. *****



[CITY OF]
O T T U M W A

FAX COVER SHEET

City of Ottumwa

DATE: 1/15/2021 TIME: 9:15 AM NO. OF PAGES 4
(Including Cover Sheet)

TO: News Media CO: _____

FAX NO: _____

FROM: Christina Reinhard

FAX NO: 641-683-0613 PHONE NO: 641-683-0620

MEMO: Tentative Agenda for the Regular City Council Meeting #03 to be held on 1/19/2021 at 5:30 P.M. Social Distancing and mask or other face covering when inside a building are required for all people two or older. Six feet of physical distance between each group or individual attending alone must be followed at all times.

*** FAX MULTI TX REPORT ***

JOB NO. 3014
DEPT. ID 4717
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Ottumwa Courier
KTVO
Tom FM



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FAX NO: 641-683-0613 PHONE NO: 641-683-0620

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ST. TIME 01/15 09:15
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REGULAR MEETING NO. 1
Council Chambers, City Hall

January 5, 2021
5:30 O'Clock P.M.

The meeting convened at 5:30 P.M.

Present were Council Member Meyers, Berg, Dalbey, Roe, Stevens and Mayor Lazio.

Roe moved, seconded by Meyers to approve the following consent agenda: Mins. from Reg. Mtg. No. 38 on Dec. 15, 2020 as presented; Ack. Nov. financial stmt. and pymt. of bills as submitted by the Finance Dept.; Authorize renewal of Microsoft Ex Online Plan 1 subscr license with 120 users and Office 365 Plan G1 with 25 users, totaling \$6,934.30; Approve purchase from CDW-G for 13 Cradlepoint NewCloud Essentials for Mobile Routers LTE Adv. Pro for the Police Dept. totaling \$13,260; Approve the amended lease renewal with Pitney Bowes for a term of 60 months totaling \$21,352.80; Approve the rebuild of Flygt Model 3171 pump from Electric Pump in Des Moines, IA for the quoted price of \$5,822.75; Beer and/or liquor applications for: La Guadalupana, 301 Church St.; El Rancho Grande, 232 E. Main St.; Cedar Creek Golf Course, 13120 Angle Rd.; Hy-Vee Food Store #1, 1025 N. Quincy Ave.; all applications pending final inspections. All ayes.

Meyers moved, seconded by Stevens to approve the agenda as presented. All ayes.

City Admin. Rath discussed snow/ice removal on City streets and how staff is actively working on clearing day and night; working through priority list of streets, snow routes, etc.

Finance Dir. Mulder introduced Mike Podliska, CPA, Anderson, Larkin & Co. to provide audit findings of the City of Ottumwa's FY End June 30, 2020. The financial stmt. disclosures are neutral, consistent and clear.

City Admin. Rath discussed review of citizen request to re-establish a local Human Rights Commission. Originally est. in 1982 and abolished in 2015 due to lack of participation and most cases being referred to the state level for resolution. Both City Admin. and Mayor are researching this as an adv. group or commission that will offer suggestions, changes and/or revisions to current local regs.; address barriers; assist individuals on unfair practices; offer a different perspective on the impact our legislative actions make; and offer educational outreach with resources. Looking at models used by other cities our size to help build this commission and establish goals and objectives.

City Admin. Rath also provided an update on the Strategic Planning Action Plan.

Mayor Lazio inquired if there was anyone from the audience who wished to address an item on the agenda. Schuyler Black, of Des Moines, IA (formerly of Ottumwa) and Jeff Jorgenson both requested to speak on Item F-1, Fixed Base Operator services at the Airport.

Meyers moved, seconded by Roe to reject the sole proposal rec'd for the Fixed Base Operator services at Ottumwa Reg. Airport and re-issue a revised Request for Proposals. City Admin Rath. discussed how several entities expressed interest in the RFP; however, we only recd. one proposal that came in much higher than anticipated and the Airport Adv. Brd. along with staff has recommended the proposal be rejected. Following conversations with entities who were interested, but did not submit a proposal it was identified the structure of the operations and cost to provide the requested services may not be feasible as drafted in the RFP. As a result, staff is requesting to re-issue as a negotiable, qualifications-based RFP. The new RFP would provide general framework of desired services; however would allow for the submitting entities to provide a recommended scope and assoc. price. In the end, the Airport Adv. Brd.

would recommend and the City Council would approve a vendor based upon their qualifications and approach to the FBO role. The final contract and assoc. cost would be negotiated between the City and the vendor.

Schuyler Black stated his dad, Steve Black, operated the FBO at the Airport from 1984-2016 at which time Council awarded the FBO to Archangel which is set to expire next month. Here, you are voting on watering down the RFP and scaling back what an FBO should provide. Finding a new FBO is hard.

Jeff Jorgenson, Executive Dir. Open Air, was the sole proposal rec'd for the FBO that is being rejected. I encourage the City to consider accepting my proposal. When I submitted my proposal, I researched to make sure what I was submitting is the right service for your airport. There are also line items for optional services that are negotiable. In the way the RFP was drafted, some items are too ambiguous.

Airport Supvr. Cobler reported the airport is self-sufficient and no city tax dollars are used to operate. The sole proposal comes out to about \$900,000 annually and the airport doesn't have funds to sustain it.

Vote Taken: All ayes to reject the sole proposal for the FBO services at the Ottumwa Reg. Airport and re-issue a revised RFP.

Roe moved, seconded by Dalbey to approve a Conflict of Interest Waiver for Ahlers & Cooney, P.C. between the City of Ottumwa and Ottumwa Water Works in order to draft a Reimbursement Agt. between the two partners. City Admin. Rath stated on Nov. 14, 2020, the Council identified a goal of improving cooperation with its community partners. One of the key components for improved relationships is clearly defined roles and responsibilities. A structured reimbursement agt. between the two entities could help define expectations for planning projects and cost reimbursements for each party, improving cooperation and communication. If both parties approve the waiver, Ahlers & Cooney may proceed. All ayes.

This was the time, place and date set for a public hearing on the auth. of a Loan Agt. and the issuance of not to exceed \$3,500,000 General Obligation Capital Loan Notes (ECP-4) of the City of Ottumwa, State of IA. No objections were received. Dalbey moved, seconded by Meyers to close the public hearing. All ayes.

Roe moved, seconded by Meyers that Res. No. 1-2021, instituting proceedings to take additional action for the issuance of not to exceed \$3,500,000 General Obligation Capital Loan Notes (ECP-4), be passed and adopted. All ayes.

This was the time, place and date set for a public hearing approving the plans, specs, form of contract and est. cost for the Apron Improvements Project at the Ottumwa Reg. Airport. No objections were received. Roe moved, seconded by Berg to close the public hearing. All ayes.

Berg moved, seconded by Roe that Res. No. 5-2021, approving the plans, specs, form of contract and est. cost for the Apron Improvements Project at the Ottumwa Reg. Airport, be passed and adopted. All ayes.

This was the time, place and date set for a public hearing approving the plans, specs, form of contract and est. cost for the WPCF Effluent Diffuser Project. No objections were received. Dalbey moved, seconded by Meyers to close the public hearing. All ayes.

Roe moved, seconded by Meyers that Res. No. 10-2021, approving the plans, specs, form of contract and est. cost for the WPCF Effluent Diffuser Project, be passed and adopted. All ayes.

This was the time, place and date set for a public hearing approving the plans, specs., form of contract and est. cost for the Marion St. Reconstruction Project. No objections were received. Dalbey moved, seconded by Stevens to close the public hearing. All ayes.

Dalbey moved, seconded by Roe that Res. No. 11-2021, approving the plans, specs, form of contract and est. cost for the Marion St. Reconstruction Project, be passed and adopted. All ayes.

Roe moved, seconded by Meyers that Res. No. 2-2021, approving the Preliminary Official Stmt. not to Exceed \$9,010,000 of General Obligation Capital Loan Notes, Series 2021A, be passed and adopted. All ayes.

Meyers moved, seconded by Dalbey that Res. No. 3-2021, approving the Preliminary Official Stmt. not to Exceed \$1,400,000 of Taxable General Obligation Capital Loan Notes, Series 2021B, be passed and adopted. All ayes.

Dalbey moved, seconded by Berg that Res. No. 6-2021, approving the remodel kitchen project at the Central Fire Station for a cost of \$19,666, be passed and adopted. Fire Chief Miller reported Shirk Cabinetry and Design was the only company to provide a quote for cabinets, installation costs and counters. All ayes.

Roe moved, seconded by Berg that Res. No. 7-2021, approving the purchase of a new Fire Apparatus for the Fire Dept. for a total cost of \$550,850.52, be passed and adopted. Fire Chief Miller reported a need to replace one of the fire apparatuses due to numerous maintenance issues. The Fire Dept. discussed with Sutphen Fire Apparatus and Pierce Fire Apparatus manufacturers and Sutphen provided the most reasonable price for the apparatus. All ayes.


Meyers moved, seconded by Roe that Res. No. 9-2021, approving CO No. 1 in the amount of \$14,840.40 for the Milner St. Multi-Use Trail Project, be passed and adopted. Public Works Dir. Seals reported this CO increases the contract. New contract total \$293,067.40. All ayes.

Mayor Lazio inquired if anyone from the audience wished to address an item not on the agenda. There were none.

There being no further business, Roe moved, seconded by Dalbey that the meeting adjourn. All ayes.

Adjournment was at 6:51 P.M.

CITY OF OTTUMWA, IOWA


Tom X. Lazio, Mayor

ATTEST:


Christina Reinhard, City Clerk

REPORT DATE 12/31/2020
 SYSTEM DATE 01/14/2021
 FILES ID 0

CITY OF OTTUMWA
 STATEMENT OF CHANGES IN CASH BALANCE
 AS OF 12/31/2020

Item No. B.-2.

PAGE
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ACCOUNT NUMBER	ACCOUNT DESCRIPTION	BEG. PERIOD	CASH	CASH	END PERIOD	OUTSTANDING	TREASURY
		BALANCE	DEBITS	CREDITS	BALANCE	CHECKS	BALANCE
TOTALS FOR FUND	001 GENERAL OPER	4118594.02	1015090.01	1259527.91	3874156.12	21551.63	3895707.75
TOTALS FOR FUND	002 PARKING RAMP	31692.62	6068.00	375.73	37384.89	315.73	37700.62
TOTALS FOR FUND	110 ROAD USE TAX	7020414.22	407597.98	625453.24	6802558.96	9196.58	6811755.54
TOTALS FOR FUND	112 EMPLOYEE BEN	921655.30	328149.32	462173.00	787631.62		787631.62
TOTALS FOR FUND	119 EMERGENCY FU		7605.65	7605.65			
TOTALS FOR FUND	121 SALES TAX 1%	2150097.66	322882.44	26735.00	2446245.10		2446245.10
TOTALS FOR FUND	122 *****						
TOTALS FOR FUND	123 AGASSI TIF D						
TOTALS FOR FUND	124 VOGEL URBAN						
TOTALS FOR FUND	125 WESTGATE TIF	-76541.80	9809.88	1250.00	-67981.92		-67981.92
TOTALS FOR FUND	126 AIRPORT TIF	98896.68	11815.80	300.00	110412.48		110412.48
TOTALS FOR FUND	127 PENNSYLVANIA						
TOTALS FOR FUND	128 WILDWOOD HWY	32110.09	27627.65		59737.74		59737.74
TOTALS FOR FUND	129 RISK MANAGEM	1114437.28	42943.43	25908.98	1131471.73	34.81	1131506.54
TOTALS FOR FUND	131 AIRPORT FUND	300404.28	18146.42	34520.15	284030.55	268.37	284298.92
TOTALS FOR FUND	133 LIBRARY FUND	281275.02	56787.22	60202.03	277860.21	1240.89	279101.10
TOTALS FOR FUND	135 CEMETERY FUN	-32449.10	28503.42	25949.58	-29895.26	280.35	-29614.91
TOTALS FOR FUND	137 HAZ-MAT FUND	141783.39	5286.66	18603.03	128467.02	650.00	129117.02
TOTALS FOR FUND	141 2018 UPPER S	8078.12			8078.12		8078.12
TOTALS FOR FUND	142 HOAP/HILP ES						
TOTALS FOR FUND	143 EPA BROWNPIE						
TOTALS FOR FUND	144 2013 CDBG HO						
TOTALS FOR FUND	145 DOWNTOWN REV						
TOTALS FOR FUND	146 DOWNTOWN STR	-526180.52		8578.05	-534758.57		-534758.57
TOTALS FOR FUND	147 CDBG P-2 MAS	17628.32			17628.32		17628.32
TOTALS FOR FUND	148 2016 OWW CDB						
TOTALS FOR FUND	151 OTHER BOND P	463166.99	604.00	19577.61	444193.38	11825.00	456018.38
TOTALS FOR FUND	162 SSMID DISTRI	17269.45	4660.95		21930.40		21930.40
TOTALS FOR FUND	167 FIRE BEQUEST	18507.57			18507.57		18507.57
TOTALS FOR FUND	169 START UP/DON	-165.20			-165.20		-165.20
TOTALS FOR FUND	171 RETIREE HEAL	1086933.03	99514.00	58370.31	1128076.72	96.90	1128173.62
TOTALS FOR FUND	173 LIBRARY BEQU	120403.88	538.26	12394.07	108548.07	2819.05	111367.12
TOTALS FOR FUND	174 COMMUNITY DE	78822.87	7.00	20497.84	58332.03	10000.00	68332.03
TOTALS FOR FUND	175 POLICE BEQUE	90355.84	2394.00		92749.84	16.00	92765.84
TOTALS FOR FUND	177 HISTORIC PRE	1479.64			1479.64		1479.64
TOTALS FOR FUND	200 DEBT SERVICE	1486436.97	123880.03	2150.00	1608167.00		1608167.00
TOTALS FOR FUND	301 STREET PROJE	-198394.85	772987.91	567369.43	7223.63	35491.00	42714.63
TOTALS FOR FUND	303 AIRPORT PROJ	-163046.32	449037.26	5104.26	280886.68		280886.68
TOTALS FOR FUND	307 SIDEWALK & C	-12155.60		451.06	-12606.66		-12606.66
TOTALS FOR FUND	309 PARK PROJECT	-265543.74		56169.10	-321712.84		-321712.84
TOTALS FOR FUND	311 LEVEE PROJEC	184519.86			184519.86		184519.86
TOTALS FOR FUND	313 EVENT CENTER	3496.55		46.35	3450.20		3450.20
TOTALS FOR FUND	315 SEWER CONSTR	10980469.77		706835.25	10273634.52	36777.29	10310411.81
TOTALS FOR FUND	320 WEST END FLO						
TOTALS FOR FUND	501 CEMETERY MEM	3538.33			3538.33		3538.33
TOTALS FOR FUND	503 CEMETERY PER	341.00	1153.00	1494.00		471.00	471.00
TOTALS FOR FUND	610 SEWER UTILIT	3447236.44	849417.84	880439.31	3416214.97	17527.82	3433742.79
TOTALS FOR FUND	611 SEWER SINKIN	629165.00	106833.00		735998.00		735998.00
TOTALS FOR FUND	612 STORM WATER						
TOTALS FOR FUND	613 SEWER IMPROV	3258335.00	41667.00		3300002.00		3300002.00
TOTALS FOR FUND	670 LANDFILL FUN	1459242.24	245731.88	555462.83	1149511.29	338865.70	1488376.99
TOTALS FOR FUND	671 LANDFILL RES	1145278.00			1145278.00		1145278.00

REPORT DATE 12/31/2020
 SYSTEM DATE 01/14/2021
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CITY OF OTTUMWA
 STATEMENT OF CHANGES IN CASH BALANCE
 AS OF 12/31/2020

PAGE 2
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ACCOUNT NUMBER	ACCOUNT DESCRIPTION	BEG. PERIOD BALANCE	CASH DEBITS	CASH CREDITS	END PERIOD BALANCE	OUTSTANDING CHECKS	TREASURY BALANCE
TOTALS FOR FUND	673 RECYCLING	121657.69	55266.97	46506.40	130418.26	5232.48	135650.74
TOTALS FOR FUND	690 TRANSIT FUND	564599.26	27082.01	5536.56	586144.71	3397.77	589542.48
TOTALS FOR FUND	695 1015 TRANSIT						
TOTALS FOR FUND	720 BRIDGEVIEW E	62836.16	50621.00	88121.00	25336.16		25336.16
TOTALS FOR FUND	750 GOLF COURSE	25111.62			25111.62		25111.62
TOTALS FOR FUND	810 POOLED INVES	-45658536.91	3011737.00		-42646799.91		-42646799.91
TOTALS FOR FUND	820 PAYROLL CLEA	305245.39	1068324.84	1268028.82	105541.41	130034.09	235575.50
TOTALS FOR FUND	840 EQUIPMENT PU	1273315.81		236906.00	1036409.81		1036409.81
TOTALS FOR FUND	860 GROUP HEALTH	4287739.99	327275.63	238267.13	4376748.49	6462.86	4383211.35
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TOTALS FOR ALL LISTED FUNDS		419557.31	9527047.46	7326909.68	2619695.09	632555.32	3252250.41

REPORT DATE 12/31/2020
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CITY OF OTTUMWA
STATEMENT OF CHANGES IN CASH BALANCE
AS OF 12/31/2020

PAGE 3
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SUMMARY PAGE INFORMATION

ERRORS DETECTED: 0

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REPORT DATE 01/14/2021
 SYSTEM DATE 01/14/2021
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CITY OF OTTUMWA
 VENDOR PAYMENT REPORT

PAGE 1
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Vendor #	Vendor Name	Check Number	Check Date	Amount	Reason
00198	ABC PEST CONTROL INC	209036	12/11/2020	42.95	BLDG MAINT & REPAIR
00316	ACCENT WIRE-TIE	209123	12/18/2020	1,403.00	VHCL MTCE SUPPLIES
00320	ACCO	209204	12/23/2020	178.00	OPERATING SUPPLIES
00327	ACCURATE HYDRAULICS &	209037	12/11/2020	684.11	VHCL MTCE SUPPLIES
00690	AFLAC	209124	12/18/2020	1,705.00	AFLAC DEDUCTION PAYABLE
00800	AHLERS & COONEY P.C.	209125	12/18/2020	18,490.68	LEGAL FEES
00855	AIRGAS USA LLC	208946	12/04/2020	633.97	OPERATING SUPPLIES
01700	ALLIANT ENERGY/IPL	208947	12/04/2020	70,208.03	ELECTRIC
01717	ALLIED 100 LLC	208948	12/04/2020	376.00	TOOLS & SMALL EQUIP
02080	ALTORFER INC.	208949	12/04/2020	463.36	VHCL MTCE SUPPLIES
04440	ANDERSON LARKIN CO PC	209127	12/18/2020	19,000.00	ACCOUNTING & AUDITING
05687	AT&T MOBILITY	208950	12/04/2020	1,622.83	TELEPHONE/IT
05700	ATOMIC TERMITE & PEST	209040	12/11/2020	250.00	OPERATING SUPPLIES
05860	AUTOZONE INC	209267	12/31/2020	538.77	VHCL MTCE SUPPLIES
05874	AVENU	209130	12/18/2020	12,792.68	OFFICE/COMP. EQUIP MAINT.
06481	BAILEY OFFICE OUTFITTERS	209131	12/18/2020	333.43	OFFICE SUPPLIES
07648	MARK J BECKER & ASSOC LLC	209132	12/18/2020	2,100.56	OTHER PROF SERV
08884	ETHNIC MINORITIES OF BURM	208951	12/04/2020	6,000.00	MISCELLANEOUS
09341	BLACKHAWK BODYSHOP AND	209133	12/18/2020	650.00	VHCL MTCE SUPPLIES
09352	BLACKSTONE PUBLISHING	209207	12/23/2020	709.46	LIBRARY MAT.-JAMES ESTATE
09360	BLACK'S TIRE COMPANY LLC	209208	12/23/2020	1,175.31	VHCL MTCE SUPPLIES
09515	BLOOMFIELD COMMUNICATIONS	209136	12/18/2020	48.60	MISCELLANEOUS
09528	BLUETARP CREDIT SERVICES	209137	12/18/2020	836.25	OPERATING SUPPLIES
10233	C & C MANUFACTURING, LLC	209209	12/23/2020	850.16	VHCL MTCE SUPPLIES
11496	BRIDGE CITY SANITATION LL	209041	12/11/2020	144,365.65	CONTRACTUAL SERVICES
11506	BRIDGE VIEW CENTER	209139	12/18/2020	88,230.78	MANAGEMENT SERVICES
12500	BUB'S TREE CARE	209042	12/11/2020	7,700.00	TREE TRIMMING
13272	CBE GROUP INC	209212	12/23/2020	536.78	GARNISHMENTS PAYABLE
13589	CDW GOVERNMENT	209044	12/11/2020	375.00	TECHNOLOGY SERVICES
14315	CAPITAL CITY BOILER &	209141	12/18/2020	8,778.00	OTHER CAPITAL EQUIP
14320	CAPITAL SANITARY SUPPLY	209142	12/18/2020	585.78	OPERATING SUPPLIES
15760	CARROLL CONSTRUCTION SUPP	209045	12/11/2020	337.32	STREET MAINT SUPPLIES
15788	CATERPILLAR GOLBAL SERVIC	208955	12/04/2020	1,475.40	VHCL MTCE SUPPLIES
16265	CENTER POINT LARGE PRINT	209143	12/18/2020	85.08	LIBRARY MAT.-JAMES ESTATE
16300	CENTRAL IOWA FASTENERS	209046	12/11/2020	47.00	MISCELLANEOUS
16402	CENTURYLINK	208957	12/04/2020	7,552.52	TELEPHONE/IT
16403	CENTURYLINK	209049	12/11/2020	293.95	TELEPHONE/IT
17620	CINTAS CORPORATION	208958	12/04/2020	123.70	SUSTENANCE SUPPLIES
17825	CITY OF OTTUMWA, CEMETERY	209274	12/31/2020	812.00	CASH INVESTED PASSBK SVNG
18228	CLARKE COUNTY EMA	209145	12/18/2020	1,580.00	GRANT
18379	CLEMONS INC OF OTTUMWA	209050	12/11/2020	475.17	VHCL MTCE SUPPLIES
18502	CLUB SENTRY SOFTWARE	209146	12/18/2020	32.95	TECHNOLOGY SERVICES
18980	COLLECTION SERVICES	209214	12/23/2020	4,850.12	CHILD SUPPORT PAYABLE
21236A	CORE PRODUCTS	209215	12/23/2020	153.74	STREET MAINT SUPPLIES
21818	CREATIVE FORMS & CONCEPTS	208960	12/04/2020	675.33	OFFICE SUPPLIES
21825	CREDIT UNION	209052	12/11/2020	35,763.46	CREDIT UNION PAYABLE
21830	LORI CREECH	208961	12/04/2020	69.99	SUSTENANCE SUPPLIES
21842	CRESCENT ELECTRIC SUP CO	208962	12/04/2020	16,803.94	OTHER CAPITAL EQUIP
22095	CRYOTECH DELICING TECH	209148	12/18/2020	3,153.50	STREET MAINT SUPPLIES
22210	STEVE CULLINAN	208963	12/04/2020	76.40	SUSTENANCE SUPPLIES
22457	DC CONCRETE & CONST. LLC	209275	12/31/2020	35,491.00	MISCELLANEOUS
22486	DVD PROPERTIES	208964	12/04/2020	388.00	OPERATING SUPPLIES
22608	DANI'S AUTO SUPPLY LLC	209027	12/07/2020	2,507.59	VHCL MTCE SUPPLIES
24325	DEMCO, INC	209053	12/11/2020	333.16	OPERATING SUPPLIES

REPORT DATE 01/14/2021
 SYSTEM DATE 01/14/2021
 FILES ID 0

CITY OF OTTUMWA
 VENDOR PAYMENT REPORT

PAGE 2
 TIME 09:54:58
 USER TJ

Vendor #	Vendor Name	Check Number	Check Date	Amount	Reason
24330	DERANS TOWING SERVICE	209054	12/11/2020	200.00	STATE TOWING/STORAGE FEES
25390	R. D. DRENKOW & CO INC	209217	12/23/2020	4,172.46	R.D. DRENKOW/FLEX PAY
25593	DXP ENTERPRISES, INC.	209149	12/18/2020	1,771.55	VHCL MTCE SUPPLIES
26580A	EBSCO INFORMATION SERVICE	209277	12/31/2020	26.40	LIBRARY MAT.-JAMES ESTATE
26640	ECOSYSTEMS INC	209150	12/18/2020	33,766.53	CONTRACTUAL SERVICES
27005	ELECTRIC PUMP, INC.	209218	12/23/2020	4,800.00	OTHER MAINT & REPAIR
27010	ELECTRICAL ENGINEERING &	209028	12/07/2020	2,264.85	MISCELLANEOUS
27272	ELLIOTT BULK SERVICES LLC	209057	12/11/2020	4,351.50	VHCL-FUEL
27280	ELLIOTT OIL COMPANY	209058	12/11/2020	66.31	VHCL-FUEL
28208	EUROFINS- TEST AMERICA	209029	12/07/2020	5,544.68	ENGINEERING
28208A	EUROFINS TESTAMERICA	209152	12/18/2020	507.40	ENGINEERING
28449	EVORA CONSULTING, LTD	209059	12/11/2020	10,313.80	ENGINEERING
29300	PASTENAL COMPANY	209030	12/07/2020	1,945.88	TOOLS & SMALL EQUIP
29829	FIDELITY SECURITY LIFE	209282	12/31/2020	3,131.98	AVESIS PAYABLE
30560	FISHER SCIENTIFIC	209220	12/23/2020	457.76	LAB SUPPLIES
31302A	FRASE COMPANY LUMBER	209154	12/18/2020	107.98	OPERATING SUPPLIES
31439	FULLER MONUMENT	209221	12/23/2020	165.00	MERCHANDISE - RESALE
31459	GRP & ASSOCIATES	209155	12/18/2020	52.00	HAZARDOUS WASTE DISPOSAL
31682	GALLS LLC-DBA CARPENTER	208970	12/04/2020	416.12	SUSTENANCE SUPPLIES
31682A	GALLS, LLC	209156	12/18/2020	1,549.82	SUSTENANCE SUPPLIES
31797	GARDEN & ASSOCIATES LTD	209063	12/11/2020	6,273.42	OTHER PROF SERV
32780	GLOBAL EQUIPMENT COMPANY	209064	12/11/2020	132.95	EQUIP REPAIR
32792	GLOBAL EQUIPMENT COMPANY	208971	12/04/2020	76.94	EQUIP REPAIR
33210	GRAFIX SHOPPE	209157	12/18/2020	1,892.37	VHCL MTCE SUPPLIES
33635	GREAT WESTERN SUPPLY CO	208972	12/04/2020	351.95	OPERATING SUPPLIES
33721	GREINER IMPLEMENT CO INC	208973	12/04/2020	14,000.00	OTHER CAPITAL EQUIP
34900	HAMILTON PRODUCE COMPANY	209285	12/31/2020	615.34	PROPANE GAS
34966	HARDY DIAGNOSTICS	209222	12/23/2020	190.91	LAB SUPPLIES
36083	HAWKEYE TRUCK EQUIPMENT	209286	12/31/2020	2,435.70	VHCL MTCE SUPPLIES
36085	HAWKINS INC	208975	12/04/2020	3,706.50	OPERATING SUPPLIES
36302	HEARTLAND HUMANE SOCIETY	209159	12/18/2020	625.00	DOG LICENSES
37476	HILL PRODUCTIONS & MEDIA	209066	12/11/2020	32.00	ADVERT/LEGAL PUBL
37560	HINDMAN PERSON HEATING	209288	12/31/2020	660.00	GROUND MAINT & REPAIR
38196	HOPKINS PROPERTIES, LLC	209289	12/31/2020	11,825.00	FACADE GRANTS
38610	HOTSY CLEANING SYSTEMS	208977	12/04/2020	640.61	EQUIP REPAIR
39121	HUBER TECHNOLOGY	208978	12/04/2020	1,750.00	OPERATING SUPPLIES
39431	HYMBAUGH CONSTRUCTION, INC	209067	12/11/2020	11,400.00	CAPITAL IMPROVEMENTS
39438	HY-VEE ACCOUNTS RECEIVABL	209161	12/18/2020	56.62	SUSTENANCE SUPPLIES
40078	ICAP	209068	12/11/2020	11,529.48	PROPERTY INSURANCE
40155	IDEXX DISTRIBUTION INC	208979	12/04/2020	1,239.23	LAB SUPPLIES
40320	IOWA DEPT/TRANSPORTATION	209291	12/31/2020	1,049.20	STREET MAINT SUPPLIES
41480	ICMA RETIREMENT TRUST 457	209223	12/23/2020	2,141.52	ICMA DEF COMP PAYABLE
41485	IDALS	209070	12/11/2020	30.00	TRAINING
41505	IMWCA	209162	12/18/2020	3,402.07	FIRE W/C 411 CLAIMS
41505A	IMWCA	209163	12/18/2020	11,327.00	WORKMENS COMPENSATION
41509	IMWCA	208980	12/04/2020	30.00	DUES & MEMBERSHIPS
41600	IDEAL READY MIX	209292	12/31/2020	535.90	STREET MAINT SUPPLIES
41920A	INDUSTRIAL CHEMICAL	209293	12/31/2020	172.00	MISC CONTRACT WORK
41925	INDUSTRIAL MEDICINE	209071	12/11/2020	526.00	EMPLOYEE PHYSICALS/TESTS
42090	INFOMAX OFF SYSTEMS INC	209294	12/31/2020	3,556.61	PHOTOCOPIES
42091	INFOMAX	209295	12/31/2020	756.50	CONTRACTUAL SERVICES
42160	INGRAM LIBRARY SERVICES	209072	12/11/2020	8,449.98	LIBRARY MAT.-JAMES ESTATE
43265	INTERSTATE BATTERY	209073	12/11/2020	642.75	VHCL MTCE SUPPLIES
43274	INTERSTATE POWER SYSTEMS	209074	12/11/2020	3,956.41	VHCL MTCE SUPPLIES

REPORT DATE 01/14/2021
 SYSTEM DATE 01/14/2021
 FILES ID 0

CITY OF OTTUMWA
 VENDOR PAYMENT REPORT

PAGE 3
 TIME 09:54:58
 USER TJ

Vendor #	Vendor Name	Check Number	Check Date	Amount	Reason
43275	INTERSTATE INDUS. SERVICE	209297	12/31/2020	213.00	OPERATING SUPPLIES
43290	IAMU	209227	12/23/2020	1,980.00	TRAINING
43388	IOWA CIVIL CONTRACTING, IN	209228	12/23/2020	349,790.50	STREET MAINT
43440	IOWA CITY PRESS CITIZEN	209166	12/18/2020	109.34	LIBRARY MAT.-JAMES ESTATE
43460	IOWA COMM ASSURANCE POOL	209298	12/31/2020	3,299.75	INSURANCE CLAIMS
43506	IOWA DEPT TRANSPORTATION	209299	12/31/2020	50.03	TOOLS & SMALL EQUIP
43880A	IA LAW ENFORCEMENT ACADEM	209167	12/18/2020	500.00	OTHER PROF SERV
44025	IOWA POLICE CHIEFS ASSOC	209075	12/11/2020	125.00	DUES & MEMBERSHIPS
44037	IOWA PRISON INDUSTRIES	209076	12/11/2020	760.70	STREET MAINT SUPPLIES
45057	J & J MOWING	209230	12/23/2020	1,108.00	CONTRACTUAL SERVICES
46692	ANNETTE JONES	209231	12/23/2020	1,000.00	CONTRACTUAL SERVICES
46896	JP JONES	209232	12/23/2020	37.00	ELECTRICAL PERMITS
47688	KARL CHEVROLET	209168	12/18/2020	30,885.40	OTHER CAPITAL EQUIP
47726	KAYSER SOIL SERVICE	209077	12/11/2020	79.20	VHCL MTCE SUPPLIES
48809	KIESLER'S POLICE SUPPLY,	208985	12/04/2020	534.00	TOOLS & SMALL EQUIP
49511	KNOX	208986	12/04/2020	6,762.00	OTHER CAPITAL EQUIP
49804D	KRONOS	209169	12/18/2020	2,385.26	TECHNOLOGY SERVICES
50620	LANGMAN CONSTRUCTION, INC	209233	12/23/2020	666,222.71	UTILITY SYSTEM
50846	DYLAN LAUX	209170	12/18/2020	118.45	SEWER FEES
51969	LIBERTY TIRE SERVICES LLC	209234	12/23/2020	6,201.60	TIRE DISPOSAL
52254	LISCO	209079	12/11/2020	270.00	TECHNOLOGY SERVICES
52990	LOKTRONICS SECURITY CORP	209300	12/31/2020	100.12	OPERATING SUPPLIES
53691A	MACQUEEN EQUIPMENT, LLC	209080	12/11/2020	102.82	VHCL MTCE SUPPLIES
54390	MANATT'S INC	209301	12/31/2020	375.80	STREET MAINT SUPPLIES
55311	MASSMUTUAL RETIREMENT SER	209235	12/23/2020	700.00	HARTFORD DEF COMP PAYABLE
55493	MAX-R	209082	12/11/2020	204.00	BUILDING MAINTENANCE REPA
57195	MCMASTER-CARR	209083	12/11/2020	137.70	OPERATING SUPPLIES
57311	MEAD & HUNT	209172	12/18/2020	4,800.00	INFRASTRUCTURE
57385	MENARDS	209085	12/11/2020	1,502.64	OPERATING SUPPLIES
57414	MERCYONE OCCUPATIONAL	209173	12/18/2020	1,025.00	EMPLOYEE PHYSICALS/TESTS
57518	SYMETRA LIFE INSURANCE CO	209302	12/31/2020	4,259.76	GROUP LIFE PREMIUMS
58450	MICKMAN BROS	208989	12/04/2020	5,612.40	MERCHANDISE - RESALE
58500	MIDAMERICAN ENERGY CO	208991	12/04/2020	1,466.02	NATURAL GAS
58555	MID-IOWA SOLID WASTE	209175	12/18/2020	351.09	VHCL MTCE SUPPLIES
59301	MIDWEST AUTO GLASS & TIRE	209086	12/11/2020	143.58	BUILDING MAINTENANCE REPA
59382	MIDWEST TAPE	208992	12/04/2020	159.41	LIBRARY MAT.-JAMES ESTATE
60089	JOSH MILLER	209304	12/31/2020	180.00	SUSTENANCE SUPPLIES
60125	MILLER MECHANICAL SPECIAL	209087	12/11/2020	3,763.57	OTHER MAINT & REPAIR
61702	MOSE LEVY COMPANY INC	209305	12/31/2020	317.60	MISCELLANEOUS
61785	MOTION INDUSTRIES	208993	12/04/2020	960.20	EQUIP REPAIR
62575	MUNICIPAL FIRE & POLICE	209306	12/31/2020	244,157.44	FIRE RETIREMENT
62580	MUNICIPAL PIPE TOOL CO LL	209237	12/23/2020	935.18	VHCL MTCE SUPPLIES
62601	MUNICODE	208995	12/04/2020	595.00	ADVERT/LEGAL PUBL
63028	NALBOH	209177	12/18/2020	200.00	DUES & MEMBERSHIPS
63032	NCL OF WISCONSIN INC	208996	12/04/2020	277.96	LAB SUPPLIES
63681	NARTEC, INC.	208997	12/04/2020	537.80	TOOLS & SMALL EQUIP
64400	NATIONWIDE RETIREMENT SOL	209239	12/23/2020	3,390.00	NRS-NATION RETIRE SOL
66001	NORRIS ASPHALT PAVING INC	209090	12/11/2020	332,385.42	STREET MAINT
66561	OFFICIAL PEST CONTROL	209240	12/23/2020	55.00	SUSTENANCE SUPPLIES
66730	OHARA HARDWARE	209093	12/11/2020	794.04	
66737A	OLD DOMINION BRUSH	209178	12/18/2020	1,269.64	VHCL MTCE SUPPLIES
67058	ONSITE SERVICE SOLUTIONS	209094	12/11/2020	900.00	CONTRACTUAL SERVICES
67098	O'REILLY AUTOMOTIVE	209031	12/07/2020	98.57	VHCL MTCE SUPPLIES
67100	ORIENTAL TRADING CO INC	208998	12/04/2020	519.96	PROGRAM SUPPLIES

REPORT DATE 01/14/2021
 SYSTEM DATE 01/14/2021
 FILES ID 0

CITY OF OTTUMWA
 VENDOR PAYMENT REPORT

PAGE 4
 TIME 09:54:58
 USER TJ

Vendor #	Vendor Name	Check Number	Check Date	Amount	Reason
67101	OTC BRANDS INC	209307	12/31/2020	356.16	PROGRAM SUPPLIES
67685	OTTUMWA AREA CONVENTION &	209096	12/11/2020	29,815.42	CONV & VISITOR BUREAU
67759	OTTUMWA COMMUNITY SCHOOLS	209241	12/23/2020	129.68	OFFICE SUPPLIES
68000	OTTUMWA COURIER	209097	12/11/2020	1,517.94	ADVERT/LEGAL PUBL
68192	OTTUMWA FIBER LLC	209242	12/23/2020	150.00	TECHNOLOGY SERVICES
68560	OTTUMWA PRINTING, INC.	209181	12/18/2020	486.00	PRINTING
68588	OTTUMWA REGIONAL HEALTH	209182	12/18/2020	2,300.00	WELLNESS PROGRAM
68660	OTTUMWA TELEPHONE SERVICE	209099	12/11/2020	85.00	OPERATING SUPPLIES
69040	OTTUMWA WATER AND HYDRO	209183	12/18/2020	11,135.30	BILLING FEES-WW
69040A	OTTUMWA WATER & HYDRO	209001	12/04/2020	43.39	WATER
69201	OVERHEAD DOOR CO. OF OTTU	209100	12/11/2020	637.50	OPERATING SUPPLIES
69257	NATHAN OVERTURF	209243	12/23/2020	350.00	WEED MOWING
69688	DIXIE L PARKER	209002	12/04/2020	1,400.00	JANITORIAL
70009	PARKER TREE SERVICE	209309	12/31/2020	10,800.00	CONTRACTUAL SERVICES
70610	PAYMENT REMITTANCE CENTER	209246	12/23/2020	7,263.63	STREET MAINT SUPPLIES
71950	PIERCE CONST & FENCE CO L	209247	12/23/2020	377.93	OPERATING SUPPLIES
72035	PIPESTONE VET CLINIC OF	209101	12/11/2020	234.00	OTHER PROF SERV
72250	PITNEY BOWES GLOBAL	209102	12/11/2020	148.26	RENTS & LEASES
72561	PLUMB SUPPLY COMPANY-OT	209033	12/07/2020	190.57	OPERATING SUPPLIES
72986	PORTZEN CONSTRUCTION INC	209248	12/23/2020	1,420.30	MISCELLANEOUS
73250	POTENTIALS INC	209104	12/11/2020	10,000.00	CAPITAL IMPROVEMENTS
73302	POWERS' SEEDS	209003	12/04/2020	1,702.40	CELL DEVELOPMENT
73419	PRAETORIAN DIGITAL	209004	12/04/2020	2,210.00	TRAINING
73926	PRODUCTIVITY PLUS ACCOUNT	209185	12/18/2020	23.35	VHCL MTCE SUPPLIES
73971	PROFESSIONAL JANITORIAL	209105	12/11/2020	2,000.00	JANITORIAL
74626	QUALITY SERVICES CORP	209005	12/04/2020	1,717.03	VHCL MTCE SUPPLIES
74740	RJ PERFORMANCE INC	209250	12/23/2020	129.98	VHCL MTCE SUPPLIES
74955	RACOM CORPORATION	209006	12/04/2020	131.00	EQUIP REPAIR
76105	ROBYN REESE	209251	12/23/2020	650.00	GRANT
76321A	RELIANT FIRE APPARATUS, IN	209106	12/11/2020	1,169.13	VHCL MTCE SUPPLIES
77309	GAIL ROBERTS	209252	12/23/2020	87.60	OFFICE SUPPLIES
77975	ROTO-ROOTER	209186	12/18/2020	126.50	EQUIP REPAIR
78105	ROYAL PORTABLE TOILETS	209187	12/18/2020	194.40	OPERATING SUPPLIES
78350	SADLER POWER TRAIN	209314	12/31/2020	317.73	VHCL MTCE SUPPLIES
78351	SAFE FLEET LAW ENFORCEMEN	209188	12/18/2020	738.90	EQUIP REPAIR
78718	SANDRY FIRE SUPPLY LLC	209253	12/23/2020	10,989.00	TOOLS & SMALL EQUIP
79298	SCHOLASTIC INC.	209108	12/11/2020	524.90	PROGRAM SUPPLIES
79358	SCHUMACHER ELEVATOR CO	209189	12/18/2020	498.70	BUILDING MAINTENANCE REPA
81360	SHERWIN WILLIAMS	209190	12/18/2020	24.46	STREET MAINT SUPPLIES
81507	SHRED-IT USA	209316	12/31/2020	128.64	BLDG MAINT & REPAIR
82136	SINCLAIR NAPA	209034	12/07/2020	427.12	VHCL MTCE SUPPLIES
83920	SOUTHERN IOWA ELECTRIC	209109	12/11/2020	78.50	OPERATING SUPPLIES
84947	STANARD & ASSOC INC	209009	12/04/2020	121.00	OTHER PROF SERV
85639	STEADY WALKER, INC	209110	12/11/2020	10,000.00	CAPITAL IMPROVEMENTS
85691	STEGER CONSTRUCTION INC	209317	12/31/2020	319,437.21	CONTRACTUAL SERVICES
86153	STEW HANSEN	209010	12/04/2020	117,972.00	OTHER CAPITAL EQUIP
86196	THE STITCH DOCTOR	209318	12/31/2020	2,440.42	CONTRACTUAL SERVICES
86199	STIVERS FORD	209011	12/04/2020	104,934.00	AUTOMOTIVE EQUIPMENT
86661	SUEZ TREATMENT SOLUTIONS	209111	12/11/2020	4,568.80	OPERATING SUPPLIES
86704	SUMMIT FIRE PROTECTION CO	209193	12/18/2020	800.00	VHCL MTCE SUPPLIES
86794	WILLCO INC	209035	12/07/2020	2,980.00	CAPITAL IMPROVEMENTS
86970	SUPREME STAFFING INC	209194	12/18/2020	9,340.44	CONTRACT EMPLOYEES
87516	SYN-TECH SYSTEMS	209013	12/04/2020	168.00	VHCL-FUEL
87593	TK CONCRETE	209254	12/23/2020	166,861.61	ENGINEERING

REPORT DATE 01/14/2021
 SYSTEM DATE 01/14/2021
 FILES ID 0

CITY OF OTTUMWA
 VENDOR PAYMENT REPORT

PAGE 5
 TIME 09:54:58
 USER TJ

Vendor #	Vendor Name	Check Number	Check Date	Amount	Reason
88000	TEAMSTER LOCAL UNION 238	209255	12/23/2020	1,253.84	POLICE UNION DUES PAYABLE
88661	THOMSON REUTERS-WEST	209014	12/04/2020	468.19	BOOKS FILMS RECORDING/ART
88858	TIFCO INDUSTRIES	209195	12/18/2020	702.20	VHCL MTCE SUPPLIES
89073	JEREMY TOSH	209113	12/11/2020	200.00	SUSTENANCE SUPPLIES
89211	TOYNE INC.	209320	12/31/2020	808.92	TOOLS & SMALL EQUIP
89850	TRUCK EQUIPMENT INC	209256	12/23/2020	414.62	VHCL MTCE SUPPLIES
90846	UPS	209321	12/31/2020	159.96	POSTAGE & SHIPPING
90861	US CELLULAR	209197	12/18/2020	212.45	CONTRACTUAL SERVICES
90885	UNITYPOINT CLINIC	209198	12/18/2020	126.00	EMPLOYEE PHYSICALS/TESTS
91835	USA BLUE BOOK	209199	12/18/2020	1,287.66	LAB SUPPLIES
91835A	USA BLUE BOOK ACCT. REC.	209115	12/11/2020	1,289.06	OPERATING SUPPLIES
92008	VETERAN CONSTRUCTION &	209116	12/11/2020	13,904.00	OTHER SMALL CAPITAL
92273	VALLEY ENVIRONMENTAL SERV	209017	12/04/2020	185.00	MISC CONTRACT WORK
92555A	VAN METER INCORPORATED	209117	12/11/2020	1,241.27	OPERATING SUPPLIES
92640	VAUGHN AUTOMOTIVE	209018	12/04/2020	84.35	VHCL MTCE SUPPLIES
92648	VEENSTRA & KIMM INC	209324	12/31/2020	36,777.29	MISCELLANEOUS
92681A	VERMEER IOWA & N MISSOURI	209259	12/23/2020	112.00	VHCL MTCE SUPPLIES
92923	VISION INDUSTRIAL SALES	209118	12/11/2020	706.56	VHCL MTCE SUPPLIES
94000	WALMART COMMUNITY/SYNCR	209260	12/23/2020	915.99	EQUIP REPAIR
94125	WAPELLO COUNTY	209019	12/04/2020	3,621.84	DRUG TASK FORCE GRANT
94704	WAPELLO COUNTY RECORDER	209020	12/04/2020	373.00	RECORDING & COURT FEES
94721	WAPELLO CO SHERIFF'S OFFI	209021	12/04/2020	3,383.86	DRUG TASK FORCE GRANT
95000	WAPELLO COUNTY UNITED WAY	209261	12/23/2020	26.00	UNITED WAY DED PAYABLE
95120	WAPELLO RURAL WATER ASSC	209119	12/11/2020	36.68	WATER
95315	WATEROUS COMPANY	209262	12/23/2020	1,649.66	VHCL MTCE SUPPLIES
95368	WAYNE'S TIRE	209263	12/23/2020	1,137.14	VHCL MTCE SUPPLIES
95611	WELLMARK BC & BS OF IOWA	209265	12/23/2020	268,309.47	GROUP HEALTH CLAIMS
96809	WILLCO, INC	209122	12/16/2020	2,980.00	CAPITAL IMPROVEMENTS
97036	EDWARD WILSON	209022	12/04/2020	179.99	MISCELLANEOUS
97305	WINDSTREAM	209023	12/04/2020	1,097.26	TELEPHONE/IT
97320	WINGER COMPANIES	209024	12/04/2020	1,156.39	OPERATING SUPPLIES
97321	WINGER SERVICE	209202	12/18/2020	14,663.56	CAPITAL IMPROVEMENTS
97334	WINN CORP	209121	12/11/2020	10,385.57	STREET MAINT SUPPLIES
97577	WOODRIVER ENERGY LLC	209203	12/18/2020	5,968.98	NATURAL GAS

TOTAL NUMBER OF CHECKS 521 WRITTEN TO 250 VENDORS FOR 3,589,230.76

US Treasury 59,081.41 Employers Share OF FICA
 US Treasury 68,003.76 Employers Share of FICA
 US Treasury 99,470.34 Employers Share of FICA
 Treasurer State of IA 20,381.00 Employers Share State Tax
 Treasurer State of IA 23,749.00 Employers Share State Tax
 Ipers 44,701.61 Employers Share ITERS
3,859,667.88

CITY OF OTTUMWA
Staff Summary

**** ACTION ITEM ****

Council Meeting of : Jan 19, 2021

WPCF
Department

Katy King
Prepared By
Larry Seals *Larry Seals*
Department Head

[Signature]

City Administrator Approval

AGENDA TITLE: Approve the Appointment of Bruce Hopkins to the position of Operator in the Water Pollution Control Facility effective February 1, 2021.

RECOMMENDATION: Approve the Appointment.

DISCUSSION: Bruce Hopkins would fill a second vacant position of Water Pollution Control Facility Operator at the Waste Water Plant. This position would become effective February 1, 2021 and salary will be \$20.60 per hour. This is a Civil Service, Bargaining Unit position.

Source of Funds:

Budgeted Item: Budget Amendment Needed: No

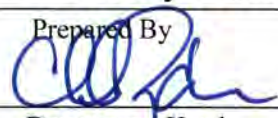
CITY OF OTTUMWA
Staff Summary

**** ACTION ITEM ****

Council Meeting of: Jan 19, 2021

Police
Department

Lt. Mickey Hucks

Prepared By

Department Head


City Administrator Approval

AGENDA TITLE: Approve the appointment of Shelby Smith to the full-time position of Communication Specialist for the Ottumwa Police Department.

****Public hearing required if this box is checked.****

—The Proof of Publication for each Public Hearing must be attached to the Staff Summary. If the Proof of Publication is not attached, the item will not be placed on the agenda.

RECOMMENDATION: Approve the appointment of Shelby Smith to the full-time position of Communication Specialist for the Ottumwa Police Department effective January 20, 2021.

DISCUSSION: The appointment of Shelby Smith will fill an opening caused by the resignation of Danielle Leffler. Street graduated from Southeastern Community College in 2018 then attended the Graceland University graduating in 2020 with a Bachelor of Arts degree. Smith is on the current civil service list and this position is included in the 2020/2021 Police Department budget. This appointment is pending test results.

Source of Funds: 001-111-6010

Budgeted Item: Budget Amendment Needed: No

CITY OF OTTUMWA

Staff Summary

**** ACTION ITEM ****

Council Meeting of: January 19, 2021

Public Works - WPCF
Department

Ron Jacobsen
Prepared By

Larry Seals *Larry Seals*
Department Head

[Signature]

City Administrator Approval

AGENDA TITLE: Approve the purchase of new Flygt 3153 Pump for Barton's branch.

 ****Public hearing required if this box is checked.**** ****The Proof of Publication for each Public Hearing must be attached to this Staff Summary. If the Proof of Publication is not attached, the item will not be placed on the agenda.****

RECOMMENDATION:

Approve the purchase of a new Flygt Model 3153 pump from Electric Pump in Des Moines, IA for the quoted price of \$14,069

DISCUSSION:

The Barton's Branch Pump Station is located at the Water Pollution Control Facility Headwork's Building. Barton's Branch, along with the Elm Street Pump Station, supplies nearly all the waste water coming to the Plant. Barton's handles combined sewer flows from the east end of town up to the neighborhood around Ottumwa Regional Health Center.

This Flygt Model 3152 has been in service 24 years and the quoted price to repair is \$9826.60 with a 90 day warranty on the rebuild and 1 year on parts. A new pump Flygt Model 3153 is \$14,069 with a 5 year warranty. Because of the age of the existing pump, cost of this repair, and anticipated future maintenance of the existing pump, staff recommends the purchase of a new pump.

WPCF budgeted \$65,000 in account 610-8-815-6727 for pump replacements. There is \$55,115 remaining in this line item and the funds will come out of the remaining balance.

Source of Funds: Sewer Fund

Budgeted Item: Yes

Budget Amendment Needed: NO



QUOTATION

4280 E 14th Street
Des Moines IA 50313-2604 USA

Telephone: (515) 265-2222 / FAX (515) 265-8079
Toll Free 1-800-383-PLUMP

www.electricpump.com

QUOTE NUMBER: 0140530
QUOTE DATE: 1/11/2021
EXPIRE DATE: 2/11/2021

SALESPERSON: CHAD SPARKS
CUSTOMER NO: 6830641
QUOTED BY: JRF
JOYCE

QUOTED TO:
OTTUMWA WATER POLL CONTROL
2222 SOUTH EMMA
OTTUMWA, IA 52501

JOB LOCATION:
OTTUMWA WATER POLL CONTROL
2222 SOUTH EMMA
OTTUMWA, IA 52501

CONFIRM TO:
JAY MERRILL

***** QUOTE ORDER - DO NOT PAY*****

CUSTOMER P.O. JAY MERRILL	SHIP VIA BESTWAY	F.O.B. ORIGIN	TERMS Net 30 Days			
ITEM NUMBER	UNIT	ORDERED	SHIPPED	BACK ORDER	PRICE	AMOUNT

WE ARE PLEASED TO OFFER THE FOLLOWING QUOTATION FOR
REPLACING YOUR EXISTING FLYGT3152.091-6093 SN: 9540041
WITH NEW PUMP:

0031530950671	EACH	1.00	0.00	0.00	14,069.00	14,069.00
---------------	------	------	------	------	-----------	-----------

ESTIMATED LEAD TIME IS 4 TO 6 WEEKS ARO, SUBJECT TO
FACTORY CHANGE DUE TO COVID

THE PRICING ON THIS QUOTE DOES NOT INCLUDE
FREIGHT.

Electric Pump is committed to supplying you, our
customer, the highest quality products & service.

joycef@electricpump.com

THANK YOU, JOYCE FROHWEIN

All return goods must have written approval from Electric Pump, Inc.
before returning. Credit will not be issued without written approval and
if applicable there will be a Restock Fee.

Net Order:	14,069.00
Less Discount:	0.00
Freight:	0.00
Sales Tax:	0.00
Order Total	14,069.00

ABOVE PRICING EFFECTIVE FOR 30 DAYS



QUOTATION

4280 E 14th Street
Des Moines IA 50313-2604 USA

Telephone: (515) 265-2222 / FAX (515) 265-8079
Toll Free 1-800-383-PUMP

www.electricpump.com

QUOTE NUMBER: 0140487
QUOTE DATE: 1/6/2021
EXPIRE DATE: 2/6/2021

SALESPERSON: CHAD SPARKS
CUSTOMER NO: 6830641
QUOTED BY: jar
FLYGT 3152.091-6093 5

QUOTED TO:
OTTUMWA WATER POLLCONTROL
2222 SOUTH EMMA
OTTUMWA, IA 52501

JOB LOCATION:
OTTUMWA WATER POLLCONTROL
2222 SOUTH EMMA
OTTUMWA, IA 52501

CONFIRM TO:
JAY MERRILL

*** QUOTE ORDER - DO NOT PAY***

CUSTOMER P.O.	SHIP VIA OURTRK	F.O.B. ORIGIN	TERMS Net 30 Days			
ITEM NUMBER	UNIT	ORDERED	SHIPPED	BACK ORDER	PRICE	AMOUNT
PUMP: FLYGT 3152.091-6093 SN: 9540041						
CAUSE OF FAILURE: MISSING IMPELLER BOLT AND KEYWAY.						
0000005108805	EACH	1.00	0.00	0.00	2,470.00	2,470.00
			ROTOR UNIT			
0000005188902	EACH	1.00	0.00	0.00	239.00	239.00
			DETECTOR,LEAKAGE UNIT FLS			
0000000807069	EACH	1.00	0.00	0.00	4.20	4.20
			KEY,PARALLEL 10X8X45MM STEEL			
0000003190901	EACH	1.00	0.00	0.00	7.10	7.10
			COVER,BEARING SS			
0000003956100	EACH	1.00	0.00	0.00	62.00	62.00
			RETAINER,GREASE			
0000005108201	EACH	1.00	0.00	0.00	2,620.00	2,620.00
			HOUSING,BEARING CI			
0000000824417	EACH	2.00	0.00	0.00	8.10	16.20
			WASHER,STEEL 45MM ID 55MM OD			
0000003816200	EACH	1.00	0.00	0.00	83.00	83.00
			WASHER,SUPPORTING SS			
0000000844258	EACH	1.00	0.00	0.00	30.00	30.00
			SCREW,ALLEN M12 X 60 SS			
0000003817400	EACH	2.00	0.00	0.00	9.10	18.20
			GASKET,NBR			
0000000825896	EACH	1.00	0.00	0.00	3.40	3.40
			RING,RETAINING ID-23.2MM			
0000000824409	EACH	1.00	0.00	0.00	4.20	4.20
			WASHER,STEEL 25MM ID 35MM OD			
0000000824636	EACH	1.00	0.00	0.00	80.00	80.00
			BEARING,ROLLER CYL SNGL ROW62X30X16MM,10X10MM, NU206ECPC3			
0000000826212	EACH	1.00	0.00	0.00	6.10	6.10
			RING,RETAINING OD-66.2MM			
0000005108500	EACH	1.00	0.00	0.00	33.00	33.00

*** Continued ***



QUOTATION

4280 E 14th Street
Des Moines IA 50313-2604 USA
Telephone: (515) 265-2222 / FAX (515) 265-8079
Toll Free 1-800-383-PUMP

www.electricpump.com

QUOTE NUMBER: 0140487
QUOTE DATE: 1/6/2021
EXPIRE DATE: 2/6/2021

SALESPERSON: CHAD SPARKS
CUSTOMER NO: 6830641
QUOTED BY: jar
FLYGT 3152.091-6093 S

QUOTED TO:
OTTUMWA WATER POLL CONTROL
2222 SOUTH EMMA
OTTUMWA, IA 52501

JOB LOCATION:
OTTUMWA WATER POLL CONTROL
2222 SOUTH EMMA
OTTUMWA, IA 52501

CONFIRM TO:
JAY MERRILL

*** QUOTE ORDER - DO NOT PAY ***

CUSTOMER P.O.	SHIP VIA OURTRK	F.O.B. ORIGIN	TERMS Net 30 Days			
ITEM NUMBER	UNIT	ORDERED	SHIPPED	BACK ORDER	PRICE	AMOUNT
000000827034	EACH	2.00	0.00	0.00	30.00	60.00
		WASHER, PROTECTIVE STEEL				
000000826942	EACH	1.00	0.00	0.00	2.20	2.20
		PLUG, M30 STEEL				
0000005504000	EACH	1.00	0.00	0.00	92.00	92.00
		PLUG, PROTECTIVE PE				
0000006018921	EACH	1.00	0.00	0.00	3,001.00	3,001.00
		PLATE, INSULATING				
/MISC	EACH	1.00	0.00	0.00	25.00	25.00
		KIT, REPAIR BASIC+3152.091, 181				
/ENVI	EACH	1.00	0.00	0.00	60.00	60.00
		MISC. SHOP SUPPLIES				
/PSGT	HOUR	7.00	0.00	0.00	130.00	910.00
		OIL AND ENVIRONMENTAL CHARGE				
		PUMP LABOR-SEWAGE/SUBMERSIBLE				

THE PRICING IN THIS QUOTE DOES NOT INCLUDE FREIGHT,
INSTALLATION, AND START UP

THANK YOU, JULIE ROKES
julier@electricpump.com
CC: CHAD SPARKS (515) 707-1929

All return goods must have written approval from Electric Pump, Inc.
before returning. Credit will not be issued without written approval and
if applicable there will be a Restock Fee.

Net Order:	9,826.60
Less Discount:	0.00
Freight:	0.00
Sales Tax:	0.00
Order Total	9,826.60

ABOVE PRICING EFFECTIVE FOR 30 DAYS

CITY OF OTTUMWA

Staff Summary

**** ACTION ITEM ****

Council Meeting of: January 19, 2021

Engineering
Department

Alicia Bankson
Prepared By
Alicia Bankson
Department Head

[Signature]
City Administrator Approval

AGENDA TITLE: Resolution #18-2021. Approving the Plans, Specifications, Form of Contract and Estimated Cost for the Johnson Avenue Reconstruction Project.

****Public hearing required if this box is checked. ****

****The Proof of Publication for each Public Hearing must be attached to this Staff Summary. If the Proof of Publication is not attached, the item will not be placed on the agenda.****

RECOMMENDATION: Pass and adopt Resolution #18-2021.

DISCUSSION: This project involves full-width, full depth 8" PCC reconstruction of Johnson Avenue from Albia Road approximately 206' south. Consideration was given to a mill and HMA overlay. Considering the use of this section for semi's turning traffic movements, PCC would be a better structural choice.

This project has 25 working days.

Bids will be received and opened by the City of Ottumwa on February 10, 2021 at 2:00 p.m. The bid report and bid award recommendation will be presented at the City Council meeting on February 16, 2021, or at a later date as determined by staff.

Funding: ESRP \$900,000
\$358,509.81 remaining

Estimated cost: \$109,000

RESOLUTION #18-2021

A RESOLUTION APPROVING THE PLANS, SPECIFICATIONS, FORM OF CONTRACT,
AND ESTIMATED COST FOR THE JOHNSON AVENUE RECONSTRUCTION PROJECT

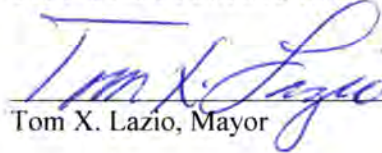
WHEREAS, The City Council of the City of Ottumwa, Iowa has conducted a public hearing on the plans, specifications, form of contract, and estimated cost for the above referenced project; and,

WHEREAS, No objections to the said plans, specifications, form of contract and estimated cost were received.


NOW, THEREFORE, BE IT RESOLVED, BY THE CITY COUNCIL OF THE CITY OF OTTUMWA, IOWA THAT: The plans, specifications, form of contract, and estimated cost for the above referenced project are hereby approved and adopted.

APPROVED, PASSED, AND ADOPTED, this 19th day of January, 2021.

CITY OF OTTUMWA, IOWA


Tom X. Lazio, Mayor

ATTEST:

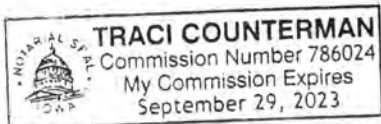


Christina Reinhard, City Clerk

PROOF OF PUBLICATION

STATE OF IOWA
WAPELLO COUNTY

I, Ron Gutierrez, being duly sworn on my oath, say I am the Publisher of the Ottumwa Courier, a newspaper printed in said Wapello County, Iowa and of general circulation there in, and that the advertisement

Section 00010 Notice to Public
City of Ottumwa hereto attached was
published in said newspaper for 1 consecutive weeks to-wit: 01/09/2020 Subscribed and sworn to
before me, and in my presence, by the said 9th day of December, 2020
January



Traci Cousterman

Notary Public

In and for Wapello County

Printer's fee \$ 20.90

COPY

SECTION 00010
NOTICE OF PUBLIC HEARING
The City Council of Ottumwa, Iowa, will hold a public hearing on the proposed Plans and Specifications, form of contract and estimate of cost for the construction of said improvements described in general as "JOHNSON AVENUE RECONSTRUCTION, Ottumwa, Iowa" at 5:30 o'clock p.m. on the 19th day of January 2021, in the Council Chambers, City Hall, Ottumwa, Iowa. At said hearing any interested person may appear and file objections thereto or to the cost of the improvements. At the hearing, the City will receive and consider any objections made by any interested party, to the Plans and Specifications, proposed form of Contract, and the estimate of cost for the project. The work to be done is as follows: Furnish all labor, materials and equipment to construct the following: This project involves full-width, full depth PCC Reconstruction of Johnson Avenue from Albia Road approximately 200' south. All work and materials are to be in strict compliance with the Plans and Specifications prepared by the City of Ottumwa Engineering Department which together with the proposed form of contract and estimate of cost have heretofore been approved by the City and are now on file for public examination in the office of the Clerk, and are by this reference made a part hereof as though fully set out and incorporated herein. CITY OF OTTUMWA, IOWA By: Tom X. Lazio, Mayor ATTEST: Christina Reinhard, City Clerk

MENT

1/9/21

SECTION 00010

NOTICE OF PUBLIC HEARING

The City Council of Ottumwa, Iowa, will hold a public hearing on the proposed Plans and Specifications, form of contract and estimate of cost for the construction of said improvements described in general as "JOHNSON AVENUE RECONSTRUCTION, Ottumwa, Iowa" at 5:30 o'clock p.m. on the 19th day of January 2021, in the Council Chambers, City Hall, Ottumwa, Iowa. At said hearing any interested person may appear and file objections thereto or to the cost of the improvements. At the hearing, the City will receive and consider any objections made by any interested party, to the Plans and Specifications, proposed form of Contract, and the estimate of cost for the project. The work to be done is as follows: Furnish all labor, materials and equipment to construct the following: This project involves full-width, full depth PCC Reconstruction of Johnson Avenue from Albia Road approximately 200' south. All work and materials are to be in strict compliance with the Plans and Specifications prepared by the City of Ottumwa Engineering Department which together with the proposed form of contract and estimate of cost have heretofore been approved by the City and are now on file for public examination in the office of the Clerk, and are by this reference made a part hereof as though fully set out and incorporated herein. CITY OF OTTUMWA, IOWA By: Tom X. Lazio, Mayor ATTEST: Christina Reinhard, City Clerk

CITY OF OTTUMWA
Staff Summary

**** ACTION ITEM ****

Council Meeting of : Jan 19, 2021

Health & Inspections
Department

Jody Gates
Prepared By
Kevin C Flanagan *KCF*
Department Head

PJ Ru

City Administrator Approval

AGENDA TITLE: Resolution No. 12 - 2021, a resolution by the Ottumwa City Council fixing an amount for abating a nuisance against certain lots in the City of Ottumwa, Iowa

****Public hearing required if this box is checked.****

The Proof of Publication for each Public Hearing must be attached to this Staff Summary. If the Proof of Publication is not attached, the item will not be placed on the agenda.

RECOMMENDATION: Pass and adopt Resolution No. 12 - 2021

DISCUSSION: The City abated nuisances on the 13 properties listed on this resolution. Property owners were billed for the nuisance abatement work and the invoices for the properties listed here remain unpaid. Nuisance abatement costs on this resolution total \$13,462.97.

RESOLUTION NO. 12 – 2021

A RESOLUTION BY THE OTTUMWA CITY COUNCIL FIXING AN AMOUNT FOR ABATING A NUISANCE AGAINST CERTAIN LOTS IN THE CITY OF OTTUMWA, IOWA

WHEREAS, the nuisances existing on properties located at 920 Boone, 1441 Casa Blanca, 1202 Castle, 313 N. Cherry, 221 Clem, 126 S. Cooper, 37 Kingsley Drive, 441 N. Market, 114 S. Market, 880 Montagne Lane, 236 Phillips, Outlot 5 Venture Drive and 329 N. Weller were abated by the City of Ottumwa; and

WHEREAS, the Code of Iowa, Section 364.12, allows the City Council to assess costs of abating nuisances against real estate taxes in the same manner as the property tax; and

WHEREAS, said costs are as follows:

1. 920 Boone – legal description – Lot 5 Block 14 in Clinton Place Addition to the City of Ottumwa, Wapello County, Iowa, contract holder Brandy K. Aldinger, Fred and Joyce Hill deed holders and the nuisance abatement costs are \$435.15 – Parcel #007416280187000.
2. 1441 Casa Blanca- legal description – Lot 16 in Wormhoudt 5th Addition to the City of Ottumwa, Wapello County, Iowa, owner Leslie Greinier and the nuisance abatement costs are \$364.25 – Parcel #007417430016000.
3. 1202 Castle – legal description – Lot 39 of Castle's Addition except East 53 feet thereof to the City of Ottumwa, Wapello County, Iowa, owner Charles R. Baird and the nuisance abatement costs are \$329.25 – Parcel #007413090030000.
4. 313 N. Cherry – legal description – Rear 50' of Lot 11 Block 9 and Rear 50' of Lot 12 in Block 9 of Blake's Addition to the City of Ottumwa, Wapello County, Iowa, owners Harold G. Kempf L.E. and Sherry Smith, and the nuisance abatement costs are \$457.93 – Parcel #007410120076000.
5. 221 Clem – legal description – Fifty feet off the Southwest end of Lot 3 and ten feet off the Northeast end of Lot 2 of Summer's Subdivision of Lots 7 and 11 and a part of Lots 8,9,10,12 and 14 in Summer's Addition to the city of Ottumwa, Wapello County, Iowa, owner Nicasius A. Awungdeh and the nuisance abatement costs are \$414.25 – Parcel #007414070005000.
6. 126 S. Cooper – legal description – Lot 26 Block 2 of Janney Addition to the City of Ottumwa, Wapello County, Iowa, owner Kamryn R. Bills, f/k/a, Kamryn R. Stephens and the nuisance abatement costs are \$575.30 – Parcel #007410830047000.
7. 37 Kingsley Drive – legal description – Lot 10 in Holtsinger & Lawson's First Addition to the City of Ottumwa, Wapello County, Iowa, owner Evalyn K. Mather and the nuisance abatement costs are \$454.67 – Parcel #007416570010000.
8. 441 N. Market – legal description – Lot 10 and the Southwest 2 feet of Lot 9 in Porter and Company Subdivision of Outlots No. 30 and 31 in the City of Ottumwa, Wapello

County, Iowa, except a strip 14 feet wide off the north side thereof, owner Mary Welch and the nuisance abatement costs are \$693.50 – Parcel #007411270014000.

9. 114 S. Market – legal description – the Northeast 25 feet of the Northwest 64 feet of Lot 292 in block 22 in the Original Plat of the City of Ottumwa, Wapello County, Iowa, owner Bret W. Geiger and the nuisance abatement costs are \$275.25 – Parcel #007411240263000.

10. 880 Montagne Lane – legal description – West 13 acres of the East 40.68 acres of the North Half of the Southeast Quarter of Section 2, Township 71 North, Range 14 West of the 5th P.M. in Wapello County, Iowa, except roadways, owner Terilyn C. Jacob and the nuisance abatement costs are \$7,554.71 – Parcel #007427500158000.

11. 236 Phillips – legal description – Lot 65 Block 6 in Siberells First Addition to the City of Ottumwa, Wapello County, Iowa, owner Joanna Eidson and the nuisance abatement costs are \$996.12 – Parcel #007413960064000.

12. Venture Drive Outlot 5 – legal description – Horne second sub of Lot 3 Horne Subdivision Outlot 5 (Retention Basin) owner Horne Properties LP and the nuisance abatement costs are \$357.10 – Parcel #007417840004000.

13. 329 N. Weller – legal description – Lot 91 Dain Addition to the City of Ottumwa, Wapello County, Iowa, owner Mary Welch and the nuisance abatement costs are \$555.49 – Parcel #007416330084000.


NOW, THEREFORE BE IT RESOLVED THAT the foregoing amounts are assessed against the respective properties as set forth hereinabove.

Passed and adopted this 19th day of January 2021.

City of Ottumwa, Iowa


Tom X. Lazio, Mayor

ATTEST:


Christina Reinhard, City Clerk

City of Ottumwa


Staff Summary

Council Meeting of: January 19, 2021

Item No 14-2021

Finance Department
Department


City Administrator

Kala Mulder
Prepared By

Department Head

Agenda Title: Resolution No. 14-2021 Directing Sale of \$9,010,000 (Subject To Adjustment Per Terms of Offering) General Obligation Capital Loan Notes Series 2021A.

.....
Purpose: Directing the Acceptance of a Proposal to Purchase \$9,010,000* General Obligation Capital Loan Notes; and Approving the Form and Authorizing Execution of a Note Purchase Agreement.

Recommendation: Pass and adopt Resolution No. 14-2021.

Discussion: General Obligation Capital Loan Notes, in order to provide funds to pay the costs of equipping the police and fire departments; the acquisition, restoration, or demolition of abandoned, dilapidated, or dangerous buildings, structures or properties; the construction, reconstruction, and repairing of any street, bridge and levee improvements, the acquisition, installation, and repair of sidewalks, storm sewers, sanitary sewers, water service lines, street lighting, and traffic control devices, including the acquisition of any real estate needed for any of the foregoing purposes and the removal or replacement of dead or diseased trees; the reconstruction extension and improvement of the airport; the rehabilitation and improvements of City parks, including facilities, equipment and improvements commonly found in City parks; and refinancing and refunding certain outstanding indebtedness of the City, including the Taxable General Obligation Bonds, Series 2011 dated October 19, 2011, for essential corporate purposes, and that notice of the proposed action by the Council to institute proceedings for the authorization of the Loan Agreement and the issuance of the Notes had been published as provided by Sections 384.24A and 384.25 of the Code of Iowa. Principal and interest on the proposed Loan Agreement will be payable from the Debt Service Fund.

ITEMS TO INCLUDE ON AGENDA

CITY OF OTTUMWA, IOWA

\$9,010,000* General Obligation Capital Loan Notes, Series 2021A

- Resolution Directing the Acceptance of a Proposal to Purchase \$9,010,000* General Obligation Capital Loan Notes; and Approving the Form and Authorizing Execution of a Note Purchase Agreement

NOTICE MUST BE GIVEN PURSUANT TO IOWA CODE
CHAPTER 21 AND THE LOCAL RULES OF THE CITY.

January 19, 2021

The City Council of the City of Ottumwa, State of Iowa, met in regular session, in the Council Chambers, City Hall, 105 East 3rd Street, Ottumwa, Iowa, at 5:30 P.M., on the above date. There were present Mayor Lazio, in the chair, and the following named Council Members:

Matt Dalbey, Marc Roe, Skip Stevens, Holly Berg

Absent: Bob Meyers

Vacant: None

* * * * *

Council Member Roe introduced the following Resolution entitled "RESOLUTION DIRECTING THE ACCEPTANCE OF A PROPOSAL TO PURCHASE \$9,010,000* GENERAL OBLIGATION CAPITAL LOAN NOTES, SERIES 2021A; AND APPROVING THE FORM AND AUTHORIZING EXECUTION OF A NOTE PURCHASE AGREEMENT " and moved that it be adopted. Council Member Berg seconded the motion to adopt, and the roll being called thereon, the vote was as follows:

AYES: Matt Dalbey, Marc Roe, Skip Stevens, Holly Berg

NAYS: None

Whereupon, the Mayor declared the Resolution duly adopted as follows:

RESOLUTION NO. 14-2021

RESOLUTION DIRECTING THE ACCEPTANCE OF A PROPOSAL TO PURCHASE \$9,010,000* GENERAL OBLIGATION CAPITAL LOAN NOTES, SERIES 2021A; AND APPROVING THE FORM AND AUTHORIZING EXECUTION OF A NOTE PURCHASE AGREEMENT

WHEREAS, the City of Ottumwa, sometimes hereinafter referred to as the City, is a municipal corporation duly incorporated, organized and existing under and by virtue of the Constitution and laws of the State of Iowa; and

WHEREAS, it is deemed necessary that the City should enter into a Loan Agreement and borrow the amount of \$9,010,000* (as adjusted) as authorized by Sections 384.24A, 384.25 and 384.26, Code of Iowa as amended; and

WHEREAS, a proposal has been received from Piper Sandler & Co. of Des Moines, Iowa; and

WHEREAS, it is the intention of this City Council to enter into a Loan Agreement in accordance with said proposal dated January 19, 2021.

NOW THEREFORE, BE IT RESOLVED, BY THE CITY COUNCIL OF THE CITY OF OTTUMWA, STATE OF IOWA:

Section 1. That this City Council does hereby accept the attached proposal of Piper Sandler & Co. of Des Moines, Iowa, including the form and content of the Note Purchase Agreement, the provisions of which are hereby approved, authorized, and confirmed, and the Mayor and City Clerk are hereby authorized, empowered, and directed to execute and deliver the Note Purchase Agreement, in substantially the form and content now before this meeting, but with such changes, modifications, additions or deletions approved by the Finance Director and City Clerk, upon the advice of bond counsel; and the City Council takes additional action to permit the entering into of a Loan Agreement.


Section 2. The Mayor and City Clerk are authorized and directed to proceed on behalf of the City to enter into such Loan Agreement, to negotiate the final terms of a Loan Agreement to take all action necessary to permit the entering into of a Loan Agreement on a basis favorable to the City and acceptable to the Purchaser, and to proceed to meet the conditions of this accepted proposal.

PASSED AND APPROVED this 19th day of January, 2021.



Mayor

ATTEST:



City Clerk

(Attach Copy of Terms of Proposal)

CERTIFICATE

STATE OF IOWA

)

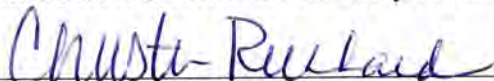
) SS

COUNTY OF WAPELLO

)

I, the undersigned City Clerk of the City of Ottumwa, State of Iowa, do hereby certify that attached is a true and complete copy of the portion of the records of the City showing proceedings of the Council, and the same is a true and complete copy of the action taken by the Council with respect to the matter at the meeting held on the date indicated in the attachment, which proceedings remain in full force and effect, and have not been amended or rescinded in any way; that meeting and all action thereat was duly and publicly held in accordance with a notice of meeting and tentative agenda, a copy of which was timely served on each member of the Council and posted on a bulletin board or other prominent place easily accessible to the public and clearly designated for that purpose at the principal office of the Council pursuant to the local rules of the Council and the provisions of Chapter 21, Code of Iowa, upon reasonable advance notice to the public and media at least twenty-four hours prior to the commencement of the meeting as required by law and with members of the public present in attendance; I further certify that the individuals named therein were on the date thereof duly and lawfully possessed of their respective City offices as indicated therein, that no Council vacancy existed except as may be stated in the proceedings, and that no controversy or litigation is pending, prayed or threatened involving the incorporation, organization, existence or boundaries of the City or the right of the individuals named therein as officers to their respective positions.

WITNESS my hand and the seal of the Council hereto affixed this 20th day of January, 2021.



City Clerk, City of Ottumwa, State of Iowa

(SEAL)

Financing Summary FY21 & 22 CIP Projects

City of Ottumwa, Iowa

Column	(15)	(16)	(17)	(18)	(19)	(20)	(21)	(22)
Row	Sources & Uses of Funds				New Bond Summary Details			
(1)	SOURCES				Delivery Date			
(2)	Principal Amount of Refunding Bonds			7,025,000	Arbitrage yield			2/16/21
(3)	Other Contribution				All-In TIC			1.55%
(4)	Premium or Discount			359,735	Average Maturity of new refunding bonds			1.67%
(5)								
(6)	Total Sources			7,384,735				
(7)								
(8)	USES							
(9)	FY21 & 22 CIP			7,286,450				
(10)	Purchase of Escrow Securities							
(11)	Total Costs of Issuance			98,285				
(12)								
(13)	Total Uses			7,384,735				
(14)								
(15)	Surplus of Sources over Uses			0				
(16)								
(17)								
(18)								
(19)	Date	Principal	New CIP Bonds		Total	Bond	Yield to	
(20)			Interest	Admin		Coupon	Maturity	Worst
(21)			Payments					
(22)	6/1/22	490,000	70,250	600	560,850	2.000%	0.301%	0.300%
(23)	6/1/23	0	65,350	600	65,950			
(24)	6/1/24	0	65,350	600	65,950			
(25)	6/1/25	0	65,350	600	65,950			
(26)	6/1/26	0	65,350	600	65,950			
(27)	6/1/27	0	65,350	600	65,950			
(28)	6/1/28	445,000	65,350	600	510,950	2.000%	0.850%	0.850%
(29)	6/1/29	455,000	60,900	600	516,500	2.000%	0.950%	0.950%
(30)	6/1/30	465,000	56,350	600	521,950	2.000%	1.126%	1.030%
(31)	6/1/31	475,000	51,700	600	527,300	2.000%	1.286%	1.130%
(32)	6/1/32	480,000	46,950	600	527,550	2.000%	1.395%	1.200%
(33)	6/1/33	490,000	42,150	600	532,750	2.000%	1.474%	1.250%
(34)	6/1/34	500,000	37,250	600	537,850	2.000%	1.542%	1.300%
(35)	6/1/35	510,000	32,250	600	542,850	2.000%	1.601%	1.350%
(36)	6/1/36	520,000	27,150	600	547,750	2.000%	1.652%	1.400%
(37)	6/1/37	530,000	21,950	600	552,550	2.000%	1.725%	1.500%
(38)	6/1/38	545,000	16,650	600	562,250	2.000%	1.765%	1.550%
(39)	6/1/39	555,000	11,200	600	566,800	2.000%	1.801%	1.600%
(40)	6/1/40	565,000	5,650	600	571,250	2.000%	1.851%	1.700%
(41)	Totals:	7,025,000	872,500	11,400	7,908,900			

Financing Summary

Taxable Refinancing of 2011 bond

City of Ottumwa, Iowa

Column	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)		
Row	Sources & Uses of Funds					New Bond Summary Details										
(1)	SOURCES					Delivery Date									2/16/21	
(2)	Principal Amount of Refunding Bonds					1,395,000	Arbitrage yield									1.27%
(3)	Other Contribution						All-In TIC									1.61%
(4)	Premium or Discount					0	Average Maturity of new refunding bonds									5.45
(5)																
(6)	Total Sources					1,395,000	Prior Bond Summary Details									
(7)							Prior Bond Dated date									10/19/11
(8)	USES						Par amount of callable bonds to be refunded									1,360,000
(9)	Call of Prior Bonds					1,371,145	Remaining Avg Maturity of Prior Bonds									5.77
(10)	Purchase of Escrow Securities						Remaining Avg Interest rate on prior bonds									4.222%
(11)	Total Costs of Issuance					23,855										
(12)							Summary of Savings from Refinancing									
(13)	Total Uses					1,395,000	Nominal Savings									198,812
(14)							Net PV Savings									185,026
(15)	Surplus of Sources over Uses					0	% Savings Net PV									13.605%
(16)							Savings as a % of remaining interest cost									60.046%
(17)																
(18)	Bond Numbers															
(19)			Existing Bonds			New Bonds				Interest Rates - Series B			Savings			
(20)	Date	Principal	Interest	Admin	Total	Principal	Interest	Admin	Total	Bond	Yield to		Gross	NPV		
(21)			Payments				Payments			Coupon	Maturity	Worst				
(22)	6/1/20															
(23)	6/1/21	95,000	25,720	300	121,020	115,000	4,125	600	119,725	0.410%	0.410%	0.410%	1,295	1,589		
(24)	6/1/22	100,000	48,828	600	149,428	115,000	13,671	600	129,271	0.460%	0.460%	0.460%	20,157	19,939		
(25)	6/1/23	105,000	45,928	600	151,528	120,000	13,142	600	133,742	0.500%	0.500%	0.500%	17,786	17,377		
(26)	6/1/24	110,000	42,725	600	153,325	125,000	12,542	600	138,142	0.570%	0.570%	0.570%	15,184	14,654		
(27)	6/1/25	120,000	39,150	600	159,750	130,000	11,829	600	142,429	0.800%	0.800%	0.800%	17,321	16,485		
(28)	6/1/26	125,000	34,950	600	160,550	130,000	10,789	600	141,389	0.900%	0.900%	0.900%	19,161	17,988		
(29)	6/1/27	130,000	29,950	600	160,550	130,000	9,619	600	140,219	1.110%	1.110%	1.110%	20,331	18,830		
(30)	6/1/28	135,000	24,750	600	160,350	130,000	8,176	600	138,776	1.270%	1.270%	1.270%	21,574	19,715		
(31)	6/1/29	140,000	19,080	600	159,680	130,000	6,525	600	137,125	1.530%	1.530%	1.530%	22,555	20,337		
(32)	6/1/30	145,000	13,200	600	158,800	135,000	4,536	600	140,136	1.630%	1.630%	1.630%	18,664	16,612		
(33)	6/1/31	155,000	6,820	600	162,420	135,000	2,336	600	137,936	1.730%	1.730%	1.730%	24,485	21,498		
(34)																
(35)	Totals:	1,360,000	331,100	6,300	1,697,400	1,395,000	97,288	6,600	1,498,888				198,512	185,026		

SOURCES AND USES OF FUNDS

Ottumwa, Iowa General Obligation Capital Loan Notes, Series 2021

Dated Date 02/16/2021
Delivery Date 02/16/2021

Sources:	2021A_GO	2021B_GO	Total
<hr/>			
Bond Proceeds:			
Par Amount	7,025,000.00	1,395,000.00	8,420,000.00
Premium	359,735.15		359,735.15
	<hr/>		
	7,384,735.15	1,395,000.00	8,779,735.15
<hr/>			
<hr/>			
Uses:	2021A_GO	2021B_GO	Total
<hr/>			
Project Fund Deposits:			
Capital Improvement Projects - Other	2,722,928.82		2,722,928.82
Capital Improvement Projects - Streets	4,563,521.00		4,563,521.00
	<hr/>		
	7,286,449.82		7,286,449.82
Refunding Escrow Deposits:			
Call of 2011A GO Bonds		1,371,145.33	1,371,145.33
Delivery Date Expenses:			
Cost of Issuance	49,110.33	14,089.67	63,200.00
Underwriter's Discount:			
Underwriter's Discount	49,175.00	9,765.00	58,940.00
	<hr/>		
	7,384,735.15	1,395,000.00	8,779,735.15
<hr/>			
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Notes:
Final
Call Date: 06/01/2029

DETAILED BOND DEBT SERVICE

Ottumwa, Iowa
 General Obligation Capital Loan Notes, Series 2021A
 (New Money Projects)

Dated Date 02/16/2021
 Delivery Date 02/16/2021

Serial Bond (SERIAL)

Period Ending	Principal	Coupon	Interest	Debt Service	Annual Debt Service
02/16/2021					
12/01/2021			111,229.17	111,229.17	
06/01/2022	490,000	2.000%	70,250.00	560,250.00	671,479.17
12/01/2022			65,350.00	65,350.00	
06/01/2023			65,350.00	65,350.00	130,700.00
12/01/2023			65,350.00	65,350.00	
06/01/2024			65,350.00	65,350.00	130,700.00
12/01/2024			65,350.00	65,350.00	
06/01/2025			65,350.00	65,350.00	130,700.00
12/01/2025			65,350.00	65,350.00	
06/01/2026			65,350.00	65,350.00	130,700.00
12/01/2026			65,350.00	65,350.00	
06/01/2027			65,350.00	65,350.00	130,700.00
12/01/2027			65,350.00	65,350.00	
06/01/2028	445,000	2.000%	65,350.00	510,350.00	575,700.00
12/01/2028			60,900.00	60,900.00	
06/01/2029	455,000	2.000%	60,900.00	515,900.00	576,800.00
12/01/2029			56,350.00	56,350.00	
06/01/2030	465,000	2.000%	56,350.00	521,350.00	577,700.00
12/01/2030			51,700.00	51,700.00	
06/01/2031	475,000	2.000%	51,700.00	526,700.00	578,400.00
12/01/2031			46,950.00	46,950.00	
06/01/2032	480,000	2.000%	46,950.00	526,950.00	573,900.00
12/01/2032			42,150.00	42,150.00	
06/01/2033	490,000	2.000%	42,150.00	532,150.00	574,300.00
12/01/2033			37,250.00	37,250.00	
06/01/2034	500,000	2.000%	37,250.00	537,250.00	574,500.00
12/01/2034			32,250.00	32,250.00	
06/01/2035	510,000	2.000%	32,250.00	542,250.00	574,500.00
12/01/2035			27,150.00	27,150.00	
06/01/2036	520,000	2.000%	27,150.00	547,150.00	574,300.00
12/01/2036			21,950.00	21,950.00	
06/01/2037	530,000	2.000%	21,950.00	551,950.00	573,900.00
12/01/2037			16,650.00	16,650.00	
06/01/2038	545,000	2.000%	16,650.00	561,650.00	578,300.00
12/01/2038			11,200.00	11,200.00	
06/01/2039	555,000	2.000%	11,200.00	566,200.00	577,400.00
12/01/2039			5,650.00	5,650.00	
06/01/2040	565,000	2.000%	5,650.00	570,650.00	576,300.00
	7,025,000		1,785,979.17	8,810,979.17	8,810,979.17

DETAILED BOND DEBT SERVICE

Ottumwa, Iowa
 Taxable General Obligation Capital Loan Refunding Notes, Series 2021B
 (Current Refunding 2011 GO Bonds)

Dated Date 02/16/2021
 Delivery Date 02/16/2021

Serial Bond (SERIAL)

Period Ending	Principal	Coupon	Interest	Debt Service	Annual Debt Service
02/16/2021					
06/01/2021	115,000	0.410%	4,124.75	119,124.75	119,124.75
12/01/2021			6,835.25	6,835.25	
06/01/2022	115,000	0.460%	6,835.25	121,835.25	128,670.50
12/01/2022			6,570.75	6,570.75	
06/01/2023	120,000	0.500%	6,570.75	126,570.75	133,141.50
12/01/2023			6,270.75	6,270.75	
06/01/2024	125,000	0.570%	6,270.75	131,270.75	137,541.50
12/01/2024			5,914.50	5,914.50	
06/01/2025	130,000	0.800%	5,914.50	135,914.50	141,829.00
12/01/2025			5,394.50	5,394.50	
06/01/2026	130,000	0.900%	5,394.50	135,394.50	140,789.00
12/01/2026			4,809.50	4,809.50	
06/01/2027	130,000	1.110%	4,809.50	134,809.50	139,619.00
12/01/2027			4,088.00	4,088.00	
06/01/2028	130,000	1.270%	4,088.00	134,088.00	138,176.00
12/01/2028			3,262.50	3,262.50	
06/01/2029	130,000	1.530%	3,262.50	133,262.50	136,525.00
12/01/2029			2,268.00	2,268.00	
06/01/2030	135,000	1.630%	2,268.00	137,268.00	139,536.00
12/01/2030			1,167.75	1,167.75	
06/01/2031	135,000	1.730%	1,167.75	136,167.75	137,335.50
	1,395,000		97,287.75	1,492,287.75	1,492,287.75

BOND PRICING

Ottumwa, Iowa
 General Obligation Capital Loan Notes, Series 2021A
 (New Money Projects)

Bond Component	Maturity Date	Amount	Rate	Yield	Price	Yield to Maturity	Call Date	Call Price	Premium (-Discount)	Principal Cost
Serial Bond:										
	06/01/2022	490,000	2.000%	0.300%	102.189				10,726.10	500,726.10
	06/01/2028	445,000	2.000%	0.850%	108.113				36,102.85	481,102.85
	06/01/2029	455,000	2.000%	0.950%	108.352				38,001.60	493,001.60
	06/01/2030	465,000	2.000%	1.030%	107.689 C	1.126%	06/01/2029	100.000	35,753.85	500,753.85
	06/01/2031	475,000	2.000%	1.130%	106.866 C	1.286%	06/01/2029	100.000	32,613.50	507,613.50
	06/01/2032	480,000	2.000%	1.200%	106.295 C	1.395%	06/01/2029	100.000	30,216.00	510,216.00
	06/01/2033	490,000	2.000%	1.250%	105.889 C	1.474%	06/01/2029	100.000	28,856.10	518,856.10
	06/01/2034	500,000	2.000%	1.300%	105.484 C	1.542%	06/01/2029	100.000	27,420.00	527,420.00
	06/01/2035	510,000	2.000%	1.350%	105.081 C	1.601%	06/01/2029	100.000	25,913.10	535,913.10
	06/01/2036	520,000	2.000%	1.400%	104.680 C	1.652%	06/01/2029	100.000	24,336.00	544,336.00
	06/01/2037	530,000	2.000%	1.500%	103.883 C	1.725%	06/01/2029	100.000	20,579.90	550,579.90
	06/01/2038	545,000	2.000%	1.550%	103.487 C	1.765%	06/01/2029	100.000	19,004.15	564,004.15
	06/01/2039	555,000	2.000%	1.600%	103.093 C	1.801%	06/01/2029	100.000	17,166.15	572,166.15
	06/01/2040	565,000	2.000%	1.700%	102.309 C	1.857%	06/01/2029	100.000	13,045.85	578,045.85
		7,025,000							359,735.15	7,384,735.15

Dated Date	02/16/2021	
Delivery Date	02/16/2021	
First Coupon	12/01/2021	
Par Amount	7,025,000.00	
Premium	359,735.15	
Production	7,384,735.15	105.120785%
Underwriter's Discount	-49,175.00	-0.700000%
Purchase Price	7,335,560.15	104.420785%
Accrued Interest		
Net Proceeds	7,335,560.15	

BOND PRICING

Ottumwa, Iowa
 Taxable General Obligation Capital Loan Refunding Notes, Series 2021B
 (Current Refunding 2011 GO Bonds)

Bond Component	Maturity Date	Amount	Rate	Yield	Price	Principal Cost
Serial Bond:						
	06/01/2021	115,000	0.410%	0.410%	100.000	115,000.00
	06/01/2022	115,000	0.460%	0.460%	100.000	115,000.00
	06/01/2023	120,000	0.500%	0.500%	100.000	120,000.00
	06/01/2024	125,000	0.570%	0.570%	100.000	125,000.00
	06/01/2025	130,000	0.800%	0.800%	100.000	130,000.00
	06/01/2026	130,000	0.900%	0.900%	100.000	130,000.00
	06/01/2027	130,000	1.110%	1.110%	100.000	130,000.00
	06/01/2028	130,000	1.270%	1.270%	100.000	130,000.00
	06/01/2029	130,000	1.530%	1.530%	100.000	130,000.00
	06/01/2030	135,000	1.630%	1.630%	100.000	135,000.00
	06/01/2031	135,000	1.730%	1.730%	100.000	135,000.00
		1,395,000				1,395,000.00

Dated Date	02/16/2021	
Delivery Date	02/16/2021	
First Coupon	06/01/2021	
Par Amount	1,395,000.00	
Original Issue Discount		
Production	1,395,000.00	100.000000%
Underwriter's Discount	-9,765.00	-0.700000%
Purchase Price	1,385,235.00	99.300000%
Accrued Interest		
Net Proceeds	1,385,235.00	

SAVINGS

Ottumwa, Iowa
General Obligation Capital Loan Notes, Series 2021

Date	Prior Debt Service	Refunding Debt Service	Refunding Expenses	Refunding Net Cash Flow	Savings	Annual Savings	Present Value to 02/16/2021 @ 1.2969734%
06/01/2021	121,020.00	119,124.75	600.00	119,724.75	1,295.25	1,295.25	1,290.38
12/01/2021	24,413.75	6,835.25		6,835.25	17,578.50		17,399.51
06/01/2022	125,013.75	121,835.25	1,200.00	123,035.25	1,978.50	19,557.00	1,945.74
12/01/2022	22,963.75	6,570.75		6,570.75	16,393.00		16,017.66
06/01/2023	128,563.75	126,570.75	1,200.00	127,770.75	793.00	17,186.00	769.85
12/01/2023	21,362.50	6,270.75		6,270.75	15,091.75		14,556.80
06/01/2024	131,962.50	131,270.75	1,200.00	132,470.75	-508.25	14,583.50	-487.08
12/01/2024	19,575.00	5,914.50		5,914.50	13,660.50		13,007.03
06/01/2025	140,175.00	135,914.50	1,200.00	137,114.50	3,060.50	16,721.00	2,895.32
12/01/2025	17,475.00	5,394.50		5,394.50	12,080.50		11,354.87
06/01/2026	143,075.00	135,394.50	1,200.00	136,594.50	6,480.50	18,561.00	6,051.99
12/01/2026	14,975.00	4,809.50		4,809.50	10,165.50		9,432.17
06/01/2027	145,575.00	134,809.50	1,200.00	136,009.50	9,565.50	19,731.00	8,818.26
12/01/2027	12,375.00	4,088.00		4,088.00	8,287.00		7,590.42
06/01/2028	147,975.00	134,088.00	1,200.00	135,288.00	12,687.00	20,974.00	11,545.69
12/01/2028	9,540.00	3,262.50		3,262.50	6,277.50		5,675.97
06/01/2029	150,140.00	133,262.50	1,200.00	134,462.50	15,677.50	21,955.00	14,083.91
12/01/2029	6,600.00	2,268.00		2,268.00	4,332.00		3,866.59
06/01/2030	152,200.00	137,268.00	1,200.00	138,468.00	13,732.00	18,064.00	12,177.71
12/01/2030	3,410.00	1,167.75		1,167.75	2,242.25		1,975.64
06/01/2031	159,010.00	136,167.75	1,200.00	137,367.75	21,642.25	23,884.50	18,946.10
	1,697,400.00	1,492,287.75	12,600.00	1,504,887.75	192,512.25	192,512.25	178,914.54

Savings Summary

Dated Date	02/16/2021
Delivery Date	02/16/2021
PV of savings from cash flow	178,914.54
Net PV Savings	178,914.54

Notes:
Final
Call Date: 06/01/2029

PROOF OF ARBITRAGE YIELD

Ottumwa, Iowa
 General Obligation Capital Loan Notes, Series 2021

Date	Debt Service	Total	PV Factor	Present Value to 02/16/2021 @ 1.2969733727%
06/01/2021	119,124.75	119,124.75	0.996236474	118,676.42
12/01/2021	118,064.42	118,064.42	0.989817638	116,862.25
06/01/2022	682,085.25	682,085.25	0.983440160	670,790.03
12/01/2022	71,920.75	71,920.75	0.977103772	70,274.04
06/01/2023	191,920.75	191,920.75	0.970808210	186,318.24
12/01/2023	71,620.75	71,620.75	0.964553211	69,082.02
06/01/2024	196,620.75	196,620.75	0.958338513	188,429.24
12/01/2024	71,264.50	71,264.50	0.952163857	67,855.48
06/01/2025	201,264.50	201,264.50	0.946028985	190,402.05
12/01/2025	70,744.50	70,744.50	0.939933641	66,495.14
06/01/2026	200,744.50	200,744.50	0.933877569	187,470.79
12/01/2026	70,159.50	70,159.50	0.927860517	65,098.23
06/01/2027	200,159.50	200,159.50	0.921882233	184,523.49
12/01/2027	69,438.00	69,438.00	0.915942468	63,601.21
06/01/2028	644,438.00	644,438.00	0.910040974	586,464.99
12/01/2028	64,162.50	64,162.50	0.904177503	58,014.29
06/01/2029	6,284,162.50	6,284,162.50	0.898351811	5,645,388.76
12/01/2029	2,268.00	2,268.00	0.892563655	2,024.33
06/01/2030	137,268.00	137,268.00	0.886812792	121,731.02
12/01/2030	1,167.75	1,167.75	0.881098982	1,028.90
06/01/2031	136,167.75	136,167.75	0.875421987	119,204.24
	9,604,766.92	9,604,766.92		8,779,735.15

Proceeds Summary

Delivery date	02/16/2021
Par Value	8,420,000.00
Premium (Discount)	359,735.15
Target for yield calculation	8,779,735.15

PROOF OF ARBITRAGE YIELDOttumwa, Iowa
General Obligation Capital Loan Notes, Series 2021Assumed Call/Computation Dates for Premium Bonds

Bond Component	Maturity Date	Rate	Yield	Call Date	Call Price	Yield To Call/Maturity
SERIAL	06/01/2030	2.000%	1.030%	06/01/2029	100.000	1.0298463%
SERIAL	06/01/2031	2.000%	1.130%	06/01/2029	100.000	1.1298828%
SERIAL	06/01/2032	2.000%	1.200%	06/01/2029	100.000	1.1997930%
SERIAL	06/01/2033	2.000%	1.250%	06/01/2029	100.000	1.2497560%
SERIAL	06/01/2034	2.000%	1.300%	06/01/2029	100.000	1.2998087%
SERIAL	06/01/2035	2.000%	1.350%	06/01/2029	100.000	1.3498268%
SERIAL	06/01/2036	2.000%	1.400%	06/01/2029	100.000	1.3998089%
SERIAL	06/01/2037	2.000%	1.500%	06/01/2029	100.000	1.4997853%
SERIAL	06/01/2038	2.000%	1.550%	06/01/2029	100.000	1.5497779%
SERIAL	06/01/2039	2.000%	1.600%	06/01/2029	100.000	1.5997296%
SERIAL	06/01/2040	2.000%	1.700%	06/01/2029	100.000	1.6997612%

Rejected Call/Computation Dates for Premium Bonds

Bond Component	Maturity Date	Rate	Yield	Call Date	Call Price	Yield To Call/Maturity	Increase to Yield
SERIAL	06/01/2030	2.000%	1.030%			1.1258299%	0.0959836%
SERIAL	06/01/2031	2.000%	1.130%			1.2853171%	0.1554343%
SERIAL	06/01/2032	2.000%	1.200%			1.3951988%	0.1954058%
SERIAL	06/01/2033	2.000%	1.250%			1.4741207%	0.2243647%
SERIAL	06/01/2034	2.000%	1.300%			1.5418150%	0.2420063%
SERIAL	06/01/2035	2.000%	1.350%			1.6005660%	0.2507392%
SERIAL	06/01/2036	2.000%	1.400%			1.6521300%	0.2523211%
SERIAL	06/01/2037	2.000%	1.500%			1.7252963%	0.2255109%
SERIAL	06/01/2038	2.000%	1.550%			1.7648573%	0.2150794%
SERIAL	06/01/2039	2.000%	1.600%			1.8005190%	0.2007894%
SERIAL	06/01/2040	2.000%	1.700%			1.8567872%	0.1570260%

Notes

Final

Call Date: 06/01/2029

FORM 8038 STATISTICS

Ottumwa, Iowa
General Obligation Capital Loan Notes, Series 2021

Dated Date 02/16/2021
Delivery Date 02/16/2021

Bond Component	Date	Principal	Coupon	Price	Issue Price	Redemption at Maturity
Serial Bond:						
	06/01/2021	115,000.00	0.410%	100.000	115,000.00	115,000.00
	06/01/2022	115,000.00	0.460%	100.000	115,000.00	115,000.00
	06/01/2022	490,000.00	2.000%	102.189	500,726.10	490,000.00
	06/01/2023	120,000.00	0.500%	100.000	120,000.00	120,000.00
	06/01/2024	125,000.00	0.570%	100.000	125,000.00	125,000.00
	06/01/2025	130,000.00	0.800%	100.000	130,000.00	130,000.00
	06/01/2026	130,000.00	0.900%	100.000	130,000.00	130,000.00
	06/01/2027	130,000.00	1.110%	100.000	130,000.00	130,000.00
	06/01/2028	130,000.00	1.270%	100.000	130,000.00	130,000.00
	06/01/2028	445,000.00	2.000%	108.113	481,102.85	445,000.00
	06/01/2029	130,000.00	1.530%	100.000	130,000.00	130,000.00
	06/01/2029	455,000.00	2.000%	108.352	493,001.60	455,000.00
	06/01/2030	135,000.00	1.630%	100.000	135,000.00	135,000.00
	06/01/2030	465,000.00	2.000%	107.689	500,753.85	465,000.00
	06/01/2031	135,000.00	1.730%	100.000	135,000.00	135,000.00
	06/01/2031	475,000.00	2.000%	106.866	507,613.50	475,000.00
	06/01/2032	480,000.00	2.000%	106.295	510,216.00	480,000.00
	06/01/2033	490,000.00	2.000%	105.889	518,856.10	490,000.00
	06/01/2034	500,000.00	2.000%	105.484	527,420.00	500,000.00
	06/01/2035	510,000.00	2.000%	105.081	535,913.10	510,000.00
	06/01/2036	520,000.00	2.000%	104.680	544,336.00	520,000.00
	06/01/2037	530,000.00	2.000%	103.883	550,579.90	530,000.00
	06/01/2038	545,000.00	2.000%	103.487	564,004.15	545,000.00
	06/01/2039	555,000.00	2.000%	103.093	572,166.15	555,000.00
	06/01/2040	565,000.00	2.000%	102.309	578,045.85	565,000.00
		8,420,000.00			8,779,735.15	8,420,000.00

	Maturity Date	Interest Rate	Issue Price	Stated Redemption at Maturity	Weighted Average Maturity	Yield
Final Maturity	06/01/2040	2.000%	578,045.85	565,000.00		
Entire Issue			8,779,735.15	8,420,000.00	11.5258	1.2970%

Proceeds used for accrued interest	0.00
Proceeds used for bond issuance costs (including underwriters' discount)	122,140.00
Proceeds used for credit enhancement	0.00
Proceeds allocated to reasonably required reserve or replacement fund	0.00
Proceeds used to refund prior tax-exempt bonds	1,371,145.33
Proceeds used to refund prior taxable bonds	0.00
Remaining WAM of prior tax-exempt bonds (years)	5.7659
Remaining WAM of prior taxable bonds (years)	0.0000
Last call date of refunded tax-exempt bonds	02/19/2021

2011 Form 8038 Statistics

Proceeds used to currently refund prior issues	1,371,145.33
Proceeds used to advance refund prior issues	0.00
Remaining weighted average maturity of the bonds to be currently refunded	5.7659
Remaining weighted average maturity of the bonds to be advance refunded	0.0000

Notes:
Final
Call Date: 06/01/2029

FORM 8038 STATISTICS

Ottumwa, Iowa
 General Obligation Capital Loan Notes, Series 2021

Refunded Bonds

Bond Component	Date	Principal	Coupon	Price	Issue Price
General Obligation Bonds, Taxable Series 2011A:					
SERIAL	06/01/2021	95,000.00	2.750%	100.000	95,000.00
SERIAL	06/01/2022	100,000.00	2.900%	100.000	100,000.00
SERIAL	06/01/2023	105,000.00	3.050%	100.000	105,000.00
SERIAL	06/01/2024	110,000.00	3.250%	100.000	110,000.00
SERIAL	06/01/2025	120,000.00	3.500%	100.000	120,000.00
TERM	06/01/2026	125,000.00	4.000%	100.000	125,000.00
TERM	06/01/2027	130,000.00	4.000%	100.000	130,000.00
TERM2	06/01/2028	135,000.00	4.200%	100.000	135,000.00
TERM2	06/01/2029	140,000.00	4.200%	100.000	140,000.00
TERM3	06/01/2030	145,000.00	4.400%	100.000	145,000.00
TERM3	06/01/2031	155,000.00	4.400%	100.000	155,000.00
		1,360,000.00			1,360,000.00

	Last Call Date	Issue Date	Remaining Weighted Average Maturity
General Obligation Bonds, Taxable Series 2011A	02/19/2021	10/19/2011	5.7659
All Refunded Issues	02/19/2021		5.7659

Notes:
 Final
 Call Date: 06/01/2029

PRIOR BOND DEBT SERVICE

Ottumwa, Iowa
General Obligation Capital Loan Notes, Series 2021

Dated Date 02/16/2021
Delivery Date 02/16/2021

Period Ending	Principal	Coupon	Interest	Debt Service	Annual Debt Service	Present Value to 02/16/2021 @ 1.2969734%	Other Cash Flow	Total	Annual Total
02/16/2021									
06/01/2021	95,000	2.750%	25,720.00	120,720.00	120,720.00	120,265.67	300	121,020.00	121,020.00
12/01/2021			24,413.75	24,413.75		24,165.16		24,413.75	
06/01/2022	100,000	2.900%	24,413.75	124,413.75	148,827.50	122,353.48	600	125,013.75	149,427.50
12/01/2022			22,963.75	22,963.75		22,437.97		22,963.75	
06/01/2023	105,000	3.050%	22,963.75	127,963.75	150,927.50	124,228.26	600	128,563.75	151,527.50
12/01/2023			21,362.50	21,362.50		20,605.27		21,362.50	
06/01/2024	110,000	3.250%	21,362.50	131,362.50	152,725.00	125,889.74	600	131,962.50	153,325.00
12/01/2024			19,575.00	19,575.00		18,638.61		19,575.00	
06/01/2025	120,000	3.500%	19,575.00	139,575.00	159,150.00	132,042.00	600	140,175.00	159,750.00
12/01/2025			17,475.00	17,475.00		16,425.34		17,475.00	
06/01/2026	125,000	4.000%	17,475.00	142,475.00	159,950.00	133,054.21	600	143,075.00	160,550.00
12/01/2026			14,975.00	14,975.00		13,894.71		14,975.00	
06/01/2027	130,000	4.000%	14,975.00	144,975.00	159,950.00	133,649.88	600	145,575.00	160,550.00
12/01/2027			12,375.00	12,375.00		11,334.79		12,375.00	
06/01/2028	135,000	4.200%	12,375.00	147,375.00	159,750.00	134,117.29	600	147,975.00	160,350.00
12/01/2028			9,540.00	9,540.00		8,625.85		9,540.00	
06/01/2029	140,000	4.200%	9,540.00	149,540.00	159,080.00	134,339.53	600	150,140.00	159,680.00
12/01/2029			6,600.00	6,600.00		5,890.92		6,600.00	
06/01/2030	145,000	4.400%	6,600.00	151,600.00	158,200.00	134,440.82	600	152,200.00	158,800.00
12/01/2030			3,410.00	3,410.00		3,004.55		3,410.00	
06/01/2031	155,000	4.400%	3,410.00	158,410.00	161,820.00	138,675.60	600	159,010.00	162,420.00
	1,360,000		331,100.00	1,691,100.00	1,691,100.00	1,578,079.62	6,300	1,697,400.00	1,697,400.00

Notes:
Final
Call Date: 06/01/2029

COST OF ISSUANCEOttumwa, Iowa
General Obligation Capital Loan Notes, Series 2021

Cost of Issuance	\$/1000	Amount
Bond Counsel (Ahlers)	3.08789	26,000.00
Disclosure Counsel (Ahlers)	1.06888	9,000.00
Rating Agency Moody's	2.25653	19,000.00
Underwriter's Counsel (BCLP)	0.89074	7,500.00
Paying Agent (UMB - 1st Year Est)	0.14252	1,200.00
CUSIPs (Est)	0.05938	500.00
	7.50594	63,200.00

Notes:
Final
Call Date: 06/01/2029

BOND SUMMARY STATISTICS

Ottumwa, Iowa General Obligation Capital Loan Notes, Series 2021

Dated Date	02/16/2021
Delivery Date	02/16/2021
First Coupon	06/01/2021
Last Maturity	06/01/2040
Arbitrage Yield	1.296973%
True Interest Cost (TIC)	1.592476%
Net Interest Cost (NIC)	1.633084%
All-In TIC	1.663898%
Average Coupon	1.943499%
Average Life (years)	11.508
Duration of Issue (years)	10.277
Par Amount	8,420,000.00
Bond Proceeds	8,779,735.15
Total Interest	1,883,266.92
Net Interest	1,582,471.77
Bond Years from Dated Date	96,900,833.33
Bond Years from Delivery Date	96,900,833.33
Total Debt Service	10,303,266.92
Maximum Annual Debt Service	800,149.67
Average Annual Debt Service	534,078.63
Underwriter's Fees (per \$1000)	
Average Takedown	
Other Fee	7.000000
Total Underwriter's Discount	7.000000
Bid Price	103.572389

Bond Component	Par Value	Price	Average Coupon	Average Life	PV of 1 bp change
Serial Bond	8,420,000.00	104.272	1.943%	11.508	6,005.90
	8,420,000.00			11.508	6,005.90

	TIC	All-In TIC	Arbitrage Yield
Par Value	8,420,000.00	8,420,000.00	8,420,000.00
+ Accrued Interest			
+ Premium (Discount)	359,735.15	359,735.15	359,735.15
- Underwriter's Discount	-58,940.00	-58,940.00	
- Cost of Issuance Expense		-63,200.00	
- Other Amounts			
Target Value	8,720,795.15	8,657,595.15	8,779,735.15
Target Date	02/16/2021	02/16/2021	02/16/2021
Yield	1.592476%	1.663898%	1.296973%

Notes:
Final
Call Date: 06/01/2029

NOTE PURCHASE AGREEMENT

BETWEEN

CITY OF OTTUMWA, IOWA

AND

PIPER SANDLER & CO.

PIPER | SANDLER

CITY OF OTTUMWA, IOWA

\$7,025,000
General Obligation Capital Loan Notes,
Series 2021A

\$1,395,000
Taxable General Obligation Refunding
Capital Loan Notes,
Series 2021B

NOTE PURCHASE AGREEMENT

The Underwriter hereby offers to enter into this Note Purchase Agreement with the Issuer, for the purchase by the Underwriter of the Notes. This offer is made on the Note Purchase Agreement Date subject to acceptance by the Issuer at or prior to the Acceptance Time. Upon such acceptance by the Issuer and the Underwriter, this Note Purchase Agreement shall be in full force and effect in accordance with its terms and shall be binding upon the Issuer and the Underwriter.

The Issuer acknowledges and agrees that (i) the purchase and sale of the Notes pursuant to this Agreement is an arm's-length commercial transaction between the Issuer and the Underwriter, (ii) in connection with such transaction, the Underwriter is acting solely as a principal and not as an agent or a fiduciary of the Issuer, (iii) the Underwriter has not assumed (individually or collectively) a fiduciary responsibility in favor of the Issuer with respect to the offering of the Notes or the process leading thereto (whether or not the Underwriter has advised or is currently advising the Issuer on other matters) or any other obligation to the Issuer except the obligations expressly set forth in this Agreement, and (iv) the Issuer has consulted with its own legal and other professional advisors to the extent it deemed appropriate in connection with the offering of the Notes.

1. Definitions.

"Acceptance Time" shall mean not later than 8:00 AM on January 19, 2021

"Authorizing Law" shall mean the Code of Iowa, Chapter 384 sections 384.24A; 384.25 and 384.26

"Bond Counsel" shall mean Ahlers & Cooney PC

"Continuing Disclosure Certificate" shall mean the certificate approved by the Issuer that details the continuing disclosure obligations of the Issuer pursuant to SEC Rule 15c2-12 as defined herein.

"Closing Certificates" shall mean all certifications reasonably required by the Underwriter or Bond Counsel necessary to close the issue, including but not limited to, a no litigation certificate, approval of the final version of the Official Statement, no material change certificate and acceptance of the Note certificate

"Closing Date" or "Closing" shall mean not later than 1:00 pm Central Time on February 16, 2021 or such other date as agreed to by the Issuer and the Underwriter in writing

"Costs of Issuance" shall mean all costs usual and customary for a financing similar to the Project, including but not limited to the costs and disbursements of (all, if utilized) Bond Counsel, Disclosure Counsel, Underwriter's Counsel, Paying Agent, Rating Agency, miscellaneous costs including printing, publication, CUSIP fees, DTC approval fees and day loan expenses.

"Dated Date" shall mean Date of Delivery

"Date of Delivery" is currently anticipated to be February 16, 2021 or such other date as agreed to by the Issuer and the Underwriter in writing.

"Disclosure Counsel" shall mean Ahlers & Cooney, PC

"Issuer" shall mean City of Ottumwa, Iowa

"Issuer Documents" shall mean the Note Resolution, the Tax Exemption Certificate, the Continuing Disclosure Certificate, the Note Purchase Agreement, the Loan Agreements, and the Closing Certificates

"Loan Agreements" shall mean the loan agreements between the Issuer and the Underwriter dated Date of Delivery

"Note Purchase Agreement Date" shall mean January 19, 2021

"Note Resolution" shall mean the Resolution of the Issuer authorizing the sale and issuance of the Notes scheduled to be adopted on February 2, 2021

"Notes" shall mean, collectively, (i) the \$7,025,000 General Obligation Capital Loan Notes, Series 2021A (the "Series 2021A Notes") and (ii) the \$1,395,000 General Obligation Refunding Capital Loan Notes, Series 2021B (the "Series 2021B Notes")

"Official Statement" shall mean the Final Official Statement dated January 19, 2021 as published by the Issuer including the cover page and all appendices, exhibits, maps, reports and statements included therein or attached thereto, together with such amendments or supplements thereto as are adopted by the Issuer in accordance herewith

"Original Issue Discount" shall mean \$0 for the Series 2021A Notes and \$0 for the Series 2021B Notes, which is outlined on Schedule I attached herein

"Original Issue Premium" shall mean \$359,735.15 for the Series 2021A Notes and \$0 for the Series 2021B Notes, which is outlined on Schedule I attached herein

"Preliminary Official Statement" shall mean the Preliminary Official Statement dated January 12, 2021 as published by the Issuer

"Project" shall mean, with respect to the Series 2021A Notes, (i) various capital improvements and equipment acquisition projects in the City and (ii) paying Costs of Issuance of the Notes and, with respect to the Series 2021B Notes, (i) to refund the Issuer's outstanding Taxable General Obligation Bonds, Series 2011, dated October 19, 2011, and (ii) paying Costs of Issuance of the Notes.

"Purchase Price" shall mean the sum of the principal of the Notes, less Underwriter's Discount, less any Original Issue Discount, plus any Original Issue Premium

"Rating" shall mean "A1" as assigned by the Rating Agency

"Rating Agency" shall mean Moody's Investors Service, Inc.

"Securities" shall mean the Notes

"Underwriter" shall mean Piper Sandler & Co.

"Underwriter's Counsel" shall mean Bryan Cave Leighton Paisner LLP

"Underwriter's Discount" shall mean \$49,175.00 for the Series 2021A Notes and \$9,765.00 for the Series 2021B Notes

2. Purchase and Sale.

Subject to the satisfaction by the Issuer of the terms and conditions set forth herein, subject also to the conditions precedent set forth herein and in reliance upon the representations herein set forth or incorporated by reference, the Underwriter hereby agrees to purchase from the Issuer upon the terms and

conditions set forth herein and the Issuer hereby agrees to sell to the Underwriter Notes (the Notes being more fully described in Schedule I hereto, and in the Official Statement at the Purchase Price). The expenses of selling the Notes shall be paid as provided herein. The Notes shall be as described in the Official Statement of the Issuer, shall be issued and secured pursuant to the Issuer Documents.

The Notes shall be issued pursuant to the Note Resolution, the proceeds of which will be used to finance the Project.

3. Official Statement.

(a) The Issuer shall deliver or cause to be delivered to the Underwriter, promptly upon the completion thereof, copies of the Official Statement relating to the Notes in substantially the form of the Preliminary Official Statement, with only such changes therein as shall have been mutually agreed upon by the Issuer and the Underwriter, signed on behalf of the Issuer by its authorized representative. In connection with the offering and sale of the Notes, the Issuer authorizes the use by the Underwriter of copies of the Official Statement with respect to the Notes, together with copies of the Note Resolution, and the other documents described therein or attached thereto. The Issuer hereby ratifies and consents to the use by the Underwriter of the Official Statement in connection with the sale of the Notes.

(b) The distribution by the Underwriter of the Preliminary Official Statement for the Notes is hereby approved and the Issuer hereby authorizes the Underwriter to use, in connection with the offer and sale of the Notes, the Preliminary Official Statement and all other documents, agreements, certificates or statements furnished by the Issuer to the Underwriter or entered into in connection with the offer and sale of the Notes, and all other documents, agreements, certificates or statements furnished by the Issuer or entered into in connection with the transactions described in this Note Purchase Agreement. The Issuer represents that the Preliminary Official Statement was "final" as of its date within the meaning of paragraph (b)(1) of Rule 15c2-12 under the Securities Exchange Act of 1934, as amended (the "1934 Act"); except for the omission of information that is dependent upon the final pricing of the Notes.

Actions taken by the Issuer, its staff and outside consultants, with respect to the preparation of the Preliminary Official Statement and final Official Statement are hereby ratified and confirmed.

(c) The Issuer, on behalf of itself and as agent for any other "issuers" within the meaning of the Rule (defined hereinafter), agrees to deliver to the Underwriter, at such addresses as the Underwriter shall specify, such copies of the Official Statement, or such additional copies as may be necessary for the Underwriter to comply with paragraph (b)(4) of Rule 15c2-12 of the Securities and Exchange Commission under the 1934 Act (the "Rule"), and with Rule G-32 and all other applicable rules of the Municipal Securities Rulemaking Board (the "MRSB"). The Issuer agrees to deliver such Official Statement within seven (7) business days after the execution hereof.

(d) The Underwriter shall give notice to the Issuer on the date after which no participating underwriter, as such term is defined in the Rule, remains obligated to deliver the Official Statement pursuant to paragraph (b)(4) of the Rule.

(e) The Underwriter agrees from the time the Official Statement becomes available until the earlier of (i) ninety (90) days from the end of the underwriting period or (ii) the time when the Official Statement is available to any person from a nationally recognized municipal securities information repository, but in no case less than twenty-five (25) days following the end of the underwriting period, the Underwriter shall send or cause to be sent no later than the next business day, by first class mail or other equally prompt means to any potential customer, on request, at least one copy of the Official Statement requested.

4. Establishment of Issue Price (Series 2021A Notes).

(a) The Underwriter agrees to assist the Issuer in establishing the issue price of the Series 2021A Notes and shall execute and deliver to the Issuer at Closing an "issue price" or similar certificate, together with the supporting pricing wires or equivalent communications, substantially in the form attached hereto as Exhibit C, with such modifications as may be appropriate or necessary, in the reasonable judgment of the Underwriter, the Issuer and Bond Counsel, to accurately reflect, as applicable, the sales price or the initial offering price to the public of the Series 2021A Notes.

(b) Except as set forth in Schedule A to Exhibit C attached hereto, the Issuer will treat the first price at which 10% of each maturity of the Series 2021A Notes (the "10% test") is sold to the public as the issue price of that maturity (if different interest rates apply within a maturity, each separate CUSIP number within that maturity will be subject to the 10% test). At or promptly after the execution of this Agreement, the Underwriter shall report to the Issuer the price or prices at which the Underwriter has sold to the public each maturity of Series 2021A Notes. If at that time the 10% test has not been satisfied as to any maturity of the Series 2021A Notes, the Underwriter agrees to promptly report to the Issuer the prices at which Series 2021A Notes of that maturity have been sold by the Underwriter to the public. Unless the hold-the-offering-price rule (described below) applies, that reporting obligation shall continue, whether or not the Closing has occurred, until the 10% test has been satisfied as to the Series 2021A Notes of that maturity or until all Series 2021A Notes of that maturity have been sold to the public.

(c) The Underwriter confirms that it has offered the Series 2021A Notes to the public on or before the date of this Agreement at the offering price (the "initial offering price"), or at the corresponding yield, set forth in Schedule A to Exhibit C attached hereto, except as otherwise set forth therein. Schedule A also sets forth, as of the date of this Agreement, the maturities, if any, of the Series 2021A Notes for which the 10% test has not been satisfied and for which the Issuer and the Underwriter agree that the restrictions set forth in the next sentence shall apply, which will allow the Issuer to treat the initial offering price to the public of each such maturity as of the sale date as the issue price of that maturity (the "hold-the-offering-price rule"). So long as the hold-the-offering-price rule remains applicable to any maturity of the Series 2021A Notes, the Underwriter will neither offer nor sell unsold Series 2021A Notes of that maturity to any person at a price that is higher than the initial offering price to the public during the period starting on the sale date and ending on the earlier of the following:

- (i) the close of the fifth (5th) business day after the sale date; or
- (ii) the date on which the Underwriter has sold at least 10% of that maturity of the Series 2021A Notes to the public at a price that is no higher than the initial offering price to the public.

The Underwriter shall promptly advise the Issuer when the Underwriter has sold 10% of that maturity of the Series 2021A Notes to the public at a price that is no higher than the initial offering price to the public, if that occurs prior to the close of the fifth (5th) business day after the sale date.

(d) The Underwriter represents that it is not part of any selling group agreement or any retail distribution agreement relating to the initial sale of the Series 2021A Notes to the public.

(e) The Underwriter acknowledges that sales of any Series 2021A Notes to any person that is a related party to the Underwriter shall not constitute sales to the public for purposes of this section. Further, for purposes of this section:

- (i) "public" means any person other than an underwriter or a related party,
- (ii) "underwriter" means (a) any person that agrees pursuant to a written contract with the Issuer (or with the lead underwriter to form an underwriting syndicate) to participate in the initial sale of the Series 2021A Notes to the public and (b) any person that agrees pursuant to a written contract directly or indirectly with a person described in clause (a) to participate in the initial sale of the Series 2021A Notes to the public (including a member of a selling group or a party to a retail

distribution agreement participating in the initial sale of the Series 2021A Notes to the public),

(iii) a purchaser of any of the Series 2021A Notes is a "related party" to an underwriter if the underwriter and the purchaser are subject, directly or indirectly, to (i) at least 50% common ownership of the voting power or the total value of their stock, if both entities are corporations (including direct ownership by one corporation of another), (ii) more than 50% common ownership of their capital interests or profits interests, if both entities are partnerships (including direct ownership by one partnership of another), or (iii) more than 50% common ownership of the value of the outstanding stock of the corporation or the capital interests or profit interests of the partnership, as applicable, if one entity is a corporation and the other entity is a partnership (including direct ownership of the applicable stock or interests by one entity of the other), and

(iv) "sale date" means the date of execution of this Note Purchase Agreement by all parties.

5. Representations.

(a) The Issuer represents to and agrees with the Underwriter as follows:

(i) As of its date and as of the date hereof, the Preliminary Official Statement does not contain any untrue statement of a material fact or omit to state a material fact required to be stated therein or necessary to make the statements therein, in light of the circumstances under which they were made, not misleading (except for such information that may be omitted from a preliminary official statement pursuant to Rule 15c2-12). The Issuer makes no representation or warranty with respect to information within the Preliminary Official Statement relating to DTC, the book entry system, or the Underwriter. By a resolution adopted January 5, 2020 the Issuer has authorized the distribution by the Underwriter of the Preliminary Official Statement, when available, in offering the Notes for sale to prospective purchasers of the Notes.

As of its date and as of the Dated Date, the Official Statement will not contain any untrue statement of a material fact or omit to state a material fact required to be stated therein or necessary to make the statements therein, in light of the circumstances under which they were made, not misleading. The Issuer makes no representation or warranty with respect to information within the Official Statement relating to DTC, the book entry system, or the Underwriter. If, at any time prior to the earlier of (A) receipt of notice from the Underwriter pursuant to Section 3(c) hereof that Official Statements are no longer required to be delivered under the Rule or (B) ninety (90) days after the Closing, any event or circumstance occurs as a result of which the Official Statement as then amended or supplemented might include an untrue statement of a material fact, or omit to state any material fact necessary to make the statements therein, in light of the circumstances under which they were made, not misleading, the Issuer shall promptly notify the Underwriter in writing of such events or circumstances.

(ii) The Issuer is a political subdivision of the State of Iowa (the "State") created and existing under the laws of the State and the Issuer has full legal right, power and authority pursuant to the laws of the State to issue Notes to finance the Project, to enter into this Note Purchase Agreement and to pledge the taxes and revenues described in the Note Resolution and as described in the Official Statement.

(iii) Except as described in the Preliminary Official Statement or the Official Statement, the Issuer is not in breach of or default in any material respect under (if applicable) its articles of incorporation or its bylaws or under any applicable constitutional provision, law or administrative regulation of the State or the United States or any applicable judgment or decree or any loan agreement, indenture, Note, note, resolution, agreement or other instrument to which the Issuer is a party or to which the Issuer is or any of its property or assets are otherwise subject, and no event has occurred and is continuing which constitutes or with the passage of time or the giving of notice, or both, would constitute a material default or event of default by the Issuer under any of the foregoing.

- (iv) The execution and delivery of this Note Purchase Agreement does not, and the execution and delivery of the Notes, and the adoption of the Note Resolution, and compliance with the provisions of each of them, under the circumstances contemplated thereby, will not, in any material respect, conflict with or constitute on the part of the Issuer a breach of or default under any other agreement or instrument to which the Issuer is a party or any existing law, administrative regulation, court order or consent decree to which the Issuer is subject.
- (v) With respect to such matters that are preconditions to the issuance of the Notes, the Issuer has, and at the date of the Closing will have, in all respects complied with the Authorizing Law.
- (vi) All approvals, consents and orders of any governmental authority, board, agency, council, commission or other body in or of the State having jurisdiction which would constitute a condition precedent to the performance by the Issuer of its obligations hereunder and under the Note Resolution and the Notes, have been obtained or, if not, will be obtained at the time of or prior to the Closing (provided no representation or warranty is expressed as to any action required under federal or state securities or Blue Sky laws in connection with the purchase and sale of the Notes by the Underwriter).
- (vii) The Notes, when duly issued, authenticated and delivered in accordance with the Note Resolution and sold to the Underwriter as provided herein, will be the validly issued and outstanding obligations of the Issuer payable from an annual ad-valorem tax levied against all of the property valuation of the Issuer (as described in the Official Statement), entitled to the benefits of the Note Resolution.
- (viii) The Issuer Documents, assuming due authorization, execution and delivery by the Issuer, as applicable, constitute valid and binding obligations of the Issuer payable as outlined in the Official Statement, provided that, however, the enforceability of such obligations may be subject to bankruptcy, insolvency, reorganization, moratorium or other similar laws affecting creditors' rights heretofore or hereafter enacted to the extent constitutionally applicable, and that their enforcement may also be subject to the exercise of judicial discretion in appropriate cases and to general principles of equity.
- (ix) No litigation is pending or, to the knowledge of the Issuer, threatened (A) seeking to restrain or enjoin the issuance or delivery of any of the Notes or the application of proceeds of the Notes as provided in the Note Resolution or the collection of taxes and revenues of the Issuer pledged under the Note Resolution, (B) in any way contesting or affecting any authority for the issuance of the Notes or the validity of the Notes, the Note Resolution or this Note Purchase Agreement, or (C) in any way contesting the existence or powers of the Issuer as they relate to the issuance of the Notes or the imposition or collection of any ad valorem taxes, other than property tax assessment appeals.
- (x) The Official Statement was, as of its date, and is, as of this date, "final" within the meaning of paragraph (b)(1) of the Rule. The Preliminary Official Statement as of its date did not, and the Official Statement as of its date does not and as of the Closing Date will not, contain any untrue statement of a material fact or omit to state a material fact necessary in order to make the statements therein, in the light of the circumstances under which they were made, not misleading. The financial statements of the Issuer contained in the Preliminary Official Statement and the Official Statement fairly present the financial position and results of operations of the Issuer as of the dates and for the periods therein set forth in accordance with accounting principles as noted in the Official Statement, and, since the date thereof, there has been no material adverse change in the financial position or results of operations of the Issuer.
- (xi) The adoption and authorization of the Issuer Documents do not, and compliance with the provisions of each of them, under the circumstances contemplated thereby, will not, in any material respect, conflict with or constitute on the part of the Issuer a breach of or default under any other

agreement or instrument to which the Issuer is a party or any existing law, administrative regulation, court order or consent decree to which the Issuer is subject.

(xii) The Issuer has the legal authority to apply proceeds of the Notes for the purposes contemplated by the Authorizing Law and the Issuer Documents, including for the payment or reimbursement of incidental expenses in connection with the marketing, issuance and delivery of the Notes to the extent required by this Agreement and in compliance with applicable law.

(xiii) Except as noted in the Preliminary Official Statement, during the past five years, the Issuer has not failed to comply, in all material respects, with its Continuing Disclosure Undertaking under the Rule to provide annual reports or notice of material events.

(xiv) Except as noted in the Official Statement, the Issuer has not been, within the last five years, in default as to principal or interest with respect to any obligation issued by or guaranteed by the Issuer or with respect to which the Issuer is an obligor.

(xv) Prior to the Closing Date, except as otherwise contemplated by the Official Statement, the Issuer shall not create, assume or guarantee any general obligation indebtedness payable from a pledge of ad valorem property taxes

(xvi) The Issuer shall not knowingly take or omit to take any action that, under existing law, may adversely affect the exclusion from gross income for federal income tax purposes, or the exemption from any applicable state tax, of the interest on the Series 2021A Notes.

(xvii) No financial advisory relationship as defined by Rule G-23 of the Municipal Securities Rulemaking Board has existed, or currently exists, between the Issuer and the Underwriter with respect to the Notes. The Issuer further represents that it provided acknowledgment and consent regarding the Underwriter's Rule G-17 disclosure.

(xviii) The Underwriter has not recommended or advised that the Issuer should not retain or utilize financial advisory services on this transaction.

(b) The Underwriter represents to and agrees with the Issuer as follows:

(i) The Underwriter is an entity duly organized, validly existing and in good standing under the laws of the State of Iowa.

(ii) The Note Purchase Agreement has been duly authorized, executed and delivered by the Underwriter and, assuming the due authorization, execution and delivery by the Issuer, is the legal, valid and binding obligation of the Underwriter enforceable in accordance with its terms, except as the enforceability of this Agreement may be limited by application of Creditors' Rights Laws.

(iii) The Underwriter has reviewed the information in this Official Statement in accordance with, and as a part of, its responsibilities to investors under the federal securities laws as applied to the facts and circumstances of this transaction, but the Underwriter does not guarantee the accuracy or completeness of such.

(iv) The Underwriter represents that it is licensed by and registered with the Financial Industry Regulatory Authority as a broker-dealer and the MSRB as a municipal securities dealer

(v) The Underwriter did not recommend or advise that the Issuer should not retain or utilize financial advisory services on the transaction.

(vi) No financial advisory relationship as defined by Rule G-23 of the Municipal Securities Rulemaking Board has existed, or currently exists, between the Issuer and the Underwriter with respect to the Notes.

(vii) The Underwriter represents to the Issuer that the person signing this Note Purchase Agreement on behalf of the Underwriter is authorized to sign this Note Purchase Agreement on behalf of the Underwriter.

6. Closing. Except as otherwise provided herein, at Closing, the Issuer will deliver or cause to be delivered to us, at the offices of the Underwriter or at such other place as we may mutually agree upon, the Notes in definitive fully registered form, duly executed and authenticated. In addition, the other documents hereinafter mentioned will be delivered at the offices of Bond Counsel, and the Underwriter will accept such delivery and pay the purchase price thereof in federal funds payable to the order of the Issuer or the order of such person as the Issuer shall direct and such funds shall be available to the Issuer on the date of Closing.

The Notes will be delivered as fully registered Notes in such authorized denominations and registered in such names and in such amounts as the Underwriter may have requested not less than five (5) business days prior to the Closing (and if no such request is made, in the name of the Underwriter). The Issuer will deposit at least one (1) day prior to the date of Closing any or all of the Notes, registered in such name or names as the Underwriter may request, in New York, New York, subject to release at Closing. The Notes will be made available for checking and authentication not less than 48 hours prior to the Closing, at such place as the Issuer and the Underwriter shall agree.

It is anticipated that CUSIP identification numbers will be printed on the Notes, but neither the failure to print such numbers on any Note nor any error in the printing of such numbers shall constitute cause for a failure or refusal by the Underwriter to accept delivery of and pay for any Notes. The Underwriter and the Issuer will cooperate to obtain the CUSIP numbers and the Underwriter agrees to pay for the costs and expenses of obtaining the CUSIP numbers and all other minor, customary incidental costs and expenses related to the sale, issuance and delivery of the Notes. Simultaneously with the delivery of the Notes, the Issuer shall cause to be delivered to the Underwriter opinions of Bond Counsel dated the date of such delivery as provided in Section 7(d)(i) below.

7. Conditions Precedent. The Issuer and Underwriter have entered into this Note Purchase Agreement in reliance upon (i) the representations, warranties and agreements contained herein and in the Issuer Documents; and (ii) the performance by the opposite party of its obligations hereunder, if any, and under the above-mentioned documents, both as of the date hereof and as of the date of the Closing. Each party's obligation under this Note Purchase Agreement is and shall be subject to the following further conditions:

(a) The representations and warranties of the Issuer contained herein shall be true, complete and correct on the date of acceptance hereof and on and as of the date of the Closing with the same effect as if made on the date of the Closing.

(b) At the time of the Closing, the Official Statement and the other Issuer Documents shall be in full force and effect, shall each be in form and substance acceptable to the Issuer and Underwriter in all respects, and shall not have been amended, modified or supplemented except as may have been agreed to in writing by and shall have been duly adopted and there shall be in full force and effect such ordinances and resolutions, and entered into such agreements, as, in the opinion of Bond Counsel, shall be necessary in connection with the transactions contemplated hereby or the documentation of security for the Notes.

(c) The Underwriter shall have the right to cancel its obligation to purchase the Notes and to terminate this Note Purchase Agreement by written notice to Issuer, prior to the Closing, if, in the Underwriter's sole and reasonable judgment any of the following events shall occur (each a "Termination Event"):

(i) the market price or marketability of the Notes, or the ability of the Underwriter to enforce contracts for the sale of the Notes, shall be materially adversely affected by any of the following events:

- (A) legislation shall have been enacted by the Congress of the United States or the legislature of the State or shall have been favorably reported out of committee of either body or be pending in committee of either body, or shall have been recommended to the Congress for passage by the President of the United States or a member of the President's Cabinet, or a decision shall have been rendered by a court of the United States or the State or the Tax Court of the United States, or a ruling, resolution, regulation or temporary regulation, release or announcement shall have been made or shall have been proposed to be made by the Treasury Department of the United States or the Internal Revenue Service, or other federal or state authority with appropriate jurisdiction, with respect to federal or state taxation upon interest received on obligations of the general character of the Series 2021A Notes; or
- (B) there shall have occurred (1) an outbreak or escalation of hostilities or the declaration by the United States of a national emergency or war or (2) any other calamity or crisis in the financial markets of the United States or elsewhere; or
- (C) a general suspension of trading on the New York Stock Exchange or other major exchange shall be in force, or minimum or maximum prices for trading shall have been fixed and be in force, or maximum ranges for prices for securities shall have been required and be in force on any such exchange, whether by virtue of determination by that exchange or by order of the SEC or any other governmental authority having jurisdiction; or
- (D) legislation shall have been enacted by the Congress of the United States or shall have been favorably reported out of committee or be pending in committee, or shall have been recommended to the Congress for passage by the President of the United States or a member of the President's Cabinet, or a decision by a court of the United States shall be rendered, or a ruling, regulation, proposed regulation or statement by or on behalf of the SEC or other governmental agency having jurisdiction of the subject matter shall be made, to the effect that any obligations of the general character of the Notes or the Issuer Documents, or any comparable securities of the Issuer, are not exempt from the registration, qualification or other requirements of the Securities Act or the Trust Indenture Act or otherwise, or would be in violation of any provision of the federal securities laws; or
- (E) except as disclosed in or contemplated by the Official Statement, any material adverse change in the affairs of the Issuer shall have occurred; or
- (F) any rating on the Notes or any securities of the Issuer which are secured by a general obligation pledge on a parity with the Notes is reduced or withdrawn or placed on credit watch with negative outlook by any major credit rating agency; or
- (ii) any event or circumstance shall exist that either makes untrue or incorrect in any material respect any statement or information in the Official Statement (other than any statement provided by the Underwriter) or is not reflected in the Official Statement but should be reflected therein in order to make the statements therein, in the light of the circumstances under which they were made, not misleading and, in either such event, the Issuer refuses to permit the Official Statement to be supplemented to supply such statement or information, or the effect of the Official Statement as so supplemented is to materially adversely affect the market price or marketability of the Notes or the ability of the Underwriters to enforce contracts for the sale of the Notes; or
- (iii) a general banking moratorium shall have been declared by federal or State authorities having jurisdiction and be in force; or
- (iv) a material disruption in securities settlement, payment or clearance services affecting the Notes shall have occurred; or

(v) any new restriction on transactions in securities materially affecting the market for securities (including the imposition of any limitation on interest rates) or the extension of credit by, or a charge to the net capital requirements of, underwriters shall have been established by the New York Stock Exchange, the Securities Exchange Commission (the "SEC"), any other federal or State agency or the Congress of the United States, or by Executive Order; or

(vi) a decision by a court of the United States shall be rendered, or a stop order, release, regulation or no-action letter by or on behalf of the SEC or any other governmental agency having jurisdiction of the subject matter shall have been issued or made, to the effect that the issuance, offering or sale of the Notes, including the underlying obligations as contemplated by this Note Purchase Agreement or by the Official Statement, or any document relating to the issuance, offering or sale of the Notes, is or would be in violation of any provision of the federal securities laws at the Closing Date, including The Securities Act of 1933, as amended, The Securities Exchange Act of 1933, as amended, and the Trust Indenture Act of 1939, as amended; or

(vii) additional events or announcements related to the COVID-19 virus and its impact results in the cancellation of orders from investors or inability of investors to proceed with the purchase of their Notes in an amount that the Underwriter deems to have an adverse material impact on the sale of and market for the Notes.

Upon the occurrence of a Termination Event and the termination of this Note Purchase Agreement by the Underwriter, all obligations of the Issuer and the Underwriter under this Note Purchase Agreement shall terminate, without further liability, except that the District and the Underwriter shall pay their respective expenses as set forth in Section 9 of this Note Purchase Agreement.

(d) At or prior to the Closing, the Underwriter shall have received the following documents (in each case with such changes as the Issuer and Underwriter shall approve):

(i) The unqualified approving opinions of Bond Counsel, dated the date of the Closing, in materially the form attached hereto as Exhibit A;

(ii) The Disclosure Counsel Advice Letter to the Underwriter dated the date of the Closing, in materially the form attached hereto as Exhibit B;

(iii) A certificate of the Issuer, signed by an authorized representative of the Issuer, dated the date of the Closing, to the effect that (A) the representations, warranties and agreements of the Issuer contained herein and in the Note Resolution and the other Issuer Documents are true and correct in all material respects as of the date of the Closing; (B) no litigation is pending or threatened, to the knowledge of the Issuer, (1) seeking to restrain or enjoin the issuance or delivery of any of the Notes or the collection of revenues or other security pledged under the Note Resolution, (2) in any way contesting or affecting any authority for the issuance of the Notes or the validity of the Notes, the Note Resolution or the other Issuer Documents, or (3) in any way contesting the existence or powers of the Issuer as they relate to the Notes or the imposition or collection of any revenues pledged to the payment of the Notes; (C) no event or circumstance affecting the Issuer has occurred since the date of the Official Statement which should be disclosed in the Official Statement, for the purpose for which it is to be used or which should be disclosed therein in order to make the statements and information therein not misleading in any material respect; (D) the Preliminary Official Statement, as of its date, and the Official Statement, as of its date and as of closing, is true, accurate and complete in all material respects; and (E) all resolutions and other actions required to be approved or taken by or on behalf of the Issuer authorizing and approving the transactions described or contemplated in this Note Purchase Agreement or in the Official Statement, the execution of or approving of the respective forms of, as the case may be, this Note Purchase Agreement, and the Notes have been duly approved by the City Council of the Issuer, are in full force and effect and have not been modified, amended or repealed.

(iv) Certified copies of the Issuer's resolutions or comparable actions of its City Council

authorizing the execution and delivery of the Issuer Documents and approving the Notes and the Official Statement;

- (v) A Tax Agreement or Tax Certificate in form satisfactory to Bond Counsel; and
- (vi) Written confirmation of the Rating on the Notes from the Rating Agency
- (vii) Evidence of the issuance of the Bond Insurance Policy and written confirmation of the Insured Rating on the Notes from the Rating Agency;
- (viii) An opinion of Underwriter's Counsel, in form satisfactory to the Underwriter; and
- (ix) Such additional legal opinions, certificates, proceedings, agreements, instruments and other documents the Underwriter or Bond Counsel may reasonably request to evidence compliance with any legal requirements, to provide such additional assurances as the Underwriter may request, the truth and accuracy, as of the time of Closing, of any representations given and the due performance or satisfaction at or prior to such time of all agreements then to be performed and all conditions then to be satisfied as conditions precedent to the issuance of the Notes.

If either party shall be unable for any reason to satisfy the conditions precedent contained in this Note Purchase Agreement or if either party's obligation shall be terminated for any reason permitted by this Note Purchase Agreement, this Note Purchase Agreement shall terminate and neither the Underwriter nor the Issuer shall have any further obligations or liability hereunder, except that the respective obligations of the Issuer and the Underwriter set forth in Section 8 hereof, shall continue in full force and effect.

8. Amendments to Official Statement. After the date of the Official Statement and so long as the Underwriter, or dealers, if any, participating in the original distribution of the Notes, are offering the Notes which constitute the whole or a part of their unsold participations, the Issuer will (a) not adopt any amendment of or supplement to the Official Statement without the prior written consent of the Underwriter, and (b) during such period or for forty-five (45) days from the date of the Closing, whichever is earlier, if any event or circumstance relating to or affecting the Official Statement shall occur as a result of which, in the reasonable judgment of the Underwriter, it is necessary to amend or supplement the Official Statement in order to make the Official Statement not misleading in the light of the event or circumstances existing at the time they are delivered to a purchaser, forthwith prepare and furnish to the Underwriter, at the expense of the Issuer, a reasonable number of copies of an amendment of or supplement to the Official Statement (in form and substance satisfactory to counsel for the Underwriter) which will amend or supplement the Official Statement so that they will not contain any untrue statement of a material fact or omit to state a material fact necessary in order to make the statements therein, in the light of the circumstances existing at the time the Official Statement is delivered to a purchaser or purchasers, not misleading. For the purposes of, and during the period of time provided by this Section, the Issuer will furnish, or cause to be furnished, such information with respect to itself as the Underwriter may from time to time reasonably request.

9. Payment of Expenses.

- (a) Upon and subject to the issuance, sale and delivery of the Notes by the Issuer, the Issuer agrees to pay either directly or, to the extent permitted under federal tax law, from the proceeds of the Notes, at closing or a date thereafter that is agreeable to the Underwriter in its sole discretion, the Underwriter's Discount. The Issuer is responsible for and agrees to pay all other Costs of Issuance for the transaction necessary for the Notes to close.
- (b) If the Notes are not issued and delivered by the Issuer to the Underwriter as a result of the failure by the Issuer to perform any of its obligations under this Note Purchase Agreement (other than a non-performance following a failure of the Underwriter to comply with its obligation set forth in this Agreement, or a cancellation of this Agreement under Section 7(c) herein, if such obligation is not otherwise excused or terminated by the Issuer), the Issuer agrees that it shall pay the Underwriter's

Discount.

10. No Advisory or Fiduciary Role. Issuer acknowledges and agrees that: (i) the primary role of the Underwriter is to purchase securities for resale to investors in an arms-length commercial transaction between the Issuer and the Underwriter and that the Underwriter has financial and other interests that differ from those of the Issuer (ii) the Underwriter is not acting as a municipal advisor, financial advisor or fiduciary to the Issuer or any other person or entity and has not assumed any advisory or fiduciary responsibility to the Issuer with respect to the transaction contemplated hereby and the discussions, undertakings and proceedings leading thereto (irrespective of whether the Underwriter has provided other services or is currently providing other services to the Issuer on other matters) (iii) the only obligations the Underwriter has to the Issuer with respect to the transaction contemplated hereby expressly are set forth in this Agreement and (iv) the Issuer has consulted its own legal, accounting, tax, financial advisors, and other advisors, as applicable, to the extent it has deemed appropriate in connection with the transaction contemplated herein.

11. Rule 15c2-12 and Related Matters. Each of the parties hereto agrees to reasonably cooperate with each other in order to carry out and comply with certain requirements of the Rule.

The Underwriter has obtained and reviewed the Official Statement and, on the basis of such review, the Underwriter states that it has no reason to believe that the key representations contained therein are not truthful and complete (and in reaching such conclusion the Underwriter has relied upon (a) the accuracy and completeness of the financial information of the Issuer included in the Official Statement (including the appendices thereto); (b) the accuracy and completeness of the discussion under the heading "TAX MATTERS" in the Official Statement; and (c) the accuracy of all the other information furnished by the Issuer).

12. Issuer Intends to Issue Tax Exempt Notes. The Issuer acknowledges it intends to issue the Series 2021A Notes on a tax exempt basis and further acknowledges the Issuer's continuing covenants and responsibilities regarding tax exemption that will be contained in the Note Documents, including the Tax Exemption Certificate and Note Resolution. The Issuer acknowledges that the services provided by the Underwriter are not intended to be construed as legal or accounting advice with respect to the issuance of the Notes.

To the extent that the Underwriter provided the Issuer and Bond Counsel with certain computations that show a bond yield, issue price, weighted average maturity and certain other information with respect to the Notes, these computations are made using software licensed to the Underwriter by a third party vendor, DBC, and are provided for informational purposes only. The Underwriter expresses no view regarding the legal sufficiency of any such computations or the correctness of any legal interpretation made by Bond Counsel.

13. No Recourse for Tax Matters. No recourse shall be had against the Underwriter for loss, damage, liability, cost or expense (whether direct, indirect or consequential) of the Issuer arising out of or in defending, prosecuting, negotiating or responding to any inquiry, questionnaire, audit, suit, action, or other proceeding brought or received from the Internal Revenue Service in connection with the Notes or otherwise relating to the tax treatment of interest on the Note except for a loss, damage, liability, cost or expense directly attributable to representations, actions or omissions made by the Underwriter recklessly or in bad faith.

14. Notices. Any notice or other communication to be given to the Issuer under this Note Purchase Agreement may be given by delivering the same in writing to the addresses set forth above, and any such notice or other communication to be given to the Underwriter may be given by delivering the same in writing to the Underwriter at Piper Sandler & Co., Attn: Public Finance Department, 3900 Ingersoll Avenue, Suite 110, Des Moines, IA 50312.

15. Benefit. This Note Purchase Agreement is made solely for the benefit of the Issuer and the Underwriter (including its successors or assigns), and no other person, political subdivision, partnership,

association or corporation shall acquire or have any right hereunder or by virtue hereof.

16. Approval. The approval of the Underwriter when required hereunder or the determination of its satisfaction as to any document referred to herein shall be in writing signed by the undersigned and delivered to the Issuer.

17. Governing Law; Counterparts. This Note Purchase Agreement shall be governed by the laws of the State of Iowa and may be executed in several counterparts, each of which shall be regarded as an original and all of which shall constitute one and the same document.

18. Indemnification and Contribution. To the extent permitted by law, the Issuer will indemnify and hold harmless the Underwriter, each individual, corporation, partnership, trust, association or other entity controlling the Underwriter, any affiliate of the Underwriter or any such controlling entity and their respective directors, officers, employees, partners, incorporators, shareholders, servants, trustees and agents (hereinafter the "Indemnitees") if it is determined the Issuer has omitted to state any material fact necessary to make the statements made in the Official Statement, in light of the circumstances under which they were made, not misleading or (ii) arising out of or based upon the breach by the Issuer of any agreement, covenant or representation made in or pursuant to this Note Purchase Agreement. This indemnification shall not apply to the extent that a claim arises out of or is based upon information about the Underwriter.

PIPER SANDLER & CO.
as Underwriter specified herein

By: Justin J. Osinski
Its Managing Director

Approved and Agreed to:

CITY OF OTTUMWA, IOWA, as Issuer specified herein

By: _____
Its Mayor

ATTEST:

Its City Clerk

Signature page for the Note Purchase Agreement between the Issuer, and The Underwriter dated the
Note Purchase Agreement Date

PIPER SANDLER & CO.
as Underwriter specified herein

By: _____
Its Managing Director

Approved and Agreed to:

CITY OF OTTUMWA, IOWA, as Issuer specified herein

By: *Tom X. Lopez*
Its Mayor

ATTEST:

Christa Reinhard
Its City Clerk



Signature page for the Note Purchase Agreement between the Issuer, and The Underwriter dated the
Note Purchase Agreement Date

**SCHEDULE I
TO NOTE PURCHASE AGREEMENT**

Maturity Date and Schedule – Series 2021A Notes

<u>Maturity Date</u>	<u>Bond Coupon</u>	<u>Yield to Maturity</u>	<u>Yield to Worst</u>	<u>Bond Price</u>	<u>Par Amount</u>	<u>Total Proceeds</u>
6/1/22	2.000%	0.300%	0.300%	102.189%	\$490,000	\$500,726.10
6/1/28	2.000%	0.850%	0.850%	108.113%	\$445,000	\$481,102.85
6/1/29	2.000%	0.950%	0.950%	108.352%	\$455,000	\$493,001.60
6/1/30	2.000%	1.126%	1.030%	107.689%	\$465,000	\$500,753.85
6/1/31	2.000%	1.286%	1.130%	106.866%	\$475,000	\$507,613.50
6/1/32	2.000%	1.395%	1.200%	106.295%	\$480,000	\$510,216.00
6/1/33	2.000%	1.474%	1.250%	105.889%	\$490,000	\$518,856.10
6/1/34	2.000%	1.542%	1.300%	105.484%	\$500,000	\$527,420.00
6/1/35	2.000%	1.601%	1.350%	105.081%	\$510,000	\$535,913.10
6/1/36	2.000%	1.652%	1.400%	104.680%	\$520,000	\$544,336.00
6/1/37	2.000%	1.725%	1.500%	103.883%	\$530,000	\$550,579.90
6/1/38	2.000%	1.765%	1.550%	103.487%	\$545,000	\$564,004.15
6/1/39	2.000%	1.801%	1.600%	103.093%	\$555,000	\$572,166.15
6/1/40	2.000%	1.857%	1.700%	102.309%	\$565,000	\$578,045.85
Totals:					\$7,025,000	\$7,384,735.15

Maturity Date and Schedule – Series 2021B Notes

<u>Maturity Date</u>	<u>Bond Coupon</u>	<u>Yield to Maturity</u>	<u>Yield to Worst</u>	<u>Bond Price</u>	<u>Par Amount</u>	<u>Total Proceeds</u>
6/1/21	0.410%	0.410%	0.410%	100.000%	\$115,000	\$115,000
6/1/22	0.460%	0.460%	0.460%	100.000%	115,000	115,000
6/1/23	0.500%	0.500%	0.500%	100.000%	120,000	120,000
6/1/24	0.570%	0.570%	0.570%	100.000%	125,000	125,000
6/1/25	0.800%	0.800%	0.800%	100.000%	130,000	130,000
6/1/26	0.900%	0.900%	0.900%	100.000%	130,000	130,000
6/1/27	1.110%	1.110%	1.110%	100.000%	130,000	130,000
6/1/28	1.270%	1.270%	1.270%	100.000%	130,000	130,000
6/1/29	1.530%	1.530%	1.530%	100.000%	130,000	130,000
6/1/30	1.630%	1.630%	1.630%	100.000%	135,000	135,000
6/1/31	1.730%	1.730%	1.730%	100.000%	135,000	135,000
Totals:					\$1,395,000	\$1,395,000

EXHIBIT A TO THE NOTE PURCHASE AGREEMENT - FORM OF LEGAL OPINIONS

Series 2021A Notes

We hereby certify that we have examined a certified transcript of the proceedings of the City Council and acts of administrative officers of the City of Ottumwa, State of Iowa (the "Issuer"), relating to the issuance of General Obligation Capital Loan Notes, Series 2021A, by said City, dated February 16, 2021 in the denomination of \$5,000 or multiples thereof, in the aggregate amount of \$7,025,000 (the "Notes").

We have examined the law and such certified proceedings and other papers as we deem necessary to render this opinion as bond counsel.

As to questions of fact material to our opinion, we have relied upon representations of the Issuer contained in the resolution authorizing the Loan Agreements and issuance of the Notes (the "Resolution") and in the certified proceedings and other certifications of public officials furnished to us, without undertaking to verify the same by independent investigation.

Based on our examination and in reliance upon the certified proceedings and other certifications described above, we are of the opinion, under existing law, as follows:

1. The Issuer is duly created and validly existing as a body corporate and politic and political subdivision of the State of Iowa with the corporate power to adopt and perform the Resolution and Loan Agreements and issue the Notes.
2. The Loan Agreement and Notes are valid and binding general obligations of the Issuer.
3. All taxable property in the territory of the Issuer is subject to ad valorem taxation without limitation as to rate or amount to pay the Notes. Taxes have been levied by the Resolution for the payment of the Notes and the Issuer is required by law to include in its annual tax levy the principal and interest coming due on the Notes to the extent the necessary funds are not provided from other sources.
4. Interest on the Notes is excludable from gross income for federal income tax purposes and is not an item of tax preference for purposes of the federal alternative minimum tax. The opinion set forth in the preceding sentence is subject to the condition that the Issuer comply with all requirements of the Internal Revenue Code of 1986, as amended, that must be satisfied subsequent to the issuance of the Notes in order that the interest thereon be, and continue to be, excludable from gross income for federal income tax purposes. The Issuer has covenanted to comply with all such requirements. Failure to comply with certain of such requirements may cause interest on the Notes to be included in gross income for federal income tax purposes retroactively to the date of issuance of the Notes.

The Issuer has designated the Notes "qualified tax exempt obligations" within the meaning of Section 265(b)(3) of the Code.

We express no opinion regarding the accuracy, adequacy, or completeness of the Official Statement or other offering material relating to the Notes. Further, we express no opinion regarding tax consequences arising with respect to the Notes other than as expressly set forth herein.

The rights of the owners of the Notes and the enforceability of the Notes are limited by bankruptcy, insolvency, reorganization, moratorium, and other similar laws affecting creditors' rights generally, and by equitable principles, whether considered at law or in equity.

This opinion is given as of the date hereof, and we assume no obligation to revise or supplement this opinion to reflect any facts or circumstances that may hereafter come to our attention, or any changes in law that may hereafter occur.

Respectfully,

AHLERS & COONEY, P.C.

Series 2021B Notes

We hereby certify that we have examined a certified transcript of the proceedings of the City Council and acts of administrative officers of the City of Ottumwa, State of Iowa (the "Issuer"), relating to the issuance of Taxable General Obligation Refunding Capital Loan Notes, Series 2021B, by said City, dated February 16, 2021, in the denomination of \$5,000 or multiples thereof, in the aggregate amount of \$1,395,000 (the "Notes").

We have examined the law and such certified proceedings and other papers as we deem necessary to render this opinion as bond counsel.

As to questions of fact material to our opinion, we have relied upon representations of the Issuer contained in the resolution authorizing the Loan Agreement and issuance of the Notes (the "Resolution") and in the certified proceedings and other certifications of public officials furnished to us, without undertaking to verify the same by independent investigation.

Based on our examination and in reliance upon the certified proceedings and other certifications described above, we are of the opinion, under existing law, as follows:

1. The Issuer is duly created and validly existing as a body corporate and politic and political subdivision of the State of Iowa with the corporate power to adopt and perform the Resolution and Loan Agreement and issue the Notes.
2. The Loan Agreement and Notes are valid and binding general obligations of the Issuer.
3. All taxable property in the territory of the Issuer is subject to ad valorem taxation without limitation as to rate or amount to pay the Notes. Taxes have been levied by the Resolution for the payment of the Notes and the Issuer is required by law to include in its annual tax levy the principal and interest coming due on the Notes to the extent the necessary funds are not provided from other sources.
4. The interest on the Notes is not excluded from gross income for federal income tax purposes under Section 103(a) of the Internal Revenue Code of 1986, as amended. THE HOLDERS OF THE NOTES SHOULD TREAT THE INTEREST THEREON AS SUBJECT TO FEDERAL INCOME TAXATION. We express no other opinion regarding any other federal or state income tax consequences caused by the receipt or accrual of interest on the Notes.

We express no opinion regarding the accuracy, adequacy, or completeness of the Official Statement or other offering material relating to the Notes. Further, we express no opinion regarding tax consequences arising with respect to the Notes other than as expressly set forth herein.

The rights of the owners of the Notes and the enforceability of the Notes are limited by bankruptcy, insolvency, reorganization, moratorium, and other similar laws affecting creditors' rights generally, and by equitable principles, whether considered at law or in equity.

This opinion is given as of the date hereof, and we assume no obligation to revise or supplement this opinion to reflect any facts or circumstances that may hereafter come to our attention, or any changes in law that may hereafter occur.

Respectfully,

AHLERS & COONEY, P.C.

EXHIBIT B – FORM OF DISCLOSURE COUNSEL LETTER TO UNDERWRITER

Piper Sandler & Co.
3900 Ingersoll Ave. Suite 110
Des Moines, IA 50312

Re: City of Ottumwa, State of Iowa - \$7,025,000 General Obligation Capital Loan Notes, 2021A and \$1,395,000 Taxable General Obligation Refunding Capital Loan Notes, 2021B

Ladies and Gentlemen:

We have acted as disclosure counsel to the City of Ottumwa (the "Issuer"), and not any other person, pursuant to our engagement letter dated _____, 2020, in connection with the issuance of the above-referenced obligations (the "Notes").

In providing the statement of belief set forth in the succeeding paragraph, reference is made to the Official Statement dated January 19, 2021 (the "Official Statement"). As disclosure counsel, we have reviewed the Official Statement and certain other documents and have participated in communications with the Issuer and you as its underwriter, in which the contents of the Official Statement and other matters were discussed. The purpose of our professional engagement was not to establish or to confirm factual matters set forth in the Official Statement, and we have not undertaken to verify independently any of such factual matters. Subject to the foregoing and on the basis of the information we gained in the course of performing the services referred to above, we confirm to you that no facts have come to the attention of the attorneys in our firm rendering legal services in connection with this matter that cause them to believe that the Official Statement as of its date or as of the date hereof, contained or contains any untrue statement of a material fact or omitted or omits to state any material fact necessary to make the statements made therein, in the light of the circumstances under which they were made, not misleading; provided, however, we do not assume responsibility for the accuracy, completeness or fairness of the statements contained in the Official Statement, nor do we express any belief with respect to any financial statements or other financial, operating, statistical, numerical or accounting data and forecasts, projections, numbers, estimates, assumptions and expressions of opinion, CUSIP numbers and information concerning The Depository Trust Company and the book-entry system for the Notes contained or incorporated by reference in the Official Statement and its Appendices, which we expressly exclude from the scope of this paragraph.

In accepting this letter, you recognize and acknowledge that: (i) the preceding paragraph is not a legal opinion but is rather in the nature of negative observations based on certain limited activities performed by specific lawyers in our firm in our role as disclosure counsel; (ii) no attorney-client relationship has existed or exists between our firm and you in connection with the Notes or by virtue of our disclosure counsel advice letter to the Issuer or this letter; (iii) the scope of the activities performed by us described above were inherently limited and do not encompass all activities necessary for compliance with applicable securities law related to the Official Statement; (iv) such activities relied substantially on representations, warranties, certifications and opinions made by the Issuer (and other transaction participants') representatives and others, and are otherwise subject to the matters set forth in this letter; (v) the scope of our activities as disclosure counsel were limited as contained in our engagement with the Issuer and do not purport to encompass all diligence activities that the underwriter, municipal advisor, or the Issuer may be responsible to undertake in discharging their responsibilities under the federal securities laws, and (vi) the responsibilities of the issuer under those laws may differ from those of underwriters and municipal advisors in material respects, and this letter may not serve the same purpose or provide the same utility to you and your duties necessary for compliance with applicable securities laws. We are not engaged to make an evaluation for any third party or otherwise assist in its due diligence or other legal responsibilities. The duties undertaken by our firm as disclosure counsel run to the Issuer, whose interest may differ from an underwriter or municipal advisor for the Notes and consequently we make no representation that our review has been adequate for your purposes and we expressly disclaim any responsibility for duties imposed under various federal securities laws for which you may be responsible.

Our engagement as disclosure counsel to the Issuer with respect to the Notes terminates as of the date

hereof. This letter is furnished by us solely for the benefit of Piper Sandler & Co., as underwriter to the Issuer, and may not be quoted, relied upon or otherwise referred to for any other purpose or by any other person or entity (including any person purchasing any of the Notes from the underwriter). We disclaim any obligation to supplement this letter to reflect any facts or circumstances that may hereafter come to our attention or any changes in the law that may hereafter occur.

Respectfully,

AHLERS & COONEY, P.C.

EXHIBIT C – FORM OF ISSUE PRICE CERTIFICATE

ISSUE PRICE CERTIFICATE

\$7,025,000

CITY OF OTTUMWA, IOWA

GENERAL OBLIGATION CAPITAL LOAN NOTES, SERIES 2021A

The undersigned, Piper Sandler & Co. (the "Underwriter"), hereby certifies as set forth below with respect to the sale and issuance of the above-captioned obligations (the "Notes").

1. **Sale of the General Rule Maturities.** As of the date of this certificate, for each Maturity of the General Rule Maturities, the first price at which at least 10% of such Maturity of the Notes was sold to the Public is the respective price listed in Schedule A.
2. **Initial Offering Price of the Hold-the-Offering-Price Maturities**
 - (a) The Underwriter offered the Hold-the-Offering-Price Maturities to the Public for purchase at the respective initial offering prices listed in Schedule A (the "Initial Offering Prices") on or before the Sale Date. If any Hold-the-Offering-Price Maturities are identified in Schedule A, a copy of the pricing wire or equivalent communication for the Notes will be attached to this certificate as Schedule B.
 - (b) As set forth in the Note Purchase Agreement dated January 19, 2021 (the "Agreement") between the Issuer and the Underwriter, the Underwriter has agreed in writing that for each Maturity of the Hold-the-Offering-Price Maturities it would neither offer nor sell any of the Notes of such Maturity to any person at a price that is higher than the Initial Offering Price for such Maturity during the Holding Period for such Maturity (the "Hold-the-Offering-Price Rule"). Pursuant to such agreement, no Underwriter (as defined below) has offered or sold any Maturity of the Hold-the-Offering-Price Maturities at a price that is higher than the respective Initial Offering Price for that Maturity of the Notes during the Holding Period.
3. **Defined Terms.**
 - (a) General Rule Maturities means those Maturities of the Notes listed in Schedule A hereto as the "General Rule Maturities".
 - (b) Hold-the-Offering-Price Maturities means those Maturities of the Notes, if any, listed in Schedule A hereto as the "Hold-the-Offering-Price Maturities."
 - (c) Holding Period means, with respect to a Hold-the-Offering-Price Maturity, the period starting on the Sale Date and ending on the earlier of (i) the close of the fifth (5th) business day after the Sale Date (January 19, 2021), or (ii) the date on which the Underwriter has sold at least 10% of such Hold-the-Offering-Price Maturity to the Public at prices that are no higher than the Initial Offering Price for such Hold-the Offering-Price Maturity.
 - (d) Issuer means City of Ottumwa, Iowa.
 - (e) Maturity means Notes with the same credit and payment terms. Notes with different maturity dates, or Notes with the same maturity date but different stated interest rates, are treated as separate maturities.
 - (f) Public means any person (including an individual, trust, estate, partnership, association, company, or corporation) other than an Underwriter or a related party to an Underwriter. The term "related party" for purposes of this certificate generally means any two or more persons who have greater than 50 percent common ownership, directly or indirectly.

(g) Sale Date means the first day on which there is a binding contract in writing for the sale of a Maturity of the Notes. The Sale Date of the Notes is January 19, 2021.

(h) Underwriter means (i) any person that agrees pursuant to a written contract with the Issuer (or with the lead underwriter to form an underwriting syndicate) to participate in the initial sale of the Notes to the Public, and (ii) any person that agrees pursuant to a written contract directly or indirectly with a person described in clause (i) of this paragraph to participate in the initial sale of the Notes to the Public (including a member of a selling group or a party to a retail distribution agreement participating in the initial sale of the Notes to the Public).

The representations set forth in this certificate are limited to factual matters only. Nothing in this certificate represents the Underwriter's interpretation of any laws, including specifically Sections 103 and 148 of the Internal Revenue Code of 1986, as amended, and the Treasury Regulations thereunder. The undersigned understands that the foregoing information will be relied upon by the Issuer with respect to certain of the representations set forth in the tax certificate or other closing certificate and with respect to compliance with the federal income tax rules affecting the Notes, and by Bond Counsel in connection with rendering its opinion that the interest on the Notes is excluded from gross income for federal income tax purposes, the preparation of the Internal Revenue Service Form 8038-G, and other federal income tax advice that it may give to the Issuer from time to time relating to the Notes.

EXECUTED and DELIVERED this February 16, 2021.

PIPER SANDLER & CO.

By: _____

Name: Timothy J. Oswald

Title: Managing Director

SCHEDULE A TO
ISSUE PRICE CERTIFICATE

SALE PRICES OF THE GENERAL RULE MATURITIES AND
INITIAL OFFERING PRICES OF THE HOLD-THE-OFFERING-PRICE MATURITIES

General Rule Maturities or Hold-The-Offering-Price Maturities

<u>Maturity Date</u>	<u>Bond Coupon</u>	<u>Yield to Maturity</u>	<u>Yield to Worst</u>	<u>Bond Price</u>	<u>Par Amount</u>	<u>Total Proceeds</u>	<u>General Rule</u>	<u>Hold-the-Offering-Price</u>
6/1/22	2.000%	0.300%	0.300%	102.189%	\$490,000	\$500,726.10	X	
6/1/28	2.000%	0.850%	0.850%	108.113%	\$445,000	\$481,102.85	X	
6/1/29	2.000%	0.950%	0.950%	108.352%	\$455,000	\$493,001.60	X	
6/1/30	2.000%	1.126%	1.030%	107.689%	\$465,000	\$500,753.85	X	
6/1/31	2.000%	1.286%	1.130%	106.866%	\$475,000	\$507,613.50	X	
6/1/32	2.000%	1.395%	1.200%	106.295%	\$480,000	\$510,216.00	X	
6/1/33	2.000%	1.474%	1.250%	105.889%	\$490,000	\$518,856.10	X	
6/1/34	2.000%	1.542%	1.300%	105.484%	\$500,000	\$527,420.00	X	
6/1/35	2.000%	1.601%	1.350%	105.081%	\$510,000	\$535,913.10	X	
6/1/36	2.000%	1.652%	1.400%	104.680%	\$520,000	\$544,336.00	X	
6/1/37	2.000%	1.725%	1.500%	103.883%	\$530,000	\$550,579.90	X	
6/1/38	2.000%	1.765%	1.550%	103.487%	\$545,000	\$564,004.15	X	
6/1/39	2.000%	1.801%	1.600%	103.093%	\$555,000	\$572,166.15	X	
6/1/40	2.000%	1.857%	1.700%	102.309%	\$565,000	\$578,045.85		X
Totals:					\$7,025,000	\$7,384,735.15		

SCHEDULE B TO ISSUE PRICE CERTIFICATE
PRICING WIRE OR EQUIVALENT COMMUNICATION

(See attached)

City of Ottumwa


Staff Summary

Council Meeting of: January 19, 2021

Item No 15-2021

Finance Department
Department


City Administrator

Kala Mulder
Prepared By

Department Head

Agenda Title: Resolution No. 15-2021 Directing Sale of \$1,400,000 (Subject To Adjustment Per Terms of Offering) Taxable General Obligation Capital Loan Notes Series 2021B.

.....
Purpose: Directing the Acceptance of a Proposal to Purchase \$1,400,000* Taxable General Obligation Capital Loan Notes; and Approving the Form and Authorizing Execution of a Note Purchase Agreement.

Recommendation: Pass and adopt Resolution No. 15-2021.

Discussion: This is for general corporate purposes, bearing interest at a rate of not to exceed nine (9) per centum per annum, the Notes to be issued to provide funds to pay the costs of funding projects necessary for the operation of the City or for the health and welfare of citizens, including funding for Façade and Roof grants; grant matches for economic development or other city projects; and the Healthy Neighbors Program; equipping the parks department; and improvements and renovations to the Bridge View Center, and costs of the construction, reconstruction, enlargement, improvement and equipping of city hall, fire stations, police stations, and swimming pools and recreational areas, including the Ottumwa Beach. Principal and interest on the proposed Loan Agreement will be payable from the Debt Service Fund.

ITEMS TO INCLUDE ON AGENDA

CITY OF OTTUMWA, IOWA

\$1,400,000* Taxable General Obligation Refunding Capital Loan Notes, Series 2021B

- Resolution Directing the Acceptance of a Proposal to Purchase \$1,400,000* Taxable General Obligation Refunding Capital Loan Notes; and Approving the Form and Authorizing Execution of a Note Purchase Agreement

NOTICE MUST BE GIVEN PURSUANT TO IOWA CODE
CHAPTER 21 AND THE LOCAL RULES OF THE CITY.

January 19, 2021

The City Council of the City of Ottumwa, State of Iowa, met in regular session, in the Council Chambers, City Hall, 105 East 3rd Street, Ottumwa, Iowa, at 5:30 P.M., on the above date. There were present Mayor Lazio, in the chair, and the following named Council Members:

Matt Dalbey, Marc Roe, Skip Stevens, Holly Berg

Absent: Bob Meyers

Vacant: None

* * * * *

Council Member Dalbey introduced the following Resolution entitled "RESOLUTION DIRECTING THE ACCEPTANCE OF A PROPOSAL TO PURCHASE \$1,400,000* TAXABLE GENERAL OBLIGATION REFUNDING CAPITAL LOAN NOTES, SERIES 2021B; AND APPROVING THE FORM AND AUTHORIZING EXECUTION OF A NOTE PURCHASE AGREEMENT" and moved that it be adopted. Council Member Roe seconded the motion to adopt, and the roll being called thereon, the vote was as follows:

AYES: Matt Dalbey, Marc Roe, Skip Stevens, Holly Berg

NAYS: None

Whereupon, the Mayor declared the Resolution duly adopted as follows:

RESOLUTION NO. 15-2021

RESOLUTION DIRECTING THE ACCEPTANCE OF A PROPOSAL TO PURCHASE \$1,400,000* TAXABLE GENERAL OBLIGATION REFUNDING CAPITAL LOAN NOTES, SERIES 2021B; AND APPROVING THE FORM AND AUTHORIZING EXECUTION OF A NOTE PURCHASE AGREEMENT

WHEREAS, the City of Ottumwa, sometimes hereinafter referred to as the City, is a municipal corporation duly incorporated, organized and existing under and by virtue of the Constitution and laws of the State of Iowa; and

WHEREAS, it is deemed necessary that the City should enter into a Loan Agreement and borrow the amount of \$1,400,000* (as adjusted) as authorized by Sections 384.24A and 384.25, Code of Iowa as amended; and

WHEREAS, a proposal has been received from Piper Sandler & Co. of Des Moines, Iowa; and

WHEREAS, it is the intention of this City Council to enter into a Loan Agreement in accordance with said proposal dated January 19, 2021.

NOW THEREFORE, BE IT RESOLVED, BY THE CITY COUNCIL OF THE CITY OF OTTUMWA, STATE OF IOWA:

Section 1. That this City Council does hereby accept the attached proposal of Piper Sandler & Co. of Des Moines, Iowa, including the form and content of the Note Purchase Agreement, the provisions of which are hereby approved, authorized, and confirmed, and the Mayor and City Clerk are hereby authorized, empowered, and directed to execute and deliver the Note Purchase Agreement, in substantially the form and content now before this meeting, but with such changes, modifications, additions or deletions approved by the City Clerk and Finance Director, upon the advice of bond counsel; and the City Council takes additional action to permit the entering into of a Loan Agreement.

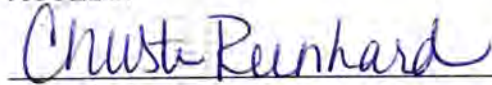
Section 2. The Mayor and City Clerk are authorized and directed to proceed on behalf of the City to enter into such Loan Agreement, to negotiate the final terms of a Loan Agreement to take all action necessary to permit the entering into of a Loan Agreement on a basis favorable to the City and acceptable to the Purchaser, and to proceed to meet the conditions of this accepted proposal.

PASSED AND APPROVED this 19th day of January, 2021.



Mayor

ATTEST:



City Clerk

(Attach Copy of Terms of Proposal)

CERTIFICATE

STATE OF IOWA

)

) SS

COUNTY OF WAPELLO

)

I, the undersigned City Clerk of the City of Ottumwa, State of Iowa, do hereby certify that attached is a true and complete copy of the portion of the records of the City showing proceedings of the Council, and the same is a true and complete copy of the action taken by the Council with respect to the matter at the meeting held on the date indicated in the attachment, which proceedings remain in full force and effect, and have not been amended or rescinded in any way; that meeting and all action thereat was duly and publicly held in accordance with a notice of meeting and tentative agenda, a copy of which was timely served on each member of the Council and posted on a bulletin board or other prominent place easily accessible to the public and clearly designated for that purpose at the principal office of the Council pursuant to the local rules of the Council and the provisions of Chapter 21, Code of Iowa, upon reasonable advance notice to the public and media at least twenty-four hours prior to the commencement of the meeting as required by law and with members of the public present in attendance; I further certify that the individuals named therein were on the date thereof duly and lawfully possessed of their respective City offices as indicated therein, that no Council vacancy existed except as may be stated in the proceedings, and that no controversy or litigation is pending, prayed or threatened involving the incorporation, organization, existence or boundaries of the City or the right of the individuals named therein as officers to their respective positions.

WITNESS my hand and the seal of the Council hereto affixed this 20th day of January, 2021.



City Clerk, City of Ottumwa, State of Iowa



City of Ottumwa

Staff Summary


Council Meeting of: January 19, 2021

Item No 16-2021

Finance Department
Department



City Administrator

Kala Mulder
Prepared By


Department Head

Agenda Title: Resolution No. 16-2021 Authorizing the Redemption of Outstanding General Obligation Bonds, Taxable Series 2011A.

.....
Purpose: Authorizing the Redemption of Outstanding General Obligation Bonds, Taxable Series 2011A, dated October 19, 2011.

Recommendation: Pass and adopt Resolution No. 16-2021.

Discussion: Refinancing prior Taxable General Obligation Bonds, Series 2011 dated October 19, 2011, for lower finance rating.

ITEMS TO INCLUDE ON AGENDA

CITY OF OTTUMWA, IOWA

General Obligation Bonds, Taxable Series 2011A

- Resolution Authorizing the Redemption of Outstanding General Obligation Bonds, Taxable Series 2011A, dated October 19, 2011.

NOTICE MUST BE GIVEN PURSUANT TO IOWA CODE
CHAPTER 21 AND THE LOCAL RULES OF THE CITY.

January 19, 2021

The City Council of the City of Ottumwa, State of Iowa, met in regular session, in the Council Chambers, City Hall, 105 East 3rd Street, Ottumwa, Iowa, at 5:30 P.M., on the above date. There were present Mayor Lazio, in the chair, and the following named Council Members:

Matt Dalbey, Marc Roe, Skip Stevens, Holly Berg

Absent: Bob Meyers

Vacant: None

* * * * *

Council Member Berg introduced the following Resolution entitled "A RESOLUTION AUTHORIZING THE REDEMPTION OF OUTSTANDING GENERAL OBLIGATION BONDS, TAXABLE SERIES 2011A, OF THE CITY OF OTTUMWA, STATE OF IOWA, DATED OCTOBER 19, 2011, AND DIRECTING NOTICE BE GIVEN" and moved its adoption. Council Member Dalbey seconded the motion to adopt. The roll was called and the vote was,

AYES: Matt Dalbey, Marc Roe, Skip Stevens, Holly Berg

NAYS: None

Whereupon, the Mayor declared the resolution duly adopted as follows:

Resolution No. 16-2021

RESOLUTION AUTHORIZING THE REDEMPTION OF
OUTSTANDING GENERAL OBLIGATION BONDS,
TAXABLE SERIES 2011A, OF THE CITY OF OTTUMWA,
STATE OF IOWA, DATED OCTOBER 19, 2011, AND
DIRECTING NOTICE BE GIVEN

WHEREAS, the City did by resolution dated October 4, 2011, authorize the issuance of \$2,050,000 General Obligation Bonds, Taxable Series 2011A, (the "Bonds") dated October 19, 2011; and

WHEREAS, the Bonds are redeemable in any order of their numbering on June 1, 2020 or any date thereafter upon giving notice in the manner provided in the resolution authorizing the issuance of the Bonds; and

WHEREAS, it is deemed necessary and advisable that \$1,360,000 be so redeemed on February 19, 2021 and notice of redemption be given according to the terms of the resolution authorizing issuance of the Bonds.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF OTTUMWA, STATE OF IOWA:

Section 1. That outstanding General Obligation Bonds, Taxable Series 20211A, dated October 19, 2011, in the principal amount of \$1,360,000, be and the same are hereby redeemed as of February 19, 2021.

Section 2. UMB Bank, n.a. as Substitute Paying Agent, Bond Registrar and Transfer Agent, to Bankers Trust Company, is hereby authorized and directed to cause notice of such redemption be given not less than thirty (30) days prior to the redemption date and to cause notice of redemption to be mailed to the registered owners of the Bonds by certified mail, and to notify DTC.

Section 3. The Finance Director is hereby authorized and directed to cause to be deposited in a separate fund such sum that is sufficient to pay all principal and interest on the redeemed Bonds to the date of redemption and to notify the City's dissemination agent to post the Notice of Redemption to the MSRB's website (EMMA) in searchable PDF format for the refunded Bonds in accordance with the Continuing Disclosure Certificate for the Bonds.

Section 4. That the form of such notice be substantially as follows:

NOTICE OF FULL REDEMPTION
To the Holders of the
City of Ottumwa, State of Iowa
Taxable General Obligation Corporate Purpose Bonds
Series 2011A
\$2,050,000
Dated October 19, 2011

Notice is hereby given by UMB Bank, N.A. that the Bonds of the above referenced issue which mature on June 1, in the following years and amounts are called for redemption and prepayment on **February 19, 2021**:

<i>Year</i>	<i>Amount</i>	<i>Interest Rate</i>	<i>Cusip No *</i>
2021	\$95,000	2.75%	689716B64
2022	\$100,000	2.90%	689716B72
2023	\$105,000	3.05%	689716B80
2024	\$110,000	3.25%	689716B98
2025	\$120,000	3.50%	689716C22
2027	\$255,000	4.00%	689716C30
2029	\$275,000	4.20%	689716C48
2031	\$300,000	4.40%	689716C55

The Bonds will be redeemed at a price of 100% of their principal amount plus accrued interest to the date of redemption. Holders of such Bonds should present them for payment on or before said Redemption Date, on which date they will cease to bear interest:

Registered/Certified Mail, Air Courier or In Person:
UMB Bank, N.A. 1010408

Corporate Trust Bond Operations Department
928 Grand, 4th Floor
Kansas City, MO 64106-2040

Please DO NOT submit your securities for payment more than 30 days in advance of the redemption date. When inquiring about this redemption, please have the Bond number available. Customer Service can be reached at 800-416-6212.

Under the provisions of the Jobs and Growth Tax Relief Reconciliation Act of 2003 (the "Act"), 24% will be withheld if a tax identification number is not properly certified. Bondholders who

wish to avoid the application of these provisions should submit a completed IRS Form W-9 when presenting their Bond.

This notice is given by order of the **City of Ottumwa Iowa** pursuant to the terms of the resolution authorizing the redemption of these Bonds, dated **January 19, 2021**.

By: UMB BANK, N.A., as Paying Agent


PASSED AND APPROVED this 19th day of January, 2021.



Mayor



ATTEST:



City Clerk

CERTIFICATE

STATE OF IOWA

)
) SS
)

COUNTY OF WAPELLO

I, the undersigned City Clerk of the City of Ottumwa, State of Iowa, do hereby certify that attached is a true and complete copy of the portion of the records of the City showing proceedings of the Council, and the same is a true and complete copy of the action taken by the Council with respect to the matter at the meeting held on the date indicated in the attachment, which proceedings remain in full force and effect, and have not been amended or rescinded in any way; that meeting and all action thereat was duly and publicly held in accordance with a notice of meeting and tentative agenda, a copy of which was timely served on each member of the Council and posted on a bulletin board or other prominent place easily accessible to the public and clearly designated for that purpose at the principal office of the Council pursuant to the local rules of the Council and the provisions of Chapter 21, Code of Iowa, upon reasonable advance notice to the public and media at least twenty-four hours prior to the commencement of the meeting as required by law and with members of the public present in attendance; I further certify that the individuals named therein were on the date thereof duly and lawfully possessed of their respective City offices as indicated therein, that no Council vacancy existed except as may be stated in the proceedings, and that no controversy or litigation is pending, prayed or threatened involving the incorporation, organization, existence or boundaries of the City or the right of the individuals named therein as officers to their respective positions.

WITNESS my hand and the seal of the Council hereto affixed this 20th day of January, 2021.

Christina Reinhard
City Clerk, City of Ottumwa, State of Iowa



CITY OF OTTUMWA

Staff Summary

****ACTION ITEM****

Council Meeting of: January 19, 2021

Zach Simonson

Prepared by

Planning & Development

Department

Kevin Flanagan

Department Head

City Administrator Approval

AGENDA TITLE: RES. 17-2021, A RESOLUTION AUTHORIZING THE PLANNING DEPARTMENT TO PURSUE AN IOWA'S RURAL HERITAGE REVITALIZATION GRANT AND AUTHORIZING THE MAYOR TO SIGN THE GRANT APPLICATION.

RECOMMENDATION: Pass and adopt Resolution 17-2021.

DISCUSSION: The City acquired 131 E Main by taking legal action under Iowa Code Section 657A. The property had been abandoned by a previous owner and that abandonment and neglect has left the property in a blighted condition at one of the most visible intersections in downtown Ottumwa. The building is the First National Bank building and is listed on the National Register of Historic Places.

The State Historic Preservation Office is offering grants up to \$125 thousand for the revitalization of historic resources belonging to local governments and nonprofits in communities of fewer than 50 thousand residents. This grant is an opportunity for the City to use its time as temporary custodian of this historic property to set it on a course for rehabilitation and economic development.

As part of the 2016 Community Development Block Grant Downtown Revitalization program, an architect's review of the building listed stabilization of the exterior terra cotta as the highest priority for protecting this historic resource. We believe that pursuing a grant to stabilize the terra cotta and abate the asbestos in the building will make it a much more valuable investment for a prospective developer while also setting the property on the correct course for a complete and appropriate historic rehabilitation.

The grant requires a 30% cash match. We expect that the sale of the property would recover the City's investment in the project.

RESOLUTION NO. 17-2021

A RESOLUTION AUTHORIZING THE PLANNING DEPARTMENT TO PURSUE AN IOWA'S RURAL HERITAGE REVITALIZATION GRANT AND AUTHORIZING THE MAYOR TO SIGN THE GRANT APPLICATION

WHEREAS, the City took title to abandoned property at 131 E Main on April 30, 2020; and

WHEREAS, the property at 131 E Main is the First National Bank building listed on the National Register of Historic Places; and

WHEREAS, the neglect and abandonment of this property has left it in a blighted condition at one of the most visible intersections in downtown Ottumwa; and

WHEREAS, as part of the 2016 Community Development Block Grant Downtown Revitalization Program, Klinger and Associates completed a report on the condition of the building emphasizing that stabilization of the exterior terra cotta is the most urgent priority for protecting this historic resource; and

WHEREAS, the cost and professional services required for asbestos abatement are a significant barrier to private development; and

WHEREAS, State Historic Preservation Office secured funding from the U.S. Department of the Interior's Paul Bruhn Historic Revitalization Grant Program to create the Iowa's Rural Heritage Revitalization Grant, a one-time grant program offering up to \$125 thousand for revitalization of historic resources belonging to local governments or nonprofits in areas with fewer than 50 thousand residents; and

WHEREAS, the City has an opportunity as the temporary custodian of this important historic resource to set it on a course for a full and appropriate historic restoration and to generate economic development; and

WHEREAS, stabilization of the exterior terra cotta and asbestos abatement will make the property a more attractive investment for future development, enabling the City to divest of the property after completing the grant project, placing it in the hands of a new owner that will complete the historic restoration and bring new economic development downtown;

WHEREAS, the Iowa's Rural Heritage Revitalization Grant requires a 30% cash match which could be recovered by the sale of the building; and

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF OTTUMWA, IOWA:

That the City of Ottumwa hereby authorizes the Planning Department to pursue an Iowa's Rural Heritage Revitalization Grant and authorizes the Mayor to sign the grant application.

Approved, passed and adopted this 19th of January 2021.

CITY OF OTTUMWA, IOWA

BY Tom Lazio
Tom Lazio, Mayor

ATTEST:

Chris Reinhard

Chris Reinhard, City Clerk



Iowa's Rural Heritage Revitalization Grant Guidelines

In the fall of 2020, the Department of the Interior awarded the State Historic Preservation Office a Paul Bruhn Historic Revitalization Grant for Iowa's Rural Heritage Revitalization Program.

The objective of Paul Bruhn Historic Revitalization Grants is to support the rehabilitation of historic properties at the National, State, and local level of significance in order to rehabilitate, protect, and foster economic development of rural communities. This program funds physical preservation projects for historic properties to include architectural/engineering services and physical preservation.

Iowa's Rural Heritage Revitalization Program will provide subgrants for the rehabilitation of National Register-listed properties in rural communities of Iowa that are selected through a competitive process.

Please read and follow the grant guidelines carefully.

Eligible Applicants

Public (local governments including Certified Local Governments, Tribes)

Nonprofit organizations (with 501(c)(3) designation)

Eligible Projects

The property must be located in an area defined as rural by the [Bureau of the Census](#) (less than 50,000 people).

Rehabilitation of a property listed on the National Register of Historic Places or a National Historic Landmark.

Property must be owned by the applicant which is a local government or a nonprofit.

Grant Awards

Grant requests shall be no less than \$75,000 and no more than \$125,000. The department anticipates awarding grants to five to eight projects for a total of \$600,000.

Application Process

Applicants must submit applications via the State Historical Society of Iowa's SlideRoom, an online application portal. Applications will not be accepted in any other format. Late, incomplete or ineligible applications will not be accepted. Applicants are advised to begin their applications early and submit well in advance of the deadline to avoid last minute technical issues.

An applicant may not submit more than one application.

Application Deadline and Project Schedule

Grant applications for Iowa's Rural Heritage Revitalization Grant must be submitted online by 11:59 p.m. CDT, February 1, 2021.

Projects funded in this grant cycle will commence in late spring 2021. Projects must be completed by May 31, 2023. Final reports and request for reimbursement must be submitted by July 31, 2023.

Eligible expenses:

Cost of the physical work on the historic resource

Costs of producing any required reports and products

Cost for personnel/consultants

Cost of developing any architectural/engineering plans and specifications, however, costs for this activity must not exceed 20% of the total project cost

Travel costs within the federal per diem (www.gsa.gov). The exception to the federal policy: mileage costs are calculated at the state rate which is 39 cents per mile

Cost of fabrication and installation of the [funding acknowledgment sign](#)

Ineligible expenses:

Cost of preparing the grant application

Lobbying

Work that does not meet the grant requirements

Equipment (items costing \$5,000 or more per unit with a life value of more than one year) that was not pre-approved by NPS

Cost of acquisition of property, or the cost of moving properties

Budget and budget documentation

All projects include both grant funds and matching cash funds.

This grant opportunity requires a minimum 30% cash match. For example, if your project will cost \$150,000, you must provide \$45,000 in cash to match a \$105,000 grant request.

Federal or state dollars cannot be used to match this grant.

All expenditures (including grant dollars and cash match) must be made during the contract period.

Applicants are not required to have their match dollars in hand at the time of application. However, to be eligible for consideration, the application must identify the source of your match dollars.

Applications with some or all of the match secured will receive a higher score. Final grant reimbursement will not be made until all required match has been spent.

Please use only whole dollar amounts for your budget figures.

All costs must represent expenditures that are necessary to the accomplishment of the grant objectives and are outlined in the budget. Make sure that each cost in your budget represents an expense that is needed to support the proposed grant activity.

Maximum hourly wages charged to this grant for consultants may not exceed 120% of the hourly rate of a [Federal Civil Service GS-15, Step 10](#). For 2020, that rate with the 120% calculated is \$81.85/hour.

The National Park Service requires a documented procurement process to obtain a cost that is fair and reasonable. For preservation projects, the goal is best value (a balance between quality and cost). It is good practice to obtain three bids from qualified consultants and contractors. Where possible, please provide multiple bids for the work. If this is not possible, please document why. If you have identified your consultant and/or contractor, answer the specific question why are they the best value? For projects where a formal procurement process will take place after the grant award is made, please provide documentation that supports your budget and cost estimates. This can be an estimate from a preservation architect or qualified contractor.

Supporting Documents

Photographs

Provide color photographs to illustrate various aspects of the proposed project and the need for this work. The exception to this would be historic photographs that are not available in color.

All images should be in focus with proper exposure.

The minimum resolution must be 300 dpi.

Recommended: create a pdf document with up to fifteen (15) individual photographs, as long as the file size does not exceed 10 MB. If you submit a PDF, please caption each photograph.

Alternatively, attach a digital file to the application for each photograph. Please use the file name to describe what is in each photograph. You must attach at least one image and no more than fifteen (15).

Image files must be less than 5MB and PDF files must be less than 10MB.

Other supporting documents

Other supporting documents include architectural drawings, rehabilitation studies, or mortar analysis that illustrate the planning that has been done in preparation for the project. Please be judicious in choosing the ancillary material. Provide only materials that contribute to an understanding of the project and its scope.

Letters of support (choose these carefully and provide no more than three)

Certified Local Governments letter

All Certified Local Governments can apply for this funding opportunity. Certified Local Governments in good standing (based on their 2019 annual report) receive extra consideration. Please request a letter of good standing to include in your application by emailing paula.mohr@iowa.gov. Please request this letter well in advance of the grant deadline.

Professional Standards

All projects must comply with the Secretary of the Interior's Standards for Archaeology and Historic Preservation. In most cases, the [Secretary of the Interior's Standards for Rehabilitation](#) will be the applicable standard for building projects.

In preparation for developing your project and writing your application, we strongly encourage you to familiarize yourself with the Secretary of the Interior's Standards and the [Preservation Briefs and other technical materials](#) that provide guidance on a variety of preservation issues.

Applicants must demonstrate knowledge of, and intention and ability to adhere to the professional standards.

Most, but not all, projects will involve a trained professional in a discipline appropriate to the project scope. Consultants (architects, architectural historians, historians, or archaeologists) must meet the [Secretary of the Interior's Professional Qualifications](#) and must be approved by the State Historic Preservation Office. Contractors must have documented experience working on historic buildings and experience with the Secretary of the Interior's Standards for Rehabilitation.

Finding the right consultant or contractor for your job is a critical step. Talking to historic property owners in your community who have had similar work done may help you find the right person or firm. Preservation Iowa has a preservation directory of firms that work on historic preservation projects. The Iowa chapter of the American Institute of Architects has a database where it is possible to search on firms that do historic preservation work. In all cases, it is important to check references and where possible, visit a completed project and ask questions of the property owner.

Minority Impact Questionnaire

Pursuant to 2008 Iowa Acts, HF 2393, Iowa Code Section 8.11, all grant applications submitted to the State of Iowa which are due beginning January 1, 2009 shall include a Minority Impact Statement. This is the state's mechanism to require grant applicants to consider the potential impact of the grant project's proposed programs or policies on minority groups. Please follow the instructions on the form provided.

Application Review and Approval

Submitted applications are reviewed by staff for completion, eligibility, and adherence to published funding priorities and guidelines. New application information or subsequent application clarification submitted after the program deadline will not be considered.

Eligible applications will be reviewed and scored by the grant review panel of preservation professionals, representatives of preservation partner organizations, and members of the public.

The recommendations of the review panel will be forwarded to the department director.

All applicants will be notified of funding decisions by May 1 via email. Shortly after, grantees will receive an electronic contract for review and signature.

Grant projects cannot begin before the grantee receives a fully-signed contract and the kick-off meeting is held. Expenses incurred prior to the contract start date cannot be included in a project.

The Project Manager will hold a kick-off meeting with the property owner, local project manager, architect, and contractor. At that meeting, the scope of work, schedule, review process, goals of the project, Secretary of the Interior's Standards, and federal requirements of the grant program will be discussed. The applicant and Iowa SHPO staff will hold conference calls as needed to discuss progress thereafter.

Review Criteria

The scoring rubric will be used by reviewers to assign points to the applications. Applicants should review this scoring rubric as they are developing their application.

Requirements for funded projects

Easement

The Department of the Interior requires that the State Historic Preservation Office holds an easement for the property after the completion of the project. For the purposes of this funding opportunity, grants in the amount of \$75,001-\$100,000 a 15-year minimum easement is required. For grants in the amount of \$100,001 and above a 20-year minimum easement is required.

Sections 106 and 110 and NEPA Requirements

All funded grant projects are subject to the requirements of Sections 106 and 110 of the National Historic Preservation Act and the National Environmental Policy Act. The National Park Service is the federal agency and will take responsibility for this review in coordination with the State Historic Preservation Office. This review will take place after the contract is signed and before physical work can commence. Sixty days for this review shall be allowed for in the project schedule.

Reporting Requirements

Grant recipients are required to submit quarterly progress reports on their grant projects. The report form is available in electronic format. It asks for a summary of grant project activities that were completed during the quarter, an explanation of any difficulties encountered and a summary of grant monies and match expended.

A final report describing the project, its impact and photographs of completed work will be submitted within 30 days of the project completion.

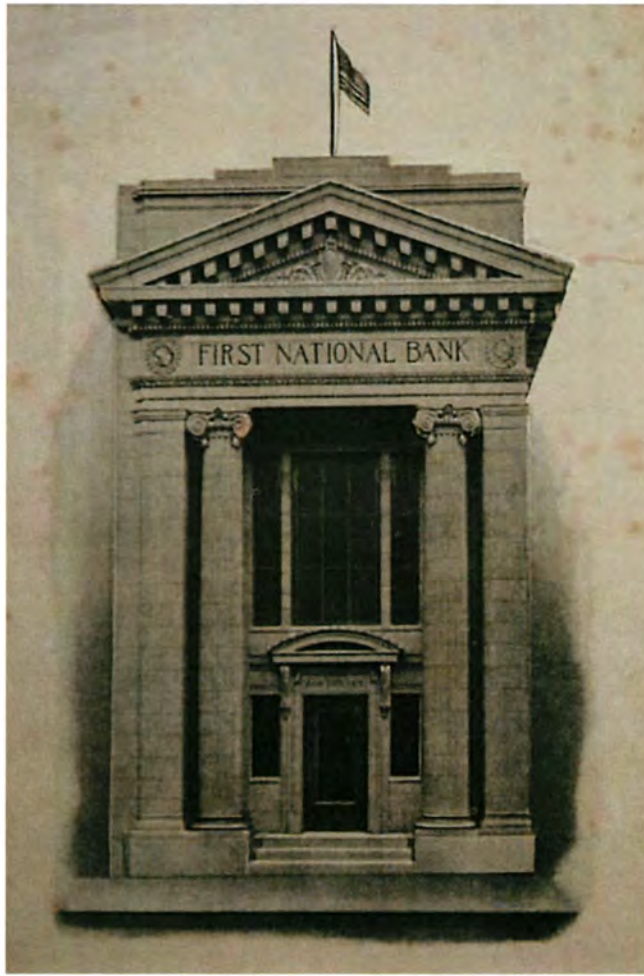
Reimbursement

Upon approval of a quarterly report, the applicant can request reimbursement for expenses to date. Final reimbursement will be made upon approval of the final report.

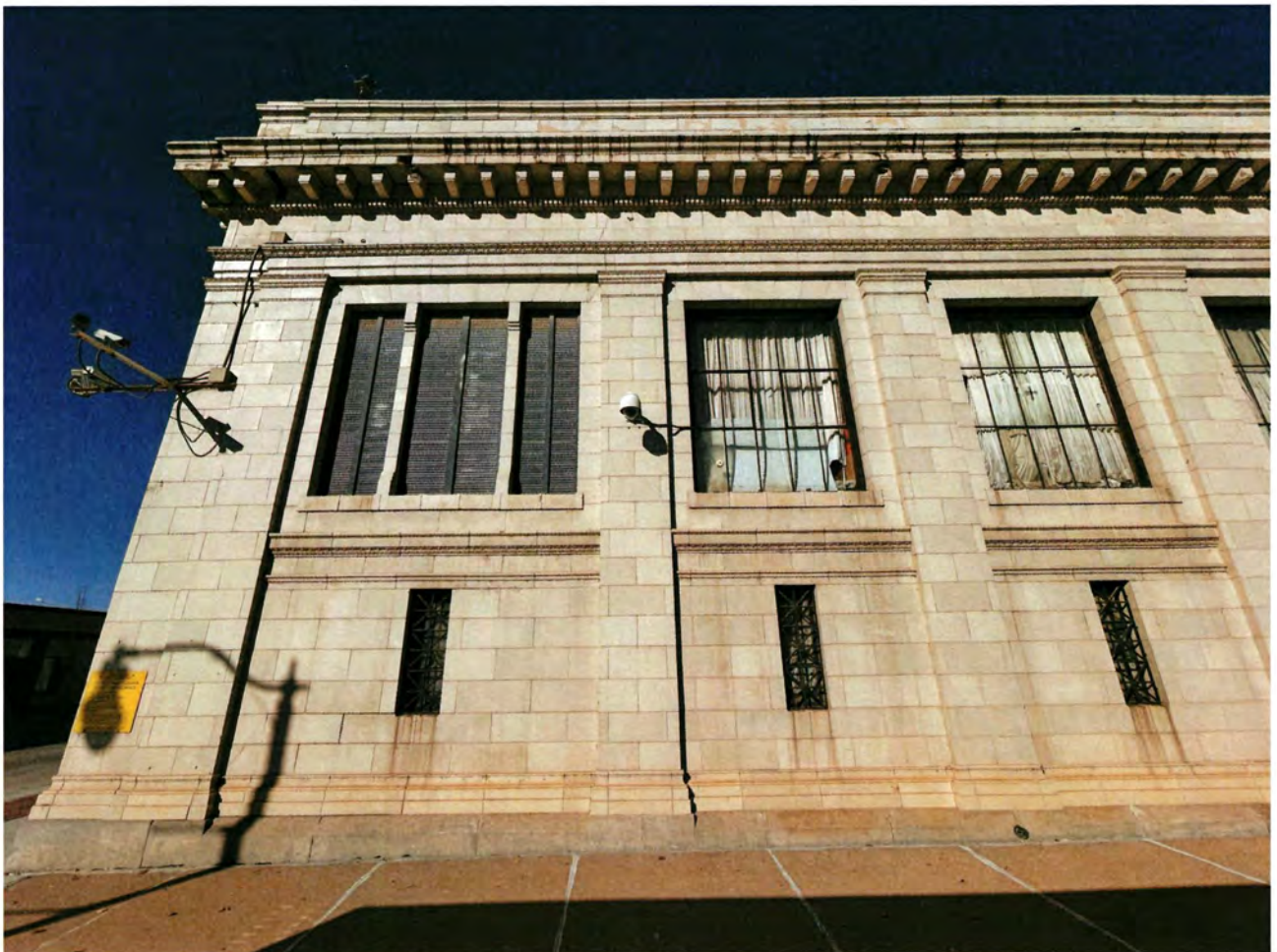
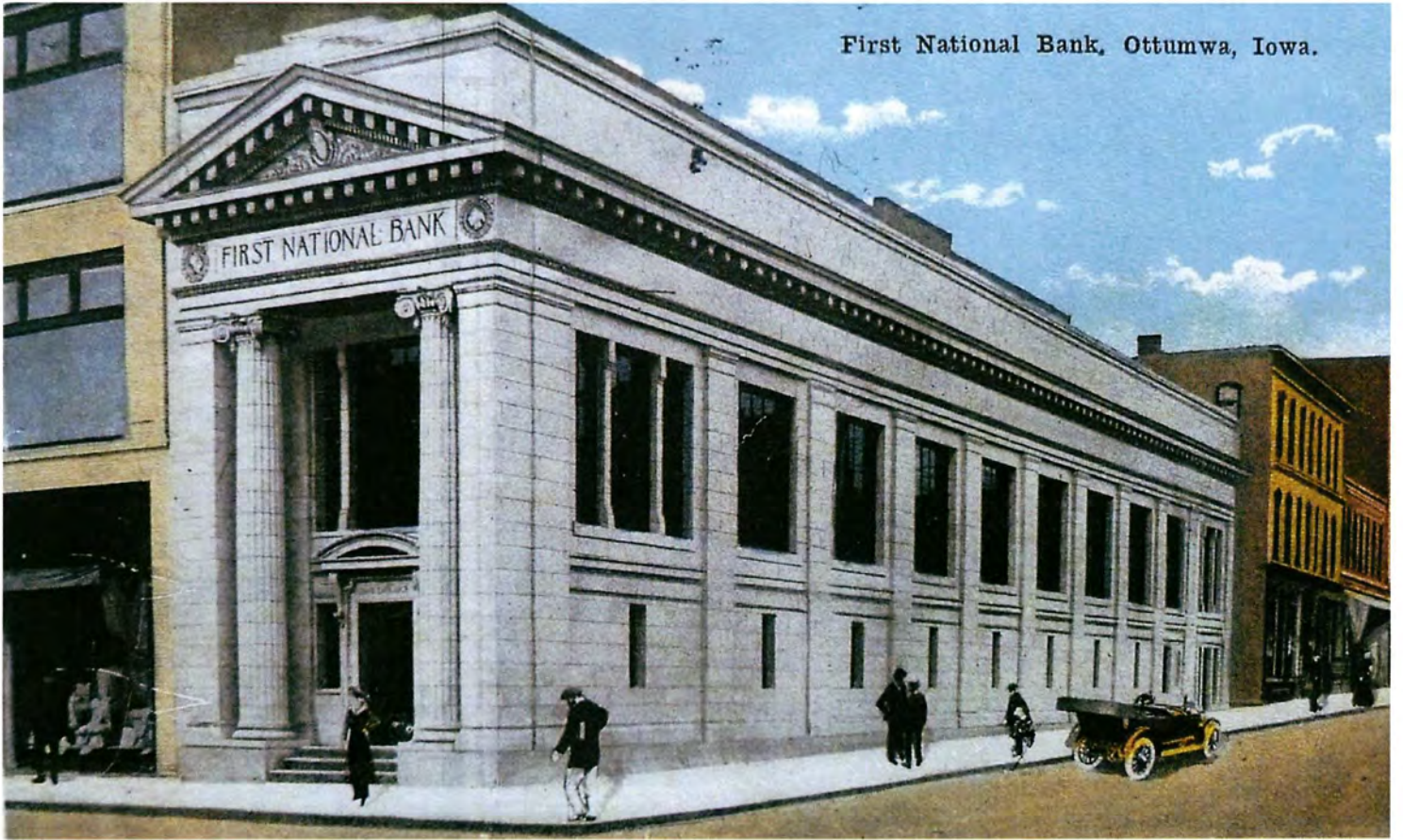
Substitute W-9/Vendor Update Form

In the event that you are awarded a grant, for the State of Iowa to pay you the amount due to you and to comply with IRS regulations on reporting these payments, the Iowa Department of Cultural Affairs requires the grantee complete a substitute W-9/Vendor Form. Failure to provide this information will result in withholding of payment. This form is filled out by the applicant and must be signed by an authorizing official of the grantee. Funded applicants will receive this form with the contract.

Questions: Please email historic.preservation@iowa.gov



First National Bank, Ottumwa, Iowa.





CITY OF OTTUMWA

Staff Summary

**** ACTION ITEM ****

Council Meeting of: January 19, 2021

Engineering Department
Department

Alicia Bankson
Prepared By
Darryl Seals

Department Head

[Signature]
City Administrator Approval

AGENDA TITLE: Resolution #19-2021. Approving the Amendment No. 1 to the Professional Services Agreement for Engineering Services between JEO Consulting Group and the City of Ottumwa for the Green Street Storm Sewer Improvements Project.

RECOMMENDATION: Pass and adopt Resolution #19-2021.

DISCUSSION: The City of Ottumwa entered into an Agreement for Professional Services with JEO Consulting Group on November 17, 2020 for the design of the a separate storm system. Ottumwa Water evaluated their existing water main age and condition and have decided to replace it when we are installing our storm system. Amendment No. 1 will provide additional design services as follows:

1. Design the replacement of a 20" water main along the Green Street corridor;
2. Design of connection of new water main at each end of corridor;
3. Design of water main under BNSF RR; and
4. Permitting of water main with BNSF and IDNR

The preliminary estimate for construction is \$790,000

Base design contract	\$ 137,120.00
Amendment 1	<u>\$ 12,000.00</u> (reimbursed by Ottumwa Water Works)
New Contract Total	\$ 149,120.00

Source of Funds: Sewer Fund Balance

Budgeted Item: No

Budget Amendment Needed: Yes

RESOLUTION #19-2021

A RESOLUTION APPROVING AMENDMENT NO. 1 TO THE PROFESSIONAL SERVICES AGREEMENT BETWEEN JEO CONSULTING GROUP AND THE CITY OF OTTUMWA FOR THE GREEN STREET STORM SEWER IMPROVEMENTS PROJECT

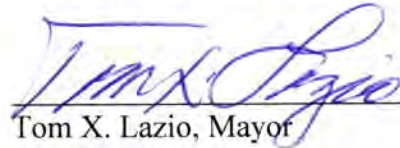
WHEREAS, An Agreement for Professional Services was entered into on November 17, 2020 between the City of Ottumwa and JEO Consulting Group, Inc.; and

WHEREAS, This resolution will amend the Professional Services Agreement to add design services for watermains for the project.

NOW, THEREFORE, BE IT RESOLVED, BY THE CITY COUNCIL OF THE CITY OF OTTUMWA, IOWA THAT: The said Amendment No. 1 to the Professional Services Agreement between the City of Ottumwa and JEO Consulting Group is hereby approved and the Mayor is authorized to sign.

APPROVED, PASSED, AND ADOPTED, this 19th day of January 2021.

CITY OF OTTUMWA, IOWA


Tom X. Lazio, Mayor

ATTEST:


Christina Reinhard, City Clerk

**AMENDMENT NO. 1
TO AGREEMENT BETWEEN OWNER AND ENGINEER FOR PROFESSIONAL SERVICES
GREEN STREET STORM SEWER IMPROVEMENTS PROJECT
DATED NOVEMBER 17, 2020**

**City of Ottumwa, Iowa
JEO Project No. R200341.00
December 8, 2020**

Original Project: Evaluation and design of storm sewer improvement along Green St. from approximately Main St. to the Des Moines River. Key features include all necessary BNSF and USACE permitting processes including Geotechnical coordination. The storm installation will include a 42" RCP pressure line.

AMENDMENT: Additional design services defined by the following scope:

- I. Watermain Design
 - A. Design the replacement of a 20" watermain along the Green Street corridor
 - B. Design of connections of new watermain at each end of corridor
 - C. Design of watermain under BNSF RR
 - D. Permitting of the watermain with BNSF and IDNR

<u>FEE:</u>	Fee for additional service shall be as stated below:
	Design \$12,000.00
	Permit Fees To be Paid by Owner
	Total \$12,000.00

SCHEDULE: Remains as specified in original contract

All other terms and conditions of the original agreement remain in effect.

ENGINEER: JEO CONSULTING GROUP, INC.

 _____ Daniel Sturm, Project Manager	12/8/2020 _____ Date
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OWNER: CITY OF OTTUMWA, IOWA

 _____ Tom Lazio, Mayor	1-19-2021 _____ Date
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CITY OF OTTUMWA
Staff Summary

**** ACTION ITEM ****

Council Meeting of : Jan 19, 2021

Christina Reinhard
Prepared By

Administration
Department

Department Head


City Administrator Approval

AGENDA TITLE: Approving Resolution No. 20-2021, setting the time and days for Regular and Special Council Meetings.

Public hearing required if this box is checked.

RECOMMENDATION: Pass and Adopt Resolution No. 20-2021.

DISCUSSION: The Ottumwa City Council will hold regular council meetings on the first and third Tuesday evenings of the month, starting at 5:30 P.M. for the convenience of the public and staff. Special Council meetings, whenever possible, will be held on the second or fourth Tuesdays of the month starting at 5:30 P.M. Remarks from the public shall be limited to three (3) minutes or less; unless dually waived by the City Council to allow for additional time.

Adoption of Resolution No. 20-2021 will also rescind Resolution No. 204-2015.

Source of Funds: N/A

Budgeted Item: Budget Amendment Needed: No

RESOLUTION NO. 20-2021

A RESOLUTION ESTABLISHING REGULAR MEETINGS OF THE OTTUMWA CITY COUNCIL, RULES OF ORDER AND MEETING PROCEDURES AND RESCINDING RESOLUTION NO. 204-2015

WHEREAS, The purpose of this resolution is to establish a universal legislative document to set forth the conduct of business of Ottumwa City Council meetings, establish an order of conduct of said meetings, and to set forth additional procedural rules; and

WHEREAS, meetings of the City Council are public meetings, not meetings of the public and are conducted for the purpose of transacting business of the City of Ottumwa; and

WHEREAS, the City Council desires to conduct meetings in a business-like and efficient manner.

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF OTTUMWA, IOWA THAT:

Regular meetings of the Ottumwa City Council shall be held the first and third Tuesdays of each month, except for holidays, at 5:30 P.M. in the Council Chambers of City Hall, unless otherwise set out on the posted agenda.

When a regular meeting of the City Council is scheduled to be held on a holiday, the meeting will be rescheduled for the following Tuesday at 5:30 P.M. in the Council Chambers of City Hall, unless otherwise set out on the posted agenda.

Special meetings are not encouraged. However, when time constraints preclude an issue from being acted upon at the next regular scheduled meeting, the Mayor or two or more Council Members may call a special meeting. Special Council meetings may include discussion items and/or action items for the agenda. Any item listed on the agenda may be subject to a vote by council. If Special Council Meetings are held, whenever possible, those meetings will be held on the second and fourth Tuesdays of the month at 5:30 P.M.

Agendas shall be posted at City Hall at least 24 hours in advance of all council meetings, unless for good cause such notice is impossible or impractical, then in such case, as much notice as is reasonably possible shall be given. The nature of the good cause justifying that departure from the normal requirements shall be stated in the minutes as required by Iowa Code Sec. 21.4. Agendas will be provided to the news media in accordance with Iowa Code Sec. 21.4. The agenda may be amended in order to add an item at any time prior to 24 hours of the commencement of any meeting. Council Members may remove an item from the agenda by a vote of the Council upon consideration to approve the agenda. The City Clerk shall notify all Council Members, and no less than three Council Members shall be present at any meeting.

Agendas for regular meetings shall be provided in the following way: The City Clerk will be responsible for developing the agenda. The Mayor or any Council Member may include items on the agenda by so notifying the City Administrator. The agenda will be closed at noon on Thursdays before the regular council meetings. On Friday afternoon the agenda will be posted and made available to the Mayor and Council Members either by hardcopy, e-mail, or in a form of approved electronic media. The Clerk shall rotate the roll call vote of each Council Member at each meeting.

The following shall be the order of the agenda for conducting business at regular Council meetings:

- A. Roll Call
- B. Consent Agenda
- C. Approval of Agenda
- D. Reports from City Officers, Boards, Commissions, Committees
- E. Identification of citizens desiring to comment on agenda items\
- F. Departmental Recommendations
- G. Public Hearings
- H. Resolutions
- I. Ordinances
- J. Public Forum
- K. Petitions and Communications
- L. Adjournment

Each Council Member present shall vote “yes”, “no”, or “abstain” on each question. If there is an abstention, the reason for the abstention and the nature of any conflict will be disclosed.

The City Clerk may set the deadline time and date for preparation of agendas for special council meetings. The format and order of the items shall be at the discretion of the City Clerk.

The Chief of Police or the Chief’s designee shall attend and act as sergeant at arms during all Council meetings.

All regular and special meetings of the City Council will be televised on GOTV, unless the Council meets at another facility where there are no televising capabilities.

The general public will be given the opportunity to address an agenda item in the following manner under Item E of the Order of the Agenda (see above): the Mayor will inquire if anyone wishes to address a specific agenda item, and the individual(s) shall step to the microphone, state their name(s) and address(es) for the record and agenda topic to be addressed. The Mayor will invite the individual(s) to address the Council when that topic is being discussed. Remarks will be limited to three (3) minutes or less. The City Clerk shall keep the time and notify the Mayor when the allotted time limit has been reached. Comments and suggestions from the public are to be directly germane to City operations, and the agenda item being discussed. Comments and suggestions not directly germane as determined by the Mayor will be ruled out of order.

Prior to adjournment, the Council will allow a public forum for comments from the general public on topics not on the agenda that are directly germane to City business or operations, and shall not be personalized. Comments not directly applicable to operations, inappropriate, or improper utilization of meeting time, as determined by the Mayor, will be ruled out of order. Individuals wishing to address the Council when called upon by the Mayor shall give their name and address for the record and topic to be addressed.

Remarks shall be limited to three (3) minutes or less; unless dually waived by the City Council to allow for additional time. The City Clerk shall keep the time and notify the Mayor when the allotted time has been reached. The minutes of the meeting shall reflect the individual's name, address, and topic on which they addressed the Council. Pertinent questions, comments, or suggestions may be referred to the appropriate department, city administrator, or legal counsel for response, if relevant.

Citizens desiring to have items placed on the agenda for action at a regular meeting may do so by contacting the Mayor or a Council Member. The Mayor or City Council Member may seek city staff input before electing to have the item placed on the Council agenda or may elect not to do so. In either case the citizen will be notified of that decision, and if placed on the agenda, be advised of the date of the Council meeting. All requests will be evaluated as to whether or not they can be handled administratively and if they can, they will be referred to the City Administrator.

For clarification, the consent agenda will be used for approval of routine business items and shall be acted on by the Council in one motion, unless the Mayor or a Council Member specifically requests that the Council consider such item separately.

Resolution No. 204-2015, or all other resolutions of the City of Ottumwa, Iowa in conflict therewith, are hereby repealed.

APPROVED, PASSED, AND ADOPTED this 19th day of January, 2021.

CITY OF OTTUMWA, IOWA



Tom X. Lazio, Mayor

ATTEST:



Christina Reinhard, City Clerk